

# $p$ -ADIC INTERPOLATION

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## 1. INTRODUCTION

Although  $\mathbf{N}$  is discrete in  $\mathbf{R}$ , it is not discrete in  $\mathbf{Q}_p$ , and in fact has closure  $\mathbf{Z}_p$ . This raises the possibility of  $p$ -adically interpolating a sequence  $a_n$ , which is really a function  $n \mapsto a_n$  on  $\mathbf{N}$ , to a continuous function  $x \mapsto a_x$  with  $x \in \mathbf{Z}_p$ . Our basic question is this: when does a function  $f: \mathbf{N} \rightarrow \mathbf{Q}_p$  extend to a continuous function  $\mathbf{Z}_p \rightarrow \mathbf{Q}_p$ ? We will look at some concrete examples, then see what a general continuous function  $\mathbf{Z}_p \rightarrow \mathbf{Q}_p$  looks like, and finally discuss one approach to  $p$ -adic integration.

## 2. $p$ -ADIC INTERPOLATION OF $a^n$

The simplest example of  $p$ -adic interpolation occurs for the function  $f(n) = a^n$  where  $a \in 1 + p\mathbf{Z}_p$ .

**Theorem 2.1.** *Let  $a \in 1 + p\mathbf{Z}_p$ , so  $|a - 1|_p \leq 1/p$ . For integers  $m, n \geq 0$ ,*

$$|a^m - a^n|_p \leq |a - 1|_p |m - n|_p.$$

This shows in a precise form that  $a^n$  is  $p$ -adically uniformly continuous in  $n$ .

*Proof.* Without loss of generality,  $m \geq n$ . Since  $a \in 1 + p\mathbf{Z}_p \subset \mathbf{Z}_p^\times$ ,  $|a|_p = 1$ . Then

$$|a^m - a^n|_p = |a^n(a^{m-n} - 1)|_p = |a^{m-n} - 1|_p.$$

Now that we have  $m$  and  $n$  only appearing in the context of  $m - n$ , our task is equivalent to showing

$$(2.1) \quad |a^k - 1|_p \leq |a - 1|_p |k|_p$$

for  $k \in \mathbf{N}$ . This is clear if  $k = 0$ , so take  $k \geq 1$ . We will use the factorization

$$a^k - 1 = (a - 1)(a^{k-1} + a^{k-2} + \cdots + a + 1).$$

Since  $|a|_p = 1$ , taking absolute values of both sides tells us

$$(2.2) \quad |a^k - 1|_p \leq |a - 1|_p \cdot 1 = |a - 1|_p,$$

so our goal is reached if  $p$  doesn't divide  $k$ . If  $p$  does divide  $k$ , (2.1) is stronger than (2.2) since  $|k|_p < 1$ . First we treat  $k = p$ :

$$a^p - 1 = (a - 1)(a^{p-1} + a^{p-2} + \cdots + a + 1).$$

The second factor is a sum of  $p$   $p$ -adic integers, each being 1 mod  $p$ , so modulo  $p$  the second factor is  $1 + 1 + \cdots + 1 = p \equiv 0 \pmod{p}$ . Thus  $|a^p - 1|_p \leq |a - 1|_p (1/p) = |a - 1|_p |p|_p$ , which is (2.1) at  $k = p$ . By induction, writing  $|a^{p^r} - 1|_p$  as  $|(a^p)^{p^{r-1}} - 1|_p$ , we get (2.2) whenever

$k$  is a power of  $p$ . For the general case, write  $k = p^r k'$ , where  $p$  doesn't divide  $k'$ . Then by the separate cases for exponent prime to  $p$  and exponent a power of  $p$ ,

$$|a^k - 1|_p = |(a^{p^r})^{k'} - 1|_p \leq |a^{p^r} - 1|_p \leq |a - 1|_p \frac{1}{p^r} = |a - 1|_p |k|_p.$$

**Definition 2.2.** For  $a \in 1 + p\mathbf{Z}_p$  and  $x \in \mathbf{Z}_p$ , define

$$a^x = \lim_{i \rightarrow \infty} a^{n_i},$$

where the limit is taken over any sequence  $n_i \in \mathbf{N}$  which converges  $p$ -adically to  $x$ .

This definition of  $a^x$  makes sense because a uniformly continuous function on a dense subset of a compact metric space extends to the whole space. More precisely, we have

**Theorem 2.3.** *Let  $X$  be a compact metric space,  $Y$  be a complete metric space, and  $f: D \rightarrow Y$  be a uniformly continuous function on a dense subset  $D$  of  $X$ . Then  $f$  extends uniquely to a continuous function  $f: X \rightarrow Y$  by the formula*

$$f(x) = \lim_{i \rightarrow \infty} f(d_i),$$

where the limit is taken over any sequence  $\{d_i\}$  in  $D$  which converges to  $x$ .

We omit the proof, but note that to show the definition of the extended function is well-defined (that is, two sequences in  $D$  which tend to  $x$  have their function values tend to the same limit) we need the uniform continuity of  $f$  on  $D$ .

Applying this theorem with  $X = \mathbf{Z}_p$ ,  $D = \mathbf{N}$ , and  $Y = \mathbf{Q}_p$  justifies our definition of  $a^x$  for  $x \in \mathbf{Z}_p$ .

Note it is *false* that a continuous (not uniformly continuous) function on a dense subset of a compact metric space has to extend to the whole space. Consider  $f(x) = 1/(x^2 - 2)$  on the rationals in  $[1, 2]$ . It is continuous on that dense subset but doesn't extend to a continuous function on  $[1, 2]$  since it blows up as  $x \rightarrow \sqrt{2}$ .

