

# DECOMPOSING $\mathrm{SL}_2(\mathbf{R})$

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## 1. INTRODUCTION

The group  $\mathrm{SL}_2(\mathbf{R})$  is not easy to visualize: it naturally lies in  $\mathrm{M}_2(\mathbf{R})$ , which is 4-dimensional (the entries of a variable  $2 \times 2$  real matrix are 4 free parameters). We will derive a product decomposition for  $\mathrm{SL}_2(\mathbf{R})$  and use it to get a concrete image of  $\mathrm{SL}_2(\mathbf{R})$ .

Inside  $\mathrm{SL}_2(\mathbf{R})$  are the following three subgroups:

$$K = \left\{ \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \right\}, \quad A = \left\{ \begin{pmatrix} r & 0 \\ 0 & 1/r \end{pmatrix} : r > 0 \right\}, \quad N = \left\{ \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} \right\}.$$

**Theorem 1.1.** *We have a decomposition  $\mathrm{SL}_2(\mathbf{R}) = KAN$ : every  $g \in \mathrm{SL}_2(\mathbf{R})$  has a unique representation as  $g = kan$  where  $k \in K$ ,  $a \in A$ , and  $n \in N$ .*

This formula  $\mathrm{SL}_2(\mathbf{R}) = KAN$  is called the *Iwasawa decomposition* of the group. Don't confuse the use of  $a$  in Theorem 1.1 as the label for a matrix in  $A$  with  $a$  as a real number in the matrix  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$ . The distinction should always be clear from the context. Since  $\mathrm{SL}_2(\mathbf{R})$  is defined by the single equation  $ad - bc = 1$  inside of  $\mathrm{M}_2(\mathbf{R})$ , it is a manifold of dimension  $4 - 1 = 3$ . The subgroups  $K$ ,  $A$ , and  $N$  are each 1-dimensional ( $K \cong S^1$ ,  $A \cong \mathbf{R}_{>0}$ , and  $N \cong \mathbf{R}$ ), and Theorem 1.1 shows they fully account for the 3 dimensions of  $\mathrm{SL}_2(\mathbf{R})$ .

The subgroups in the Iwasawa decomposition are related to conjugacy classes. We will see that a matrix in  $\mathrm{SL}_2(\mathbf{R})$  is, up to sign, conjugate to a matrix in  $K$ ,  $A$ , or  $N$ .

## 2. IWASAWA DECOMPOSITION

To derive the Iwasawa decomposition of  $\mathrm{SL}_2(\mathbf{R})$  we will use an action of this group on bases in  $\mathbf{R}^2$ .

For  $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  in  $\mathrm{SL}_2(\mathbf{R})$ , apply it to the standard basis  $e_1, e_2$ . The vectors

$$ge_1 = \begin{pmatrix} a \\ c \end{pmatrix}, \quad ge_2 = \begin{pmatrix} b \\ d \end{pmatrix}$$

are also a basis of  $\mathbf{R}^2$ . We will pass from this new basis of  $\mathbf{R}^2$  back to the standard basis  $e_1, e_2$  of  $\mathbf{R}^2$  by a sequence of transformations in  $\mathrm{SL}_2(\mathbf{R})$  that amounts to something like the Gram-Schmidt process (which turns a basis of  $\mathbf{R}^n$  into an orthonormal basis of  $\mathbf{R}^n$ ).

Let  $\theta$  be the angle *from* the positive  $x$ -axis *to*  $ge_1$ . Let  $\rho_\theta$  be the counterclockwise rotation of the plane around the origin by  $\theta$ , so  $\rho_{-\theta}(ge_1)$  is on the positive  $x$ -axis. Because  $\det g$  is positive, the ordered pair of vectors  $(ge_1, ge_2)$  has the same orientation as the ordered pair  $(e_1, e_2)$ , so  $\rho_{-\theta}(ge_2)$  is in the upper (rather than lower) half-plane.

Since  $\rho_{-\theta}(ge_1)$  is a positive scalar multiple of  $e_1$ , we want to divide  $\rho_{-\theta}(ge_1)$  by its length so it becomes  $e_1$ . Its length is  $r = \|\rho_{-\theta}(ge_1)\| = \|ge_1\| = \sqrt{a^2 + c^2}$ . Applying  $\begin{pmatrix} 1/r & 0 \\ 0 & 1/r \end{pmatrix}$  will have the desired effect  $\rho_{-\theta}(ge_1) \mapsto e_1$ , but this matrix doesn't have determinant 1. On the other hand,  $\begin{pmatrix} 1/r & 0 \\ 0 & r \end{pmatrix}$  also has the desired effect on  $\rho_{-\theta}(ge_1)$  and has determinant 1. So

apply the matrix  $\begin{pmatrix} 1/r & 0 \\ 0 & r \end{pmatrix}$  to  $\mathbf{R}^2$ . It sends  $\rho_{-\theta}(ge_1)$  to  $\begin{pmatrix} 1/r & 0 \\ 0 & r \end{pmatrix}\rho_{-\theta}(ge_1) = e_1$ . What does it do to  $\rho_{-\theta}(ge_2)$ ? The vector  $\begin{pmatrix} 1/r & 0 \\ 0 & r \end{pmatrix}\rho_{-\theta}(ge_2)$  is in the upper half-plane (because  $\begin{pmatrix} 1/r & 0 \\ 0 & r \end{pmatrix}$  has positive determinant) and along with  $e_1$  it forms two edges of a parallelogram with area 1 (because  $\begin{pmatrix} 1/r & 0 \\ 0 & r \end{pmatrix}$  has determinant  $\pm 1$ ). A parallelogram with area 1 having base  $e_1$  must have height 1, so  $\begin{pmatrix} 1/r & 0 \\ 0 & r \end{pmatrix}\rho_{-\theta}(ge_2) = \begin{pmatrix} x \\ 1 \end{pmatrix}$  for some  $x$ .

