

KUMMER'S LEMMA

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Let p be an odd prime and $\zeta = \zeta_p$ be a primitive p th root of unity. In the ring $\mathbf{Z}[\zeta]$, the p th power of every element is congruent to a rational integer mod p , since

$$(c_0 + c_1\zeta + \cdots + c_{p-2}\zeta^{p-2})^p \equiv c_0 + c_1 + \cdots + c_{p-2} \pmod{p}.$$

The number p is not prime in $\mathbf{Z}[\zeta]$, as $(p) = (1 - \zeta)^{p-1}$, so congruence mod p is much stronger than congruence mod $1 - \zeta$, where all classes have integer representatives.

Of course not every element of $\mathbf{Z}[\zeta]$ that is congruent to a rational integer mod p is a p th power, but Kummer discovered a case when this converse statement is true, for certain primes and certain algebraic integers.

Theorem 1 (Kummer's Lemma). *Let p be a regular prime and $u \in \mathbf{Z}[\zeta]^\times$ with $u \equiv a \pmod{p}$ for some rational integer a . Then $u = v^p$ for some $v \in \mathbf{Z}[\zeta]^\times$.*

This was used by Kummer to prove Case II of FLT for regular primes.

For our purposes, an odd p will be called *regular* if the Bernoulli numbers B_2, B_4, \dots, B_{p-3} are all prime to p . This is not the most conceptual description of regularity, but it is the form in which we will be using the property in the proof. The usual formulation of regularity, in terms of class numbers, allows for other proofs of Kummer's Lemma, by class field theory [3, §6, Chap. 13] or by p -adic L -functions [4, Theorem 5.36].

We give a p -adic proof of Kummer's Lemma, modifying the argument of Faddeev from [1, §6, Chap. 5].

The proof of Kummer's Lemma requires knowing something about the unit group of $\mathbf{Z}[\zeta]$. Some obvious units in $\mathbf{Z}[\zeta]$ are

$$\varepsilon_k \stackrel{\text{def}}{=} \frac{\zeta^k - 1}{\zeta - 1} \equiv k \pmod{\zeta - 1}$$

for $1 \leq k \leq p - 1$. While $\varepsilon_1 = 1$, the other units are more interesting.

There is a convenient way to rewrite the ε_k . First, since ζ has odd order, some power of it is a square root of ζ ; indeed, $\zeta^{(p+1)/2}$ is a square root of ζ . However, $\eta \stackrel{\text{def}}{=} -\zeta^{(p+1)/2}$ turns out to be the more convenient choice of square root, since when $\zeta = e^{2\pi i/p}$, $\zeta^{(p+1)/2} = -e^{i\pi/p}$ while $\eta = e^{i\pi/p}$, the "nicer" square root of $e^{2\pi i/p}$. Furthermore, choosing $\zeta = e^{2\pi i/p}$,

$$\varepsilon_k = \frac{\eta^{2k} - 1}{\eta^2 - 1} = \frac{\eta^k}{\eta} \cdot \frac{\eta^k - \eta^{-k}}{\eta - \eta^{-1}} = \eta^{k-1} \frac{\sin(k\pi/p)}{\sin(\pi/p)}.$$

Let $\delta_k = \sin(k\pi/p)/\sin(\pi/p)$, so δ_k is a real (positive) unit.

The equation $\varepsilon_k = \eta^{k-1}\delta_k$ can be generalized to any unit of $\mathbf{Z}[\zeta]$.

Lemma 2. *Every unit u of $\mathbf{Z}[\zeta]$ has the form $u = \zeta^r u'$, where u' is a real unit.*

Proof. Suppose $u = \zeta^r u'$. Then $\bar{u} = \zeta^{-r} u'$, so we can divide and get $u/\bar{u} = \zeta^{2r}$. This suggests the idea of considering the ratio u/\bar{u} and proving it is a root of unity. Well, u/\bar{u} and all of its \mathbf{Q} -conjugates have absolute value 1, so it is a root of unity. Being in $\mathbf{Q}(\zeta)$, we

must have $u/\bar{u} = \pm\zeta^a$. If we can show the plus sign holds, then write $a \equiv 2b \pmod p$ and set $u' = u/\zeta^b$ to end the proof.