Here are some properties of  $a^x$  for  $x \in \mathbf{Z}_p$ :

- (1)  $|a^x - a^y|_p \leq |a - 1|_p |x - y|_p$ , so in particular  $|a^x - 1|_p \leq |a - 1|_p |x|_p \leq |a - 1|_p \leq 1/p$ ,
- (2)  $a^{x+y} = a^x a^y$ ,
- (3)  $(a^x)^y = a^{xy}$ .

The proofs of these are easy: all expressions are continuous in  $x$  and  $y$ , so their validity follows by continuity from their validity on  $\mathbf{N}$  (where one can verify the properties by induction, say). Notice we need the estimate  $|a^x - 1|_p \leq 1/p$  from the first property to make sense of  $(a^x)^y$  in the third property. Taking  $y = -x$  in the second property gives us  $1 = a^x a^{-x}$ , so  $a^{-x} = 1/a^x$ , just as we would expect. In particular, for negative integers  $x$ ,  $a^x$  has its usual meaning!

**Remark 2.4.** Although we proved  $a^n$  is  $p$ -adically (uniformly) continuous in  $n$  when  $|a - 1|_p \leq 1/p$ , could it also be  $p$ -adically continuous in  $n$  for other  $a \in \mathbf{Q}_p$ ? No. Indeed, assume  $a^n$  is  $p$ -adically continuous in  $n$ . Then, since  $p^r \rightarrow 0$  in  $\mathbf{Z}_p$  as  $r \rightarrow \infty$ ,  $a^{p^r} \rightarrow a^0 = 1$ , hence  $|a^{p^r} - 1|_p \leq 1/p$  for  $r$  large. Then  $|a^{p^r}|_p = 1$  for  $r$  large, so  $|a|_p = 1$ . We're not done; so far we just have that  $a \in \mathbf{Z}_p^\times$ . To show  $a \in 1 + p\mathbf{Z}_p$ , we return to the estimate  $|a^{p^r} - 1|_p \leq 1/p$  for large  $r$ . Because we know  $a$  is a  $p$ -adic integer, we can rewrite this estimate as the congruence

$$a^{p^r} \equiv 1 \pmod{p\mathbf{Z}_p}.$$

By Fermat's little theorem,  $a^p \equiv a \pmod{p\mathbf{Z}}$  for  $a \in \mathbf{Z}$ . The same result goes through for  $p$ -adic integers (since  $\mathbf{Z}_p/p\mathbf{Z}_p \cong \mathbf{Z}/p\mathbf{Z}$ ), so  $a^p \equiv a \pmod{p\mathbf{Z}}$  when  $a \in \mathbf{Z}_p$ . Repeating the  $p$ th power several times, we get

$$a^{p^r} \equiv a \pmod{p\mathbf{Z}_p}.$$

From the two displayed congruences,  $a \equiv 1 \pmod{p\mathbf{Z}_p}$ , so  $a \in 1 + p\mathbf{Z}_p$ .

**Example 2.5.** When  $p = 2$ , we have the continuous function  $a^x$  for all  $x \in \mathbf{Z}_2$  if  $|a - 1|_2 \leq 1/2$ , which means  $a \in \mathbf{Z}_2^\times$ . In particular, we can speak about  $(-1)^x$  for  $x \in \mathbf{Z}_2$ . What does this mean? It's this:

$$(-1)^x = \begin{cases} 1, & \text{if } x \in 2\mathbf{Z}_2, \\ -1, & \text{if } x \in 1 + 2\mathbf{Z}_2. \end{cases}$$

After all, when  $n \in \mathbf{N}$  we have

$$(-1)^n = \begin{cases} 1, & \text{if } n \in 2\mathbf{Z}, \\ -1, & \text{if } n \in 1 + 2\mathbf{Z}, \end{cases}$$

so  $(-1)^n$  is 2-adically locally constant on  $\mathbf{N}$ , and thus its (unique) continuous extension to  $\mathbf{Z}_2$  is the function that's 1 on  $2\mathbf{Z}_2$  (the 2-adic closure of the even natural numbers) and  $-1$  on  $1 + 2\mathbf{Z}_2$  (the 2-adic closure of the odd natural numbers).

Let's refine the inequality  $|a^x - 1|_p \leq |a - 1|_p |x|_p$  to an equality.

**Theorem 2.6.** For  $p$  odd and  $a \in 1 + p\mathbf{Z}_p$ ,  $|a^x - a^y|_p = |a - 1|_p |x - y|_p$  for all  $x$  and  $y$  in  $\mathbf{Z}_p$ . This holds for  $p = 2$  when  $a \in 1 + 4\mathbf{Z}_2$ .

*Proof.* Writing  $|a^x - a^y|_p$  as  $|(a^{x-y} - 1)a^y|_p = |a^{x-y} - 1|_p$ , since  $a^y \in \mathbf{Z}_p^\times$ , we are reduced to the case  $y = 0$ : show  $|a^x - 1|_p = |a - 1|_p |x|_p$  for  $x \in \mathbf{Z}_p$ . Because both sides are continuous in  $x$ , it suffices to check the formula when  $x$  is a positive integer. It suffices to check two cases:

- if  $n$  is not divisible by  $p$  then  $|a^n - 1|_p = |a - 1|_p$ ,
- $|a^p - 1|_p = |a - 1|_p \frac{1}{p}$ .

From these two cases, the general formula  $|a^k - 1|_p = |a - 1|_p |k|_p$  will follow in the same way we proved the inequality (2.1) from the two special cases when  $k$  is not divisible by  $p$  and when  $k = p$ .