Each horizontal shear transformation  $\begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix}$ , which has determinant 1, fixes the  $x$ -axis and acts as a stretching along each horizontal line. Applying the horizontal shear transformation  $\begin{pmatrix} 1 & -x \\ 0 & 1 \end{pmatrix}$  to  $\mathbf{R}^2$  takes  $\begin{pmatrix} x \\ 1 \end{pmatrix}$  to  $\begin{pmatrix} 0 \\ 1 \end{pmatrix} = e_2$  and fixes  $e_1$ . We have finally returned to the standard basis  $e_1, e_2$  from the basis  $ge_1, ge_2$  by a sequence of transformations in  $\mathrm{SL}_2(\mathbf{R})$ . Our overall composite transformation is

$$\begin{pmatrix} 1 & -x \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1/r & 0 \\ 0 & r \end{pmatrix} \rho_{-\theta},$$

so

$$\begin{pmatrix} 1 & -x \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1/r & 0 \\ 0 & r \end{pmatrix} \rho_{-\theta} g$$

sends  $e_1$  to  $e_1$  and  $e_2$  to  $e_2$ . A linear transformation on  $\mathbf{R}^2$  is determined by what it does to a basis, so

$$\begin{pmatrix} 1 & -x \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1/r & 0 \\ 0 & r \end{pmatrix} \rho_{-\theta} g = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}.$$

Solving for  $g$ ,

$$\begin{aligned} g &= \rho_{\theta} \begin{pmatrix} 1/r & 0 \\ 0 & r \end{pmatrix}^{-1} \begin{pmatrix} 1 & -x \\ 0 & 1 \end{pmatrix}^{-1} \\ &= \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} r & 0 \\ 0 & 1/r \end{pmatrix} \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} \\ &\in KAN. \end{aligned}$$

Such an expression for  $g$  as a product  $kan$  with  $k \in K$ ,  $a \in A$ , and  $n \in N$  is called the Iwasawa decomposition of  $g$ .

To check this decomposition is unique, for each angle  $\theta$ ,  $r > 0$ , and  $x \in \mathbf{R}$ , set

$$g = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} r & 0 \\ 0 & 1/r \end{pmatrix} \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} r \cos \theta & xr \cos \theta - (1/r) \sin \theta \\ r \sin \theta & xr \sin \theta + (1/r) \cos \theta \end{pmatrix}.$$

If this is  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbf{R})$  then

$$(2.1) \quad r = \sqrt{a^2 + c^2} > 0, \quad \cos \theta = \frac{a}{r}, \quad \sin \theta = \frac{c}{r},$$

and

$$x = \begin{cases} \frac{b + (1/r) \sin \theta}{r \cos \theta}, & \text{if } \cos \theta \neq 0, \\ \frac{d - (1/r) \cos \theta}{r \sin \theta}, & \text{if } \sin \theta \neq 0. \end{cases}$$

Substituting the formulas for  $\cos \theta$  and  $\sin \theta$  into the formula for  $x$ , and using  $ad - bc = 1$ , we obtain the uniform formula

$$(2.2) \quad x = \frac{ab + cd}{a^2 + c^2}.$$

All the parameters in the matrices making up the Iwasawa decomposition of  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  are determined in (2.1) and (2.2), so the Iwasawa decomposition is unique. This completes

the proof of Theorem 1.1. In an appendix we derive the Iwasawa decomposition using a different action of  $\mathrm{SL}_2(\mathbf{R})$ , on the upper half-plane.

The Iwasawa decomposition for  $\mathrm{SL}_2(\mathbf{R})$  extends to higher dimensions:  $\mathrm{SL}_n(\mathbf{R}) = KAN$  where  $K = \mathrm{SO}_n(\mathbf{R}) = \{T \in \mathrm{GL}_n(\mathbf{R}) : TT^\top = I_n, \det T = 1\}$ ,  $A$  is the group of diagonal matrices with positive diagonal entries (and determinant 1) and  $N$  is the group of upper-triangular matrices with 1's along the main diagonal. While  $A$  and  $N$  are both isomorphic to  $\mathbf{R}$  when  $n = 2$ ,  $N$  becomes nonabelian for  $n > 2$ . The group  $K$  is compact, the group  $A \cong (\mathbf{R}_{>0})^{n-1} \cong \mathbf{R}^{n-1}$  is abelian, and  $N$  is a nilpotent group. This explains the notation  $A$  and  $N$ , for abelian and nilpotent.

Since

$$(2.3) \quad \begin{pmatrix} r & 0 \\ 0 & 1/r \end{pmatrix} \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & r^2x \\ 0 & 1 \end{pmatrix} \begin{pmatrix} r & 0 \\ 0 & 1/r \end{pmatrix},$$

we can move each element of  $A$  past an element of  $N$  (on either side) at the cost of changing the element of  $N$ . Therefore  $AN = NA$  is a subgroup of  $\mathrm{SL}_2(\mathbf{R})$ . Explicitly,

$$(2.4) \quad AN = \left\{ \begin{pmatrix} y & x \\ 0 & 1/y \end{pmatrix} : y > 0, x \in \mathbf{R} \right\}.$$

The Iwasawa decomposition  $KAN = K(AN)$  for  $\mathrm{SL}_2(\mathbf{R})$  is the analogue of the polar decomposition  $S^1 \times \mathbf{R}_{>0}$  for  $\mathbf{C}^\times$ .

In the Iwasawa decomposition, neither  $K$  nor  $AN$  (nor  $A$  or  $N$ ) is normal in  $\mathrm{SL}_2(\mathbf{R})$ . For example, the conjugate of an element of  $K$  by  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  is usually not in  $K$  and the conjugate of an element of  $AN$  by  $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$  is usually not in  $AN$ . Because of the non-normality, it is not easy to describe the group operation in  $\mathrm{SL}_2(\mathbf{R})$  in terms of its Iwasawa decomposition. This decomposition is important for other purposes, such as the following.

**Corollary 2.1.** *As a topological space,  $\mathrm{SL}_2(\mathbf{R})$  is homeomorphic to the inside of a solid torus.*

*Proof.* Let  $f: K \times A \times N \rightarrow \mathrm{SL}_2(\mathbf{R})$  by  $f(k, a, n) = kan$ . This is continuous, and by Theorem 1.1 it is surjective. We can write down an inverse function using the computations at the end of the proof of Theorem 1.1. For  $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  in  $\mathrm{SL}_2(\mathbf{R})$ , define  $r(g) = \sqrt{a^2 + c^2}$  and

$$k(g) = \begin{pmatrix} a/r(g) & -c/r(g) \\ c/r(g) & a/r(g) \end{pmatrix}, \quad a(g) = \begin{pmatrix} r(g) & 0 \\ 0 & 1/r(g) \end{pmatrix},$$

$$n(g) = \begin{pmatrix} 1 & (ab + cd)/(a^2 + c^2) \\ 0 & 1 \end{pmatrix}.$$

The function  $g \mapsto (k(g), a(g), n(g))$  from  $\mathrm{SL}_2(\mathbf{R})$  to  $K \times A \times N$  is continuous and is an inverse to  $f$ .

Topologically,  $K \cong S^1$ ,  $A \cong \mathbf{R}_{>0} \cong \mathbf{R}$ , and  $N \cong \mathbf{R}$ . Therefore topologically,  $\mathrm{SL}_2(\mathbf{R}) \cong S^1 \times \mathbf{R}^2$ . The plane  $\mathbf{R}^2$  is homeomorphic to the open unit disc  $D$  by  $v \mapsto v/\sqrt{1 + \|v\|^2}$  (with inverse  $w \mapsto w/\sqrt{1 - \|w\|^2}$ ), where  $\|\cdot\|$  is the usual length function on  $\mathbf{R}^2$ , so as a topological space  $\mathrm{SL}_2(\mathbf{R})$  is homeomorphic to  $S^1 \times D$ , which is the inside of a solid torus.

As an alternate ending, on the decomposition  $K \times A \times N \cong S^1 \times \mathbf{R}_{>0} \times \mathbf{R}$  treat the product  $\mathbf{R}_{>0} \times \mathbf{R}$  as the right half plane  $\{x + iy : x > 0\}$  and identify it with the open unit disc  $D$  by the Cayley transformation  $z \mapsto (z - 1)/(z + 1)$ . (Vertical lines in the half-plane are sent to circles inside  $D$  that are tangent to the unit circle at 1.)  $\square$

Although the proof of Corollary 2.1 shows  $\mathrm{SL}_2(\mathbf{R})$  and  $S^1 \times \mathbf{R}^2$  are homeomorphic as topological spaces, they are not isomorphic as groups. Equivalently, the homeomorphism  $K \times A \times N \rightarrow \mathrm{SL}_2(\mathbf{R})$  in Corollary 2.1 is not a group homomorphism.

The Iwasawa decomposition of a matrix in  $K$ ,  $A$ , or  $N$  is the obvious one. For a lower triangular matrix  $\begin{pmatrix} 1 & 0 \\ y & 1 \end{pmatrix}$ , which is in none of these subgroups, the inverse map in the proof of Corollary 2.1 gives us the decomposition

$$\begin{pmatrix} 1/\sqrt{1+y^2} & -y/\sqrt{1+y^2} \\ y/\sqrt{1+y^2} & 1/\sqrt{1+y^2} \end{pmatrix} \begin{pmatrix} \sqrt{1+y^2} & 0 \\ 0 & 1/\sqrt{1+y^2} \end{pmatrix} \begin{pmatrix} 1 & y/(1+y^2) \\ 0 & 1 \end{pmatrix}.$$

**Remark 2.2.** The inside of a solid torus has a circle as a strong deformation retract, so the fundamental group of  $\mathrm{SL}_2(\mathbf{R})$  is isomorphic to that of a circle:  $\pi_1(\mathrm{SL}_2(\mathbf{R})) \cong \pi_1(S^1) \cong \mathbf{Z}$ . From the connection between covering spaces and subgroups of the fundamental group,  $\mathrm{SL}_2(\mathbf{R})$  admits a unique covering space of degree  $d$  for each positive integer  $d$  and the universal covering space of  $\mathrm{SL}_2(\mathbf{R})$  is the inside of a solid cylinder  $\mathbf{R} \times D$  (homeomorphic to  $\mathbf{R}^3$ ). The degree-2 cover of  $\mathrm{SL}(2, \mathbf{R})$  is an important group called the metaplectic group.

We can write down an explicit example of a noncontractible loop in  $\mathrm{SL}_2(\mathbf{R})$ : the subgroup  $K$ , or rather the obvious map  $S^1 \rightarrow K$ . To prove this loop is noncontractible in  $\mathrm{SL}_2(\mathbf{R})$  we use the Iwasawa decomposition to write down a strong deformation retract from  $\mathrm{SL}_2(\mathbf{R})$  to  $K$ . Let  $h: \mathrm{SL}_2(\mathbf{R}) \times [0, 1] \rightarrow K$  by

$$h(kan, t) = ka^t n^t = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} r^t & 0 \\ 0 & 1/r^t \end{pmatrix} \begin{pmatrix} 1 & tx \\ 0 & 1 \end{pmatrix}.$$

This is continuous with  $h(kan, 0) = k$ ,  $h(kan, 1) = kan$ , and  $h(k, t) = k$ . Therefore  $\pi_1(\mathrm{SL}_2(\mathbf{R})) \cong \pi_1(K) \cong \mathbf{Z}$ , so  $K$  has to be a noncontractible loop in  $\mathrm{SL}_2(\mathbf{R})$  since  $K$  is noncontractible in  $K$ .

That the Iwasawa decomposition gives us a picture of  $\mathrm{SL}_2(\mathbf{R})$  is a striking geometric application. Here is an algebraic application (whose punchline is the corollary).

**Theorem 2.3.** *The only continuous homomorphism  $\mathrm{SL}_2(\mathbf{R}) \rightarrow \mathbf{R}$  is the trivial homomorphism.*

*Proof.* Let  $f: \mathrm{SL}_2(\mathbf{R}) \rightarrow \mathbf{R}$  be a continuous homomorphism. Then

$$f(kan) = f(k) + f(a) + f(n).$$

We will show  $f$  is trivial on  $K$ ,  $A$ , and  $N$ , and thus  $f$  is trivial on  $KAN = \mathrm{SL}_2(\mathbf{R})$ .

Since  $K \cong S^1$ , the elements of finite order in  $K$  are dense. Since  $\mathbf{R}$  has no elements of finite order except 0,  $f$  is trivial on a dense subset of  $K$  and thus is trivial on  $K$  by continuity. (As an alternate argument, since  $K$  is a compact group so is  $f(K)$ , and the only compact subgroup of  $\mathbf{R}$  is  $\{0\}$ .)