The two cases above are clear when  $a = 1$ , so we may assume  $a \neq 1$ . Set  $|a - 1|_p = 1/p^r$ , so  $a = 1 + p^r u$  where  $u \in \mathbf{Z}_p^\times$  and  $r \geq 1$  for odd  $p$  and  $r \geq 2$  for  $p = 2$ . Then

$$\begin{aligned} a^n - 1 &= (1 + p^r u)^n - 1 \\ &= 1 + np^r u + \sum_{j=2}^n \binom{n}{j} (p^r u)^j - 1 \\ &= np^r u + \sum_{j=2}^n \binom{n}{j} p^{rj} u^j. \end{aligned}$$

Every term past the first term is divisible by  $p^{2r}$ , hence by  $p^{r+1}$ , so

$$a^n - 1 \equiv np^r u \pmod{p^{r+1}}.$$

If  $n$  is not divisible by  $p$  then  $np^r u$  is divisible by  $p^r$  but not by  $p^{r+1}$ , so  $a^n - 1$  is divisible by  $p^r$  but not by  $p^{r+1}$ . Hence  $|a^n - 1|_p = 1/p^r = |a - 1|_p$ .

Now we look at  $a^p - 1$ :

$$a^p - 1 = (1 + p^r u)^p - 1 = p^{r+1} u + \sum_{j=2}^p \binom{p}{j} p^{rj} u^j.$$

The first term on the right is divisible by  $p^{r+1}$  but not  $p^{r+2}$ . Are the terms in the sum all divisible by  $p^{r+2}$ ?

Case 1:  $p$  odd. For  $2 \leq j \leq p-1$ ,  $\binom{p}{j}$  is divisible by  $p$ , so the  $j$ -th term in the sum is divisible by  $p^{rj+1}$  and  $rj+1 \geq 2r+1 \geq r+2$ . The term for  $j = p$  is  $p^{rp} u^p$  and  $rp \geq 3r \geq r+2$ .

Case 2:  $p = 2$ . The sum is the single term  $2^{2r} u^2$ , and  $2r \geq r+2$  if  $r \geq 2$ , and that bound on  $r$  is correct since  $a \in 1 + 4\mathbf{Z}_2$ .

Thus all terms in the sum are multiples of  $p^{r+2}$ , so  $a^p - 1 \equiv p^{r+1} u \pmod{p^{r+2}}$ , which implies  $|a^p - 1|_p = 1/p^{r+1} = |a - 1|_p(1/p)$ . ■

If  $a \in \mathbf{Z}$  and  $x$  and  $y$  are nonnegative integers, Theorem 2.6 is saying something very concrete about divisibility: if  $p \neq 2$  and  $a \equiv 1 \pmod{p}$  then the highest power of  $p$  in  $a^x - a^y$  is the sum of the highest powers of  $p$  in  $a - 1$  and  $x - y$ . This is typical of the way  $p$ -adic methods can be used to put otherwise idiosyncratic divisibility properties in a clearer light.

Although the 2-adic function  $a^x$  makes sense for all  $a \in 1 + 2\mathbf{Z}_2$ , Theorem 2.6 only applies to  $a \in 1 + 4\mathbf{Z}_2$ . This restriction is important, since if  $a \in (1 + 2\mathbf{Z}_2) - (1 + 4\mathbf{Z}_2) = 3 + 4\mathbf{Z}_2$ , the absolute value formula in Theorem 2.6 will fail for some  $x$ : since  $-a \in 1 + 4\mathbf{Z}_2$ ,  $|a^2 - 1|_2 = |(-a)^2 - 1|_2 = |-a - 1|_2 |2|_2 = |a + 1|_2 / 2 \leq 1/8$ , while  $|a - 1|_2 |2|_2 = 1/4$ .

Let's put these ideas to work in a concrete problem that doesn't sound  $p$ -adic at all.

**Theorem 2.7.** *For odd primes  $p$ , the group of units  $(\mathbf{Z}/p^n\mathbf{Z})^\times$  is cyclic for all  $n \geq 1$ .*

The group  $(\mathbf{Z}/2^n\mathbf{Z})^\times$  is not cyclic for  $n \geq 3$ , so the restriction to odd  $p$  is crucial.

*Proof.* The size of  $(\mathbf{Z}/p^n\mathbf{Z})^\times$  is  $p^n - p^{n-1} = (p-1)p^{n-1}$ . To prove this group is cyclic, we will show it has an element of order  $p-1$  and an element of order  $p^{n-1}$ . These orders are relatively prime, so the product of those two elements must have order  $(p-1)p^{n-1}$ .

We begin by viewing the (finite) ring  $\mathbf{Z}/p^n\mathbf{Z}$  as  $\mathbf{Z}_p/p^n\mathbf{Z}_p$ . Taking unit groups,  $(\mathbf{Z}/p^n\mathbf{Z})^\times \cong (\mathbf{Z}_p/p^n\mathbf{Z}_p)^\times$ . So what? It may look silly to be writing a *finite* group derived from integers in terms of  $p$ -adic integers. The advantage of doing this is how we can think about the congruences among units. In  $\mathbf{Z}_p$ , a unit mod  $p^n$  is a bona fide unit in  $\mathbf{Z}_p$  (we can't say that in  $\mathbf{Z}$ , where there are just two units). Moreover, if  $u$  and  $v$  are in  $\mathbf{Z}_p^\times$ , then

$$u \equiv v \pmod{p^n\mathbf{Z}_p} \iff u - v \in p^n\mathbf{Z}_p \iff \frac{u}{v} - 1 \in p^n\mathbf{Z}_p \iff \frac{u}{v} \in 1 + p^n\mathbf{Z}_p,$$

and  $1 + p^n\mathbf{Z}_p$  is a subgroup of  $\mathbf{Z}_p^\times$ . So the additive congruence " $u = v$ " in  $\mathbf{Z}_p/p^n\mathbf{Z}_p$  (with  $u, v \in \mathbf{Z}_p^\times$ ) has been turned into a multiplicative congruence " $u = v$ " in  $\mathbf{Z}_p^\times/(1 + p^n\mathbf{Z}_p)$ . (Think about that and convince yourself it's true.) So

$$(\mathbf{Z}/p^n\mathbf{Z})^\times \cong (\mathbf{Z}_p/p^n\mathbf{Z}_p)^\times \cong \mathbf{Z}_p^\times/(1 + p^n\mathbf{Z}_p).$$