Now we look at  $f$  on  $A$  and  $N$ . Since  $A \cong \mathbf{R}_{>0} \cong \mathbf{R}$  by  $\begin{pmatrix} r & 0 \\ 0 & 1/r \end{pmatrix} \mapsto \log r$  and  $N \cong \mathbf{R}$  by  $\begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} \mapsto x$ , both algebraically and topologically, describing the continuous homomorphisms from  $A$  and  $N$  to  $\mathbf{R}$  is the same as describing the continuous homomorphisms from  $\mathbf{R}$  to  $\mathbf{R}$ . All continuous homomorphisms  $\mathbf{R} \rightarrow \mathbf{R}$  have the form  $x \mapsto tx$  for some real number  $t$  (see where 1 goes, call that  $t$ , and then appeal to the denseness of  $\mathbf{Q}$  in  $\mathbf{R}$ ). Therefore

$$f \begin{pmatrix} r & 0 \\ 0 & 1/r \end{pmatrix} = t \log r, \quad f \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} = t'x$$

for some  $t$  and  $t'$ . Applying  $f$  to both sides of (2.3),

$$t \log r + t'x = t'r^2x + t \log r,$$

so  $t'x = t'r^2x$  for all  $r > 0$  and  $x \in \mathbf{R}$ . Thus  $t' = 0$  (e.g., take  $x = 1$  and  $r = 2$  to see this.) This shows  $f$  is trivial on  $N$ .

It remains to show  $f$  is trivial on  $A$ . For this we appeal to the conjugation relation

$$\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} r & 0 \\ 0 & 1/r \end{pmatrix} \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}^{-1} = \begin{pmatrix} 1/r & 0 \\ 0 & r \end{pmatrix} = \begin{pmatrix} r & 0 \\ 0 & 1/r \end{pmatrix}^{-1}$$

Applying  $f$ , we get  $f\left(\begin{smallmatrix} r & 0 \\ 0 & 1/r \end{smallmatrix}\right) = -f\left(\begin{smallmatrix} r & 0 \\ 0 & 1/r \end{smallmatrix}\right)$ , so  $f\left(\begin{smallmatrix} r & 0 \\ 0 & 1/r \end{smallmatrix}\right) = 0$ .  $\square$

**Corollary 2.4.** *Every continuous homomorphism  $\mathrm{SL}_2(\mathbf{R}) \rightarrow \mathrm{GL}_n(\mathbf{R})$  has image in  $\mathrm{SL}_n(\mathbf{R})$ .*

*Proof.* Let  $f: \mathrm{SL}_2(\mathbf{R}) \rightarrow \mathrm{GL}_n(\mathbf{R})$  be a continuous homomorphism. Composing  $f$  with the determinant  $\mathrm{GL}_n(\mathbf{R}) \rightarrow \mathbf{R}^\times$  gives a continuous homomorphism  $\det \circ f: \mathrm{SL}_2(\mathbf{R}) \rightarrow \mathbf{R}^\times$ . Since  $\mathrm{SL}_2(\mathbf{R})$  is connected (Corollary 2.1), its image under  $\det \circ f$  is a connected subgroup of  $\mathbf{R}^\times$ , so it lies in  $\mathbf{R}_{>0}$ . As  $\mathbf{R}_{>0} \cong \mathbf{R}$  both topologically and algebraically,  $\det \circ f$  is trivial by Theorem 2.3. Thus  $\det(f(g)) = 1$  for all  $g \in \mathrm{SL}_2(\mathbf{R})$ , so  $f(\mathrm{SL}_2(\mathbf{R})) \subset \mathrm{SL}_n(\mathbf{R})$ .  $\square$

**Example 2.5.** We will construct a continuous homomorphism  $\mathrm{GL}_2(\mathbf{R}) \rightarrow \mathrm{GL}_3(\mathbf{R})$  and see its restriction to  $\mathrm{SL}_2(\mathbf{R})$  has values in  $\mathrm{SL}_3(\mathbf{R})$ .

Let  $V = \mathbf{R}x^2 + \mathbf{R}xy + \mathbf{R}y^2$  be the vector space of homogeneous polynomials in  $x$  and  $y$  of degree 2: quadratic forms on  $\mathbf{R}^2$ . This space is 3-dimensional, with basis  $x^2, xy, y^2$ . For  $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  in  $\mathrm{GL}_2(\mathbf{R})$  and  $Q(x, y)$  in  $V$ , set  $(gQ)(x, y) = Q(ax + cy, bx + dy)$ . If we think of quadratic forms and matrices acting on column vectors from the left, then  $(gQ)\begin{pmatrix} x \\ y \end{pmatrix} = Q\left(g^\top \begin{pmatrix} x \\ y \end{pmatrix}\right)$ . Check that  $g_1(g_2Q) = (g_1g_2)Q$ , so  $\mathrm{GL}_2(\mathbf{R})$  acts on  $V$  from the left.

For instance, let  $Q(x, y) = x^2 + y^2$ ,  $g_1 = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ , and  $g_2 = \begin{pmatrix} 0 & 1 \\ 1 & 1 \end{pmatrix}$ . Then  $g_1g_2 = \begin{pmatrix} 1 & 2 \\ 1 & 1 \end{pmatrix}$ , so

$$(g_2Q)(x, y) = Q(y, x + y) = y^2 + (x + y)^2 = x^2 + 2xy + 2y^2,$$

$$(g_1(g_2Q))(x, y) = (g_2Q)(x, x + y) = x^2 + 2x(x + y) + 2(x + y)^2 = 5x^2 + 6xy + 2y^2,$$

and

$$((g_1g_2)Q)(x, y) = Q(x + y, 2x + y) = (x + y)^2 + (2x + y)^2 = 5x^2 + 6xy + 2y^2,$$

which illustrates that  $g_1(g_2Q) = (g_1g_2)Q$ .<sup>1</sup>

The left action of  $\mathrm{GL}_2(\mathbf{R})$  on  $V$  above is a linear change of variables on  $V$  given by the entries of  $2 \times 2$  matrices. Since  $g(Q + Q') = g(Q) + g(Q')$  and  $g(sQ) = sg(Q)$  for  $s \in \mathbf{R}$ , the action of  $g$  on  $V$  is a linear transformation (necessarily invertible, since the action of  $g^{-1}$  on  $V$  is its inverse). Using the basis  $x^2, xy, y^2$  of  $V$ , we can compute a matrix representation of  $g$  on  $V$ : we have

$$g(x^2) = (ax + cy)^2 = a^2x^2 + 2acxy + c^2y^2,$$

$$g(xy) = (ax + cy)(bx + dy) = abx^2 + (ad + bc)xy + cdy^2,$$

and

$$g(y^2) = (bx + dy)^2 = b^2x^2 + 2bdxy + d^2y^2,$$

so the matrix of  $g$  with respect to the basis  $x^2, xy, y^2$  is

$$\begin{pmatrix} a^2 & ab & b^2 \\ 2ac & ad + bc & 2bd \\ c^2 & cd & d^2 \end{pmatrix}.$$

<sup>1</sup>If  $(g*Q)(x, y) = Q(ax + by, cx + dy)$ , or equivalently  $(g*Q)\begin{pmatrix} x \\ y \end{pmatrix} = Q\left(g\begin{pmatrix} x \\ y \end{pmatrix}\right)$ , then  $g_1*(g_2*Q) = (g_2g_1)*Q$ .