Now we use the multiplicative decomposition of  $\mathbf{Z}_p^\times$ ,

$$\mathbf{Z}_p^\times = \mu_{p-1} \times (1 + p\mathbf{Z}_p),$$

to write

$$\mathbf{Z}_p^\times/(1 + p^n\mathbf{Z}_p) \cong \mu_{p-1} \times (1 + p\mathbf{Z}_p)/(1 + p^n\mathbf{Z}_p),$$

so we have split up  $(\mathbf{Z}/p^n\mathbf{Z})^\times$  into the direct product of  $\mu_{p-1}$  and  $(1 + p\mathbf{Z}_p)/(1 + p^n\mathbf{Z}_p)$ . The whole group has order  $(p-1)p^{n-1}$  and  $\mu_{p-1}$  has order  $p-1$ , so  $(1 + p\mathbf{Z}_p)/(1 + p^n\mathbf{Z}_p)$  must have order  $p^{n-1}$ .

It is a theorem in abstract algebra that a finite subgroup of the nonzero elements of a field is a cyclic group. Since the group  $\mu_{p-1}$  is a finite subgroup of  $\mathbf{Q}_p^\times$ , it is cyclic. Thus there is an element of  $\mu_{p-1}$  with order  $p-1$ . (There is not an effective algorithm to write such an element down.) Now we want to show  $(1 + p\mathbf{Z}_p)/(1 + p^n\mathbf{Z}_p)$  contains an element of order  $p^{n-1}$ . This we can do effectively, as follows.

We'll show if  $a \in 1 + p\mathbf{Z}_p$  and  $a \notin 1 + p^2\mathbf{Z}_p$  (that is,  $|a-1|_p = 1/p$ ), then  $a$  is a generator in  $(1 + p\mathbf{Z}_p)/(1 + p^n\mathbf{Z}_p)$ . In particular,  $1 + p$  is a generator of  $(1 + p\mathbf{Z}_p)/(1 + p^n\mathbf{Z}_p)$ .

To prove this, we use the exact formula in Theorem 2.6 for odd  $p$ . (This is the first time it matters that  $p \neq 2$ .) For  $m \in \mathbf{Z}^+$ ,  $a^m$  is 1 in  $(1 + p\mathbf{Z}_p)/(1 + p^n\mathbf{Z}_p)$  if and only if  $a^m \in 1 + p^n\mathbf{Z}_p$ , which is equivalent to  $|a^m - 1|_p \leq 1/p^n$ . Using Theorem 2.6,

$$|a^m - 1|_p = |a - 1|_p |m|_p = \frac{1}{p} |m|_p,$$

so

$$|a^m - 1|_p \leq \frac{1}{p^n} \iff |m|_p \leq \frac{1}{p^{n-1}}.$$

Here  $m$  is a positive integer, so the final  $p$ -adic estimate is equivalent to  $p^{n-1} |m|$ . Thus

$$a^m = 1 \text{ in } (1 + p\mathbf{Z}_p)/(1 + p^n\mathbf{Z}_p) \iff p^{n-1} |m|.$$

Since  $(1 + p\mathbf{Z}_p)/(1 + p^n\mathbf{Z}_p)$  has order  $p^{n-1}$ , we see that  $a$  is a generator. ■

**Remark 2.8.** For  $p = 2$ ,  $\mathbf{Z}_2^\times = 1 + 2\mathbf{Z}_2 = \{\pm 1\} \times (1 + 4\mathbf{Z}_2)$ , so for  $n \geq 2$  we have

$$(\mathbf{Z}/2^n\mathbf{Z})^\times \cong (\mathbf{Z}_2/2^n\mathbf{Z}_2)^\times \cong \mathbf{Z}_2^\times / (1 + 2^n\mathbf{Z}_2) = \{\pm 1\} \times (1 + 4\mathbf{Z}_2)/(1 + 2^n\mathbf{Z}_2).$$

Using the  $p = 2$  case of Theorem 2.6, the number 5 (or any  $a \in 1 + 4\mathbf{Z}_2$  not in  $1 + 8\mathbf{Z}_2$ ) is a generator of  $(1 + 4\mathbf{Z}_2)/(1 + 2^n\mathbf{Z}_2)$ . Thus the group  $(\mathbf{Z}/2^n\mathbf{Z})^\times$ , of order  $2^{n-1}$ , is a direct product of a group of order 2 and a group of order  $2^{n-2}$ .