Call this matrix  $f(g)$ , so  $f: \mathrm{GL}_2(\mathbf{R}) \rightarrow \mathrm{GL}_3(\mathbf{R})$  is a homomorphism and the formula for  $f(g)$  shows  $f$  is continuous. By a calculation,  $\det(f(g)) = (ad - bc)^3 = (\det g)^3$ , so when  $g$  has determinant 1 so does  $f(g)$ .

Example 2.5 can be generalized. For each integer  $n \geq 1$ , the space  $V_n = \bigoplus_{i=0}^n \mathbf{R}x^{n-i}y^i$  of homogeneous 2-variable polynomials of degree  $n$  has dimension  $n + 1$  and  $\mathrm{GL}_2(\mathbf{R})$  acts on this space by linear changes of variables. The restriction of this action to  $\mathrm{SL}_2(\mathbf{R})$  on  $V_n$  accounts for essentially all “interesting” actions of  $\mathrm{SL}_2(\mathbf{R})$  on finite-dimensional vector spaces.

**Theorem 2.6.** *The homomorphism  $\mathrm{SL}_2(\mathbf{R}[x, y]) \rightarrow \mathrm{SL}_2(\mathbf{R}[x, y]/(x^2 + y^2 - 1))$ , where matrix entries are reduced componentwise modulo  $x^2 + y^2 - 1$ , is not surjective.*

*Proof.* Let  $\bar{x}$  and  $\bar{y}$  be the cosets of  $x$  and  $y$  in  $\mathbf{R}[x, y]/(x^2 + y^2 - 1)$ , so  $\bar{x}^2 + \bar{y}^2 = 1$ . One matrix in  $\mathrm{SL}_2(\mathbf{R}[x, y]/(x^2 + y^2 - 1))$  is

$$(2.5) \quad \begin{pmatrix} \bar{x} & -\bar{y} \\ \bar{y} & \bar{x} \end{pmatrix}.$$

We will prove by contradiction that there is no matrix  $A(x, y)$  in  $\mathrm{SL}_2(\mathbf{R}[x, y])$  that becomes the matrix (2.5) when the entries of  $A(x, y)$  are reduced modulo  $x^2 + y^2 - 1$ .

For each matrix

$$A(x, y) = \begin{pmatrix} a(x, y) & b(x, y) \\ c(x, y) & d(x, y) \end{pmatrix}$$

in  $\mathrm{SL}_2(\mathbf{R}[x, y])$ , we have  $\det A(x, y) = a(x, y)d(x, y) - b(x, y)c(x, y) = 1$  in  $\mathbf{R}[x, y]$ , so for all real numbers  $u$  and  $v$  we have  $A(u, v) \in \mathrm{SL}_2(\mathbf{R})$ .

Suppose  $A(x, y)$  reduces to the matrix (2.5) in  $\mathrm{SL}_2(\mathbf{R}[x, y]/(x^2 + y^2 - 1))$ . Then when  $u^2 + v^2 = 1$  in  $\mathbf{R}$  we have  $A(u, v) = \begin{pmatrix} u & -v \\ v & u \end{pmatrix}$ . Define the homotopy  $h_A: S^1 \times [0, 1] \rightarrow \mathrm{SL}_2(\mathbf{R})$  by  $h_A(u, v, t) = A(tu, tv)$ .<sup>2</sup> Then  $h_A(u, v, 0)$  is a constant map while  $h_A(u, v, 1) = A(u, v) = \begin{pmatrix} u & -v \\ v & u \end{pmatrix}$  is a loop in  $\mathrm{SL}_2(\mathbf{R})$  that generates  $\pi_1(\mathrm{SL}_2(\mathbf{R}))$  (see Remark 2.2). Then  $h_A$  gives us a way to continuously shrink a generator of  $\pi_1(\mathrm{SL}_2(\mathbf{R}))$  to a constant map. This is impossible since  $\pi_1(\mathrm{SL}_2(\mathbf{R}))$  is nontrivial, so no matrix in  $\mathrm{SL}_2(\mathbf{R}[x, y])$  reduces to (2.5) modulo  $x^2 + y^2 - 1$ .  $\square$

**Corollary 2.7.** *The homomorphism  $\mathrm{SL}_2(\mathbf{Z}[x, y]) \rightarrow \mathrm{SL}_2(\mathbf{Z}[x, y]/(x^2 + y^2 - 1))$ , where matrix entries are reduced componentwise modulo  $x^2 + y^2 - 1$ , is not surjective.*

*Proof.* The matrix  $\begin{pmatrix} \bar{x} & -\bar{y} \\ \bar{y} & \bar{x} \end{pmatrix}$  is in  $\mathrm{SL}_2(\mathbf{Z}[x, y]/(x^2 + y^2 - 1))$ , and if some matrix  $A(x, y)$  in  $\mathbf{Z}[x, y]$  reduces to it then we can use  $A(x, y)$  in the proof of Theorem 2.6.  $\square$

### 3. CONJUGACY CLASSES

The conjugacy class of a matrix in  $\mathrm{SL}_2(\mathbf{R})$  is nearly determined by its eigenvalues, but we have to be a little bit careful so we don't confuse conjugacy in  $\mathrm{SL}_2(\mathbf{R})$  with conjugacy in the larger group  $\mathrm{GL}_2(\mathbf{R})$ . For example,  $\begin{pmatrix} 1 & \\ 0 & 1 \end{pmatrix}$  and its inverse  $\begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix}$  are conjugate in  $\mathrm{GL}_2(\mathbf{R})$  by  $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ , whose determinant is  $-1$ . These two matrices are not conjugate in  $\mathrm{SL}_2(\mathbf{R})$ , since each  $\mathrm{SL}_2(\mathbf{R})$ -conjugate of  $\begin{pmatrix} 1 & \\ 0 & 1 \end{pmatrix}$  has a positive upper right entry, by an explicit calculation.

**Theorem 3.1.** *Let  $T \in \mathrm{SL}_2(\mathbf{R})$ . If  $(\mathrm{Tr} T)^2 > 4$  then  $T$  is conjugate to a unique matrix of the form  $\begin{pmatrix} \lambda & 0 \\ 0 & 1/\lambda \end{pmatrix}$  with  $|\lambda| > 1$ . If  $(\mathrm{Tr} T)^2 = 4$  then  $T$  is conjugate to exactly one of  $\pm I_2$ ,*

<sup>2</sup>For  $(u, v) \in S^1$ , the matrix  $A(tu, tv)$  is not  $\begin{pmatrix} tu & -tv \\ tv & tu \end{pmatrix}$  if  $0 \leq t < 1$  since  $\det \begin{pmatrix} tu & -tv \\ tv & tu \end{pmatrix} = t^2 \neq 1$ .

$\pm\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ , or  $\pm\begin{pmatrix} -1 & 1 \\ 0 & -1 \end{pmatrix}$ . If  $(\mathrm{Tr} T)^2 < 4$  then  $T$  is conjugate to a unique matrix of the form  $\begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$  other than  $\pm I_2$ .

*Proof.* For  $T \in \mathrm{SL}_2(\mathbf{R})$ , its eigenvalues are roots of its characteristic polynomial, which is  $X^2 - tX + 1$ , where  $t = \mathrm{Tr}(T)$ . The nature of the eigenvalues of  $T$  are determined by the discriminant of this polynomial,  $t^2 - 4$ : two distinct real eigenvalues if  $t^2 > 4$ , a repeated eigenvalue if  $t^2 = 4$ , and two complex conjugate eigenvalues if  $t^2 < 4$ . We will find a representative for the conjugacy class of  $T$  based on the sign of  $t^2 - 4$ . Of course matrices with different  $t$ 's are not conjugate.

In what follows, if  $v$  and  $w$  are vectors in  $\mathbf{R}^2$  whose specific coordinates are not important to make explicit, we will write  $([v] [w])$  for the matrix  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$ . This matrix is invertible when  $v$  and  $w$  are linearly independent.

Suppose  $t^2 > 4$ . Then  $T$  has distinct real eigenvalues  $\lambda$  and  $1/\lambda$ . Let  $v$  and  $v'$  be eigenvectors in  $\mathbf{R}^2$  for these eigenvalues:  $Tv = \lambda v$  and  $Tv' = (1/\lambda)v'$ . In coordinates from the basis  $v$  and  $v'$ ,  $T$  is represented by  $\begin{pmatrix} \lambda & 0 \\ 0 & 1/\lambda \end{pmatrix}$ , so  $T$  is conjugate to  $\begin{pmatrix} \lambda & 0 \\ 0 & 1/\lambda \end{pmatrix}$  by the  $2 \times 2$  matrix  $([v] [v'])$ . Scaling  $v'$  keeps it as an eigenvector of  $T$ , and by a suitable nonzero scaling the matrix  $([v] [v'])$  has determinant 1. Therefore  $T$  is conjugate to  $\begin{pmatrix} \lambda & 0 \\ 0 & 1/\lambda \end{pmatrix}$  in  $\mathrm{SL}_2(\mathbf{R})$ . We did not specify an ordering of the eigenvalues, so  $\begin{pmatrix} \lambda & 0 \\ 0 & 1/\lambda \end{pmatrix}$  and  $\begin{pmatrix} 1/\lambda & 0 \\ 0 & \lambda \end{pmatrix}$  have to be conjugate to each other in  $\mathrm{SL}_2(\mathbf{R})$ . Explicitly,  $\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \lambda & 0 \\ 0 & 1/\lambda \end{pmatrix} \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}^{-1} = \begin{pmatrix} 1/\lambda & 0 \\ 0 & \lambda \end{pmatrix}$ . Conjugate matrices have the same eigenvalues, so  $\begin{pmatrix} \lambda & 0 \\ 0 & 1/\lambda \end{pmatrix}$  is conjugate to  $\begin{pmatrix} \mu & 0 \\ 0 & 1/\mu \end{pmatrix}$  only when  $\mu$  equals  $\lambda$  or  $1/\lambda$ . We can therefore pin down a representative for the conjugacy class of  $T$  in  $\mathrm{SL}_2(\mathbf{R})$  as  $\begin{pmatrix} \lambda & 0 \\ 0 & 1/\lambda \end{pmatrix}$  with  $|\lambda| > 1$ .

Now suppose  $t^2 = 4$ . The roots of  $X^2 - tX + 1$  are both 1 (if  $t = 2$ ) or both  $-1$  (if  $t = -2$ ). Let  $\lambda = \pm 1$  be the eigenvalue for  $T$ . Extend  $v$  to a basis  $\{v, v'\}$  of  $\mathbf{R}^2$ . Scaling  $v'$ , we may assume the matrix  $([v] [v'])$  has determinant 1. Conjugating  $T$  by this matrix expresses it in the basis  $v$  and  $v'$  as  $\begin{pmatrix} \lambda & x \\ 0 & y \end{pmatrix}$ . Since the determinant is 1,  $y = 1/\lambda = \lambda = \pm 1$ . Therefore  $T$  is conjugate in  $\mathrm{SL}_2(\mathbf{R})$  to a matrix of the form  $\begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix}$  or  $\begin{pmatrix} -1 & x \\ 0 & -1 \end{pmatrix}$ . If  $x = 0$  these matrices are  $\pm I_2$ , which are in their own conjugacy class. The formulas

$$\begin{pmatrix} r & 0 \\ 0 & 1/r \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} r & 0 \\ 0 & 1/r \end{pmatrix}^{-1} = \begin{pmatrix} 1 & r^2 \\ 0 & 1 \end{pmatrix}$$

and

$$\begin{pmatrix} r & 0 \\ 0 & 1/r \end{pmatrix} \begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} r & 0 \\ 0 & 1/r \end{pmatrix}^{-1} = \begin{pmatrix} 1 & -r^2 \\ 0 & 1 \end{pmatrix}$$

show  $\begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix}$  is conjugate to either  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  or  $\begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix}$ , depending on the sign of  $x$ . Similarly  $\begin{pmatrix} -1 & x \\ 0 & -1 \end{pmatrix}$  is conjugate to either  $\begin{pmatrix} -1 & 1 \\ 0 & -1 \end{pmatrix}$  or  $\begin{pmatrix} -1 & -1 \\ 0 & -1 \end{pmatrix}$ .