The additive group  $\mathbf{Z}_p$ , while not cyclic, is *topologically* cyclic: the number 1 generates a dense subgroup, so we call 1 a topological generator. More precisely, the (additive) topological generators of  $\mathbf{Z}_p$  are exactly the  $p$ -adic units (elements of  $\mathbf{Z}_p^\times$ ). The multiplicative group  $1 + p\mathbf{Z}_p$  also turns out to be topologically cyclic:

**Corollary 2.9.** *If  $p$  is odd and  $|a - 1|_p = 1/p$ , the sequence  $\{a^m : m \geq 0\}$  is dense in  $1 + p\mathbf{Z}_p$ . If  $|a - 1|_p \leq 1/p^2$ ,  $a$  is not a topological generator of  $1 + p\mathbf{Z}_p$ .*

*Proof.* If  $|a - 1|_p \leq 1/p^2$ , so  $a \equiv 1 \pmod{p^2}$ , then  $a^m \equiv 1 \pmod{p^2}$  for all  $m \in \mathbf{N}$ . Writing this as  $a^m \in 1 + p^2\mathbf{Z}_p$ , we see (since  $1 + p^2\mathbf{Z}_p$  is closed) no integral power of  $a$  will get near a number that's in  $1 + p\mathbf{Z}_p$  but not in  $1 + p^2\mathbf{Z}_p$ . Thus  $a$  is not a topological generator.

Now we take  $|a - 1|_p = 1/p$ . For  $t \in 1 + p\mathbf{Z}_p$ , we want to show the integral powers of  $a$  get arbitrarily close to  $t$ . Closeness in  $\mathbf{Z}_p$  is described by  $p$ -power congruences, so it suffices to show for any  $n \geq 1$  that we can solve  $a^m \equiv t \pmod{p^n\mathbf{Z}_p}$  for some  $m \in \mathbf{N}$ . This is easy: in  $(1 + p\mathbf{Z}_p)/(1 + p^n\mathbf{Z}_p)$ ,  $a$  is a generator by the proof of Theorem 2.7, so every coset in this group contains a power of  $a$ . Hence  $t(1 + p^n\mathbf{Z}_p)$  contains some  $a^m$ . That means  $a^m \in t(1 + p^n\mathbf{Z}_p) = t + p^n\mathbf{Z}_p$ , so  $a^m \equiv t \pmod{p^n\mathbf{Z}_p}$ . ■

When  $|a-1|_p = 1/p$  and  $p \neq 2$ , the function  $\mathbf{Z}_p \rightarrow 1+p\mathbf{Z}_p$  given by  $x \mapsto a^x$  is continuous and the image is dense (since the nonnegative integral powers of  $a$  are dense in  $1+p\mathbf{Z}_p$ ). The image is compact since  $\mathbf{Z}_p$  is compact, so the image is closed. A closed subset of  $1+p\mathbf{Z}_p$  containing a dense subset has to be everything, so  $a^{\mathbf{Z}_p} = 1+p\mathbf{Z}_p$ . The analogous result when  $p=2$  is: if  $|a-1|_2 = 1/4$  then  $a$  is a topological generator of  $1+4\mathbf{Z}_2$ .

**Example 2.10.** Both 7 and 13 are topological generators of  $1+3\mathbf{Z}_3$ . In particular,  $13 = 7^a$  and  $7 = 13^b$  for some 3-adic integers  $a$  and  $b$ . So although 7 and 13 are multiplicatively independent allowing integer exponents (because they are different prime numbers), they are not multiplicatively independent if we allow 3-adic integer exponents. Put differently, the finitely generated group  $\langle 7, 13 \rangle$  is not cyclic but its 3-adic closure is the topologically cyclic group  $1+3\mathbf{Z}_3$  with either 7 or 13 as a topological generator.

Incidentally, how do we find  $a \in \mathbf{Z}_3$  such that  $13 = 7^a$ ? To estimate  $a$ , we can just solve  $13 \equiv 7^{a_n} \pmod{3^n}$  on a computer with successive values of  $n$ . For  $n = 2, 3, 4, 5, 6$ , the exponent that fits is 2, 5, 14, 14, 176. These are 3-adically consistent since  $176 = 2 + 3 + 3^2 + 2 \cdot 3^4$ , whose truncations are 2, 5, and 14. The 3-adic limit of such  $a_n$  is  $a$ .

### 3. REPRESENTATION OF $p$ -ADICALLY CONTINUOUS FUNCTIONS

So far we have  $p$ -adically interpolated the sequence  $a^n$  when  $|a-1|_p \leq 1/p$ . What about interpolating other sequences?<sup>1</sup> We will describe a simple construction for this purpose using binomial coefficient polynomials: for  $n \geq 0$ , set

$$(3.1) \quad \binom{X}{n} = \frac{X(X-1)\cdots(X-n+1)}{n!}.$$

The first few of these are  $\binom{X}{0} = 1$  (constant polynomial),  $\binom{X}{1} = X$ , and  $\binom{X}{2} = \frac{X(X-1)}{2}$ . We can treat these as functions on  $\mathbf{Q}_p$  and substitute  $p$ -adic numbers for  $X$ . When  $a \in \mathbf{N}$  with  $a \geq n$ , we know from combinatorics that  $\binom{a}{n} \in \mathbf{N}$ , and  $\binom{a}{n} = 0$  for  $n \geq 1$  when  $0 \leq a < n-1$ . What can be said about  $\binom{x}{n}$  for  $p$ -adic integers  $x$ ? Here is an illustrative example.

**Example 3.1.** Consider  $\binom{1/2}{n}$ . For  $n = 0, 1, 2, 3, 4, 5$ , and 6, the successive values are 1,  $1/2$ ,  $-1/8$ ,  $1/16$ ,  $-5/128$ ,  $7/256$ , and  $-21/1024$ . Notice the denominators are purely powers of 2. To show the rational number  $\binom{1/2}{n}$  has a 2-power denominator, we will show it is a  $p$ -adic integer for any odd prime  $p$ . (Make sure you understand why this would explain things!) When  $p \neq 2$ ,  $1/2 \in \mathbf{Z}_p$ , so  $1/2$  is a  $p$ -adic limit of positive integers, such as the truncations of its  $p$ -adic expansion. Since polynomial functions on  $\mathbf{Q}_p$  are continuous, writing  $1/2 = \lim_{k \rightarrow \infty} a_k$  with  $a_k \in \mathbf{N}$  we get

$$\binom{1/2}{n} = \lim_{k \rightarrow \infty} \binom{a_k}{n}.$$

The binomial coefficients  $\binom{a_k}{n}$  are in  $\mathbf{N}$  (by combinatorics), so a  $p$ -adic limit of them must be in  $\mathbf{Z}_p$ . Therefore  $\binom{1/2}{n} \in \mathbf{Z}_p$  for all odd primes  $p$ , so the only prime which can be in its denominator is 2.

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<sup>1</sup>We will only discuss further the *general* concept of interpolation. There are many *particular* sequences in number theory, such as special values of zeta-functions and  $L$ -functions, whose  $p$ -adic interpolation is a big business. But the background to understand what this means and why anyone should care would be another course.

There is a way to see  $\binom{1/2}{n}$  has a 2-power denominator without  $p$ -adic considerations. By working from the definition of  $\binom{1/2}{n}$  in (3.1) as a long product divided by  $n!$ , some algebraic manipulation yields

$$\binom{1/2}{n} = \frac{\binom{2n-2}{n-1} - \binom{2n-2}{n-2}}{2^{2n-1}}$$

for  $n \geq 2$ . The numerator is a difference of binomial coefficients and thus is an integer, so  $\binom{1/2}{n}$  has a denominator that is a power of 2. The numerator is sometimes even, so the power of 2 in the denominator of this formula is not necessarily the smallest one possible.

**Theorem 3.2.** *If  $x \in \mathbf{Z}_p$  then  $\binom{x}{n} \in \mathbf{Z}_p$  for all  $n \geq 0$ .*

*Proof.* Use  $p$ -adic continuity as in the example of  $\binom{1/2}{n}$ . For  $x \in \mathbf{Z}_p$ ,  $x$  is a  $p$ -adic limit of nonnegative integers, so  $\binom{x}{n}$  is a limit of ordinary binomial coefficients, which are nonnegative integers. Thus the limit is in  $\mathbf{Z}_p$ . ■

**Example 3.3.** For  $r \in \mathbf{Q}$ , the only primes that might appear in the denominator of  $\binom{r}{n} \in \mathbf{Q}$  are the primes in the denominator of  $r$ : if  $p$  is a prime not in the denominator of  $r$  then  $r \in \mathbf{Z}_p$  so  $\binom{r}{n} \in \mathbf{Z}_p$ , hence  $p$  is not in the denominator of  $\binom{r}{n}$ . Unlike the special case of  $\binom{1/2}{n}$ , it doesn't seem worth trying to find a non- $p$ -adic explanation of this very general result.

Now we are in a position to write down many examples of continuous functions  $\mathbf{Z}_p \rightarrow \mathbf{Q}_p$ . If  $c_n \in \mathbf{Q}_p$  and  $c_n \rightarrow 0$  as  $n \rightarrow \infty$ , the series

$$(3.2) \quad f(x) = \sum_{n \geq 0} c_n \binom{x}{n} = c_0 + c_1 x + c_2 \frac{x(x-1)}{2} + \dots$$

converges on  $\mathbf{Z}_p$  since the general term tends to 0. In fact,  $|c_n \binom{x}{n}|_p \leq |c_n|_p \rightarrow 0$  uniformly in  $x$ , so by the Weierstrass  $M$ -test this series is uniformly convergent on  $\mathbf{Z}_p$ . Each summand is a continuous function (polynomials are continuous), so  $f$  is continuous.

Let's see how to recover the coefficients  $c_n$  from the values of the function on  $\mathbf{N}$ . When  $x$  is a nonnegative integer, the series in (3.2) truncates to a finite sum and we get the successive values

$$f(0) = c_0, \quad f(1) = c_0 + c_1, \quad f(2) = c_0 + 2c_1 + c_2, \quad f(3) = c_0 + 3c_1 + 3c_2 + c_3.$$

These equations can be successively inverted to write the  $c_n$ 's in terms of  $f$ -values:

$$c_0 = f(0), \quad c_1 = f(1) - f(0), \quad c_2 = f(2) - 2f(1) + f(0), \quad c_3 = f(3) - 3f(2) + 3f(1) - f(0).$$

The evident alternating sign and binomial coefficient patterns continue, and it turns out that

$$(3.3) \quad c_n = \sum_{k=0}^n (-1)^{n-k} \binom{n}{k} f(k)$$

for all  $n$ . In particular, the coefficients  $c_n$  in the series (3.2) are uniquely determined, just like coefficients in a power series expansion are uniquely determined. Amazingly, the simple construction in (3.2) of continuous functions from  $\mathbf{Z}_p$  to  $\mathbf{Q}_p$  accounts for all of them.

**Theorem 3.4 (Mahler, 1958).** *If  $f: \mathbf{Z}_p \rightarrow \mathbf{Q}_p$  is continuous then the sequence of numbers  $c_n$  defined by (3.3) tends to 0 and the representation (3.2) holds for all  $x$  in  $\mathbf{Z}_p$ .*

The hard part of this proof (which we omit) is showing the sequence  $c_n$  in (3.3)  $p$ -adically tends to 0 as  $n \rightarrow \infty$  when  $f$  is a continuous function. Once we grant this decay in the  $c_n$ 's, the rest of the proof is easy: define a continuous function  $g: \mathbf{Z}_p \rightarrow \mathbf{Q}_p$  by  $g(x) = \sum_{n \geq 0} c_n \binom{x}{n}$ , invert the formula (3.3) to show  $f(m) = g(m)$  for all  $m \in \mathbf{N}$ , and then by continuity of both sides we have  $f(x) = g(x)$  for all  $x \in \mathbf{Z}_p$ .