The four matrices  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ ,  $\begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix}$ ,  $\begin{pmatrix} -1 & 1 \\ 0 & -1 \end{pmatrix}$ , and  $\begin{pmatrix} -1 & -1 \\ 0 & -1 \end{pmatrix}$  are nonconjugate in  $\mathrm{SL}_2(\mathbf{R})$ , e.g., an  $\mathrm{SL}_2(\mathbf{R})$ -conjugate of  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  looks like  $\begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix}$  with  $x$  a perfect square. Other cases are left to the reader.

Finally, suppose  $t^2 < 4$ . Now  $T$  has complex conjugate eigenvalues that, by the quadratic formula for  $X^2 - tX + 1$ , are of absolute value 1 and are not  $\pm 1$  (since  $t \neq \pm 2$ ). We can write the eigenvalues as  $e^{\pm i\theta}$ , with  $\sin \theta \neq 0$ . Pick an eigenvector  $v$  in  $\mathbf{C}^2$  such that  $Tv = e^{i\theta}v$ . Since  $e^{i\theta}$  is not real,  $v \notin \mathbf{R}^2$ . Let  $\bar{v}$  be the vector with coordinates that are complex conjugate to those of  $v$ , so  $T\bar{v} = e^{-i\theta}\bar{v}$ . Then  $v + \bar{v}$  and  $i(v - \bar{v})$  are in  $\mathbf{R}^2$ , with

$$T(v + \bar{v}) = (\cos \theta)(v + \bar{v}) + (\sin \theta)i(v - \bar{v})$$

and

$$T(v - \bar{v}) = -(\sin \theta)(v + \bar{v}) + (\cos \theta)i(v - \bar{v}).$$

Therefore conjugating  $T$  by the (invertible) real matrix  $([v + \bar{v}] [i(v - \bar{v})])$  turns  $T$  into  $\begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$ . We don't know the determinant of  $([v + \bar{v}] [i(v - \bar{v})])$ , but scaling  $v$  by a real number (and  $\bar{v}$  by the same amount, to keep it conjugate) can give this conjugating matrix determinant  $\pm 1$ . If the determinant is 1 then  $T$  is conjugate to  $\begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$  in  $\mathrm{SL}_2(\mathbf{R})$ . If the determinant is  $-1$ , then reverse the order of the columns in the conjugating matrix to give it determinant 1 and then  $T$  is conjugate to  $\begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} = \begin{pmatrix} \cos(-\theta) & -\sin(-\theta) \\ \sin(-\theta) & \cos(-\theta) \end{pmatrix}$  in  $\mathrm{SL}_2(\mathbf{R})$ . Two matrices  $\begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$  and  $\begin{pmatrix} \cos \varphi & \sin \varphi \\ -\sin \varphi & \cos \varphi \end{pmatrix}$  in  $K$  can be conjugate only when  $\varphi = \pm \theta \pmod{2\pi\mathbf{Z}}$ , by looking at eigenvalues, and a direct calculation shows the  $\mathrm{SL}_2(\mathbf{R})$ -conjugate of  $\begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$  never equals  $\begin{pmatrix} \cos(-\theta) & -\sin(-\theta) \\ \sin(-\theta) & \cos(-\theta) \end{pmatrix}$  unless  $\sin \theta = 0$ , but we are in a case when  $\sin \theta \neq 0$ .  $\square$

When  $T \in \mathrm{SL}_2(\mathbf{R})$  satisfies  $\mathrm{Tr}(T)^2 > 4$  we say  $T$  is *hyperbolic*, when  $(\mathrm{Tr} T)^2 = 4$  we say  $T$  is *parabolic*, and when  $(\mathrm{Tr} T)^2 < 4$  we say  $T$  is *elliptic*. This terminology is borrowed from the shape of a plane conic  $ax^2 + bxy + cy^2 = 1$  in terms of its discriminant  $d = b^2 - 4ac$ : it is a hyperbola when  $d > 0$ , a parabola when  $d = 0$ , and an ellipse when  $d < 0$ . Up to sign, the hyperbolic conjugacy classes in  $\mathrm{SL}_2(\mathbf{R})$  are represented by matrices in  $A$  (besides  $I_2$ ), the elliptic conjugacy classes are represented by matrices in  $K$  (besides  $\pm I_2$ ), and the parabolic conjugacy classes are represented by matrices in  $N$ .

#### APPENDIX A. ACTING ON THE UPPER HALF-PLANE

We will use an action of  $\mathrm{SL}_2(\mathbf{R})$  on the upper half-plane  $\mathfrak{h} = \{x + iy : y > 0\}$  to obtain the Iwasawa decomposition of  $\mathrm{SL}_2(\mathbf{R})$  in a more efficient manner than the first proof that used an action on bases of  $\mathbf{R}^2$ .

For  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{GL}_2(\mathbf{R})$  and a non-real complex number  $z$ , set

$$(A.1) \quad \begin{pmatrix} a & b \\ c & d \end{pmatrix} (z) = \frac{az + b}{cz + d} \in \mathbf{C} - \mathbf{R}.$$

By a calculation left to the reader,  $g_1(g_2(z)) = (g_1g_2)(z)$  for  $g_1$  and  $g_2$  in  $\mathrm{GL}_2(\mathbf{R})$ , and

$$\mathrm{Im} \left( \frac{az + b}{cz + d} \right) = \frac{(ad - bc) \mathrm{Im}(z)}{|cz + d|^2}.$$

Therefore when  $ad - bc > 0$ ,  $z$  and  $(az + b)/(cz + d)$  have the same sign for their imaginary parts. In particular, if  $g \in \mathrm{SL}_2(\mathbf{R})$  and  $z$  is in the upper half-plane then so is  $g(z)$ , so (A.1) is an action of the group  $\mathrm{SL}_2(\mathbf{R})$  on the set  $\mathfrak{h}$ . This action has one orbit since we can get anywhere in  $\mathfrak{h}$  from  $i$  using  $\mathrm{SL}_2(\mathbf{R})$ :

$$(A.2) \quad \begin{pmatrix} \sqrt{y} & x/\sqrt{y} \\ 0 & 1/\sqrt{y} \end{pmatrix} (i) = x + iy.$$

Notice that the matrix used here to send  $i$  to  $x + iy$  is in the subgroup  $AN$  (see (2.4)).