Since the partial sums  $\sum_{n=0}^N c_n \binom{x}{n}$  converge uniformly (not just pointwise) to  $f$ , and are polynomials, we have a very explicit  $p$ -adic Stone-Weierstrass theorem for continuous functions  $\mathbf{Z}_p \rightarrow \mathbf{Q}_p$ . The classical Stone-Weierstrass theorem (well, Weierstrass' part) says any continuous function  $[0, 1] \rightarrow \mathbf{R}$  can be uniformly approximated by real polynomial functions.

Now we have a necessary and sufficient criterion for  $p$ -adic interpolation: if  $a_n$  is a sequence of  $p$ -adic numbers, there is a continuous function  $f: \mathbf{Z}_p \rightarrow \mathbf{Q}_p$  interpolating these values, in the sense that  $f(n) = a_n$  for all  $n \in \mathbf{N}$ , if and only if the sequence of numbers

$$\sum_{k=0}^n (-1)^{n-k} \binom{n}{k} a_k$$

tends to 0 in  $\mathbf{Q}_p$  as  $n \rightarrow \infty$ .

**Example 3.5.** The sequence  $n!$  tends to 0 in  $\mathbf{Q}_p$  as  $n \rightarrow \infty$ , so

$$\sum_{n \geq 0} n! \binom{x}{n} = 1 + x + x(x-1) + x(x-1)(x-2) + \cdots$$

is a continuous function on  $\mathbf{Z}_p$  (for all  $p$ ). This is, as far as I am aware, a *useless* function.

**Example 3.6.** Another sequence tending to 0  $p$ -adically is  $p^n$ . The continuous function  $\sum_{n \geq 0} p^n \binom{x}{n}$  is something very familiar. It's just  $(1+p)^x$ :

$$(1+p)^x = \sum_{n \geq 0} p^n \binom{x}{n}.$$

It's easy to check this: since both sides are continuous in  $x$ , it suffices to check they agree on  $\mathbf{N}$  to know they agree on  $\mathbf{Z}_p$ . When  $x = m$  is a natural number, the right side truncates to the finite sum  $\sum_{n=0}^m p^n \binom{m}{n}$ , which is  $(1+p)^m$  by the binomial theorem!

In fact, whenever  $|a-1|_p < 1$  the powers  $(a-1)^n$  tend to 0 and we have

$$(3.4) \quad a^x = \sum_{n \geq 0} (a-1)^n \binom{x}{n}$$

for  $x \in \mathbf{Z}_p$  since both sides are continuous and are equal on  $\mathbf{N}$ . We could have bypassed the whole original interpolation business with powers of  $a$  and just written down the formula  $\sum_{n \geq 0} (a-1)^n \binom{x}{n}$  as an obvious (!) continuous function of  $x \in \mathbf{Z}_p$  which has the values  $a^m$  when  $x = m$  is a natural number. This provides an alternate proof that the nonnegative powers of  $a$  have a  $p$ -adic interpolation when  $|a-1|_p < 1$ , because we can simply write down a continuous function with the integral powers of  $a$  as its special values on nonnegative integers.

**Example 3.7.** Taking  $a = -1$ , so  $a-1 = -2$ , our knowledge of  $(-1)^x$  for  $x \in \mathbf{Z}_2$  gives us

$$\sum_{n \geq 0} (-2)^n \binom{x}{n} = \begin{cases} 1, & \text{if } x \in 2\mathbf{Z}_2, \\ -1, & \text{if } x \in 1 + 2\mathbf{Z}_2. \end{cases}$$

The series (3.4) begins as  $a^x = 1 + (a - 1)x + (a - 1)^2 \binom{x}{2} + \dots$ , so

$$|a^x - 1|_p \leq \max_{n \geq 1} \left| (a - 1)^n \binom{x}{n} \right|_p \leq \max_{n \geq 1} |(a - 1)^n|_p \leq |a - 1|_p,$$

which is one of the estimates we found earlier by another method.

**Remark 3.8.** Although  $\binom{x}{n}$  is a polynomial, it is not at all the case that a series  $\sum_{n \geq 0} c_n \binom{x}{n}$  with  $c_n \rightarrow 0$  can generally be rearranged to become a power series in  $x$ . Indeed, series of the type  $\sum_{n \geq 0} c_n \binom{x}{n}$  describe all continuous functions  $\mathbf{Z}_p \rightarrow \mathbf{Q}_p$  but most continuous functions are not  $p$ -adic analytic (that is, are not given by a single power series convergent on all of  $\mathbf{Z}_p$ ).

The most important property of the expansion (3.2) for continuous functions is that the natural concept of size for a function is the same as the natural concept of size for its sequence of coefficients (which are going to 0):

$$(3.5) \quad \max_{x \in \mathbf{Z}_p} |f(x)|_p = \max_{n \geq 0} |c_n|_p.$$

The proof of this is trivial: from the series  $f(x) = \sum_{n \geq 0} c_n \binom{x}{n}$  we get

$$|f(x)|_p \leq \max_{n \geq 0} \left| c_n \binom{x}{n} \right|_p \leq \max_{n \geq 0} |c_n|_p.$$

This holds for all  $x$ , so  $\max_{x \in \mathbf{Z}_p} |f(x)|_p \leq \max_{n \geq 0} |c_n|_p$ . For the reverse inequality, we use (3.3): the binomial coefficients  $\binom{n}{k}$  there are integers, so their  $p$ -adic size is at most 1, hence

$$|c_n|_p \leq \max_{0 \leq k \leq n} |f(k)|_p \leq \max_{x \in \mathbf{Z}_p} |f(x)|_p.$$

This holds for all  $n$ , so  $\max_{n \geq 0} |c_n|_p \leq \max_{x \in \mathbf{Z}_p} |f(x)|_p$ .

Let  $C(\mathbf{Z}_p, \mathbf{Q}_p)$  be the continuous functions from  $\mathbf{Z}_p$  to  $\mathbf{Q}_p$  and let  $c_0(\mathbf{Q}_p) = \{(c_n) : c_n \in \mathbf{Q}_p, c_n \rightarrow 0\}$  be the sequences tending to 0 in  $\mathbf{Q}_p$ . Both are  $\mathbf{Q}_p$ -vector spaces under the natural addition and  $\mathbf{Q}_p$ -scaling. Let  $\|f\| = \max_{x \in \mathbf{Z}_p} |f(x)|_p$  and  $\|(c_n)\| = \max_{n \geq 0} |c_n|_p$ . Using  $\|f - g\|$  and  $\|(c_n) - (d_n)\|$  as the distances between two functions and two sequences, both  $C(\mathbf{Z}_p, \mathbf{Q}_p)$  and  $c_0(\mathbf{Q}_p)$  are complete metric spaces. In fact, (3.5) tells us these spaces are isomorphic to each other, with the isomorphism being  $f \mapsto (c_n)$  where the  $c_n$ 's are the coefficients in the expansion of  $f$  given by (3.3). This is completely unlike the real case, where  $C([0, 1], \mathbf{R})$  is (provably) not isomorphic to the space  $c_0(\mathbf{R})$  of real sequences tending to 0.<sup>2</sup>

#### 4. $p$ -ADIC INTEGRATION

As a final topic, let's briefly discuss  $p$ -adic integration. There are two senses one can mean by the phrase “ $p$ -adic integration”:

- integration of real/complex-valued functions defined on a  $p$ -adic space (like  $\mathbf{Z}_p$ ),
- integration of  $p$ -adic valued functions defined on a  $p$ -adic space (like  $\mathbf{Z}_p$ ).

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<sup>2</sup>The proof of this needs functional analysis. The natural map from  $C([0, 1], \mathbf{R})$  to its double dual space is an isomorphism while the natural map of  $c_0(\mathbf{R})$  to its double dual space is not an isomorphism. Here the “dual space” is meant in the sense of analysis: the *continuous* linear functionals.

The first kind of integration is just a special case of the general theory of integration through measure theory. It's the second integration that presents something really new: integrating a function taking  $p$ -adic values.

Let's recall the more classical type of integration through linear functionals. Integration of real-valued continuous functions on  $[0, 1]$  can be regarded as a function  $I: C([0, 1], \mathbf{R}) \rightarrow \mathbf{R}$ , where

$$I(f) = \int_0^1 f(x) dx.$$

This  $I$  is  $\mathbf{R}$ -linear and satisfies  $|I(f)| \leq \|f\|$ , where  $\|f\|$  denotes the maximum value of  $|f(x)|$  for  $x \in [0, 1]$ . Using measure theory, all  $\mathbf{R}$ -linear functions  $I: C([0, 1], \mathbf{R}) \rightarrow \mathbf{R}$  such that  $|I(f)| \leq c\|f\|$  for some nonnegative constant  $c$  turn out to be integrals:  $I(f) = \int_0^1 f(x) d\mu(x)$  where  $\mu$  is a measure on  $[0, 1]$ .

In the  $p$ -adic framework, we will call any  $\mathbf{Q}_p$ -linear function  $I: C(\mathbf{Z}_p, \mathbf{Q}_p) \rightarrow \mathbf{Q}_p$  such that  $|I(f)|_p \leq c\|f\|$  for some nonnegative constant  $c$  a  $p$ -adic integral. It's very easy to write examples of these down. Fixing a bounded sequence  $\mathbf{b} = (b_n)$  in  $\mathbf{Q}_p$ , we can take its dot product with the coefficients in the expansion  $f(x) = \sum_{n \geq 0} c_n \binom{x}{n}$  of any  $f \in C(\mathbf{Z}_p, \mathbf{Q}_p)$ :

$$I_{\mathbf{b}}(f) \stackrel{\text{def}}{=} \sum_{n \geq 0} b_n c_n.$$

This series converges in  $\mathbf{Q}_p$  since the general term tends to 0. The value of the series is some  $p$ -adic number, and  $I_{\mathbf{b}}$  is a  $p$ -adic integral. It turns out all  $p$ -adic integrals have the form  $I_{\mathbf{b}}$  for some bounded sequence  $\mathbf{b}$  in  $\mathbf{Q}_p$ .

If we want to turn sequences in  $c_0(\mathbf{R})$  into real numbers in a nice way, we can't do as in the  $p$ -adic case by a dot product with a bounded real sequence: if  $(b_n)$  is bounded in  $\mathbf{R}$  and  $c_n \rightarrow 0$  in  $\mathbf{R}$ , the dot product  $\sum_n b_n c_n$  need not converge. For the series  $\sum b_n c_n$  to make sense for *all* sequences  $(c_n)$  tending to 0, it would be sufficient for the series  $\sum b_n$  to be absolutely convergent, and that sufficient condition is necessary too. It's interesting that in the  $p$ -adic setting we had no need for the notion of absolutely convergent series.