Let's determine the stabilizer of  $i$ . Saying  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} (i) = i$  is equivalent to  $(ai + b)/(ci + d) = i$ , so  $ai + b = di - c$ . Therefore  $d = a$  and  $b = -c$ , so  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} a & -c \\ c & a \end{pmatrix}$  with  $a^2 + c^2 = 1$ . We can therefore write  $a = \cos \theta$  and  $c = \sin \theta$ , which shows the stabilizer of  $i$  is the set of matrices  $\begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$ . This is the subgroup  $K$  (so  $\mathfrak{h}$  can be viewed as the coset space  $\mathrm{SL}_2(\mathbf{R})/K$  on which  $\mathrm{SL}_2(\mathbf{R})$  acts by left multiplication).



Now we are ready to derive the Iwasawa decomposition. For  $g \in \mathrm{SL}_2(\mathbf{R})$ , write  $g(i) = x + iy \in \mathfrak{h}$ . Using (A.2),

$$(A.3) \quad g(i) = x + iy = \begin{pmatrix} \sqrt{y} & x/\sqrt{y} \\ 0 & 1/\sqrt{y} \end{pmatrix} (i) = \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \sqrt{y} & 0 \\ 0 & 1/\sqrt{y} \end{pmatrix} (i) \in NA(i).$$

Since  $g$  acts on  $i$  in the same way as an element of  $NA$ , and the stabilizer of  $i$  is  $K$ ,  $g \in NAK$ . Thus  $\mathrm{SL}_2(\mathbf{R}) = NAK$ . Applying inversion to this decomposition,  $\mathrm{SL}_2(\mathbf{R}) = KAN$ . That settles the existence of the Iwasawa decomposition.

To prove uniqueness, assume  $nak = n'a'k'$ . Applying both sides to  $i$ ,  $k$  and  $k'$  fix  $i$  so  $na(i) = n'a'(i)$ . For  $n = \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix}$  and  $a = \begin{pmatrix} r & 0 \\ 0 & 1/r \end{pmatrix}$ ,  $na = \begin{pmatrix} r & x/r \\ 0 & 1/r \end{pmatrix}$ , so  $na(i) = x + r^2i$ . In particular, knowing  $na(i)$  tells us the parameters determining  $n$  and  $a$ . Hence  $n = n'$  and  $a = a'$ , so  $k = k'$ .

The upper half-plane action of  $\mathrm{SL}_2(\mathbf{R})$  leads in a second way to the formulas (2.1) and (2.2) for the matrix entries in the factors of the Iwasawa decomposition for  $g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbf{R})$ . (We have just proved anew the existence and uniqueness of this decomposition.) Write, as in Section 2,

$$(A.4) \quad g = kan = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} r & 0 \\ 0 & 1/r \end{pmatrix} \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix}.$$

We want to determine the entries of these matrices in terms of the entries of  $g$ . We will work with  $g^{-1} = n^{-1}a^{-1}k^{-1}$  since the  $\mathrm{SL}_2(\mathbf{R})$ -action on  $\mathfrak{h}$  leads to the decomposition  $NAK$  rather than  $KAN$ :

$$g^{-1}(i) = \begin{pmatrix} d & -b \\ -c & a \end{pmatrix} (i) = \frac{di - b}{-ci + a} = -\frac{ab + cd}{a^2 + c^2} + \frac{1}{a^2 + c^2}i.$$

Writing this as  $u + iv$ , from (A.3) (with  $g^{-1}$  in place of  $g$  and  $u + iv$  in place of  $x + iy$ ) we get

$$n^{-1} = \begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & -(ab + cd)/(a^2 + c^2) \\ 0 & 1 \end{pmatrix}$$

and

$$a^{-1} = \begin{pmatrix} \sqrt{v} & 0 \\ 0 & 1/\sqrt{v} \end{pmatrix} = \begin{pmatrix} 1/\sqrt{a^2 + c^2} & 0 \\ 0 & \sqrt{a^2 + c^2} \end{pmatrix},$$

so

$$n = \begin{pmatrix} 1 & (ab + cd)/(a^2 + c^2) \\ 0 & 1 \end{pmatrix}, \quad a = \begin{pmatrix} \sqrt{a^2 + c^2} & 0 \\ 0 & 1/\sqrt{a^2 + c^2} \end{pmatrix}.$$

Since  $g = kan$ ,

$$k = gn^{-1}a^{-1} = \begin{pmatrix} a/\sqrt{a^2 + c^2} & -c/\sqrt{a^2 + c^2} \\ c/\sqrt{a^2 + c^2} & a/\sqrt{a^2 + c^2} \end{pmatrix}.$$

The formulas for the entries of  $k$ ,  $a$ , and  $n$  match those in (2.1) and (2.2).

It is interesting to compare the role of the group  $K$  in the geometry of  $\mathbf{R}^2$  and  $\mathfrak{h}$ . As a transformation of  $\mathbf{R}^2$ , an element of  $K$  is a rotation around the origin. This is an isometry of  $\mathbf{R}^2$  using the Euclidean metric, and the  $K$ -orbit of a nonzero vector in  $\mathbf{R}^2$  is the circle that passes through that vector and is centered at the origin. As a transformation of  $\mathfrak{h}$ , an element of  $K$  is a rotation around  $i$  relative to the hyperbolic metric on  $\mathfrak{h}$ . This is a hyperbolic isometry of  $\mathfrak{h}$ , and the  $K$ -orbit of a point in  $\mathfrak{h}$  is the circle through that point that is centered at  $i$  relative to the hyperbolic metric.

The conjugacy class of a matrix  $T \in \mathrm{SL}_2(\mathbf{R})$  was determined in Theorem 3.1 in terms of  $(\mathrm{Tr} T)^2 - 4$ , which is the discriminant of the characteristic polynomial of  $T$ . The sign of this quantity tells us whether  $T$  has real or non-real eigenvectors. The difference  $(\mathrm{Tr} T)^2 - 4$  is also relevant to the action of  $T$  on the upper half-plane, with fixed points replacing eigenvectors. When  $T = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ , the fixed-point condition  $T(z) = z$  is equivalent to  $az + b = (cz + d)z$ , which says  $cz^2 + (d - a)z - b = 0$ . The discriminant of this equation, which tells us the number of real roots, is

$$(d - a)^2 + 4bc = d^2 - 2da + a^2 + 4(ad - 1) = (a + d)^2 - 4 = (\mathrm{Tr} T)^2 - 4.$$