Let's work mod $(1-\zeta)$. Since all powers of ζ are congruent to 1, $u \equiv \bar{u}$, so $1 \equiv \pm\zeta^a \equiv \pm 1$. Since $1 \not\equiv -1 \pmod{(1-\zeta)}$, the plus sign holds. \square

Let $K = \mathbf{Q}(\zeta)$, $K_p = \mathbf{Q}_p(\zeta)$, $A = \mathbf{Z}[\zeta]$, $A_p = \mathbf{Z}_p[\zeta]$, $\sigma_j(\zeta) = \zeta^j$, $\tau = \sigma_{-1}$ is complex conjugation. Denote the ‘‘real’’ elements of A_p , i.e. the elements fixed by τ , as A_p^+ . (A similar definition can be made for K_p^+ , but we will only be focusing on real elements of A_p .) The Teichmüller lift of an integer k to \mathbf{Z}_p will be written $\omega(k)$.

Lemma 3. *In $\mathbf{Q}_p(\zeta)$, $X^{p-1} + p$ splits completely and there is a bijection between roots π of $X^{p-1} + p$ and nontrivial p th roots of unity ζ_π , by*

$$\pi \equiv \zeta_\pi - 1 \pmod{\pi^2}.$$

Proof. See [3, Lemma 3.1, Chap. 14]. \square

Fix a choice of ζ , then fix a root π of $X^{p-1} + p$ by $\pi \equiv \zeta - 1 \pmod{\pi^2}$.

Write $\tau(\pi) = \theta\pi$, where $\theta^{p-1} = 1$. Since $\pi = \tau^2(\pi) = \theta^2\pi$, $\theta = \pm 1$. Since $\tau(\pi) \neq \pi$, $\tau(\pi) = -\pi$. Thus $\pi^2 \in A_p^+$.

Note that the minimal polynomial of π^2 over \mathbf{Q}_p is $X^{(p-1)/2} + p$ and $A_p = \mathbf{Z}_p[\pi]$.

Lemma 4. $A_p^+ = \mathbf{Z}_p[\pi^2]$.

Proof. Left to reader. \square

So $\{1, \pi^2, \dots, (\pi^2)^{m-1}\}$ is a \mathbf{Z}_p -basis for A_p^+ .

Lemma 5. *For $x, y \equiv 1 \pmod{\pi}$, $|\log x - \log y| \leq |x - y|$.*

Proof. Without loss of generality, $y = 1$. Then $x \equiv 1 \pmod{\pi} \Rightarrow |\log x| \leq |x - 1|$. We want to show that for $k \geq 1$,

$$\left| \frac{(x-1)^k}{k} \right| \leq |x-1|,$$

which is equivalent to

$$|x-1| \leq |k|^{1/(k-1)}.$$

Since $|x-1| \leq |\pi| = (1/p)^{1/(p-1)}$, we're done. \square

Corollary 6. *For $u \in \mathbf{Z}[\zeta]^\times$ with $u \equiv a \pmod p$ for some rational integer a , $\log(u^{p-1}) \in pA_p^+$.*

Proof. Since $u^{p-1} \equiv 1 \pmod p$, $|\log(u^{p-1})| \leq |u^{p-1} - 1| \leq 1/p$, so $\log(u^{p-1}) \in pA_p$. Writing $u = \zeta^r u'$ for real u' by Lemma 2, $\log(u^{p-1}) = \log((u')^{p-1}) \in A_p^+$. \square

Given a unit $u \in \mathbf{Z}[\zeta]^\times$, write $\log(u^{p-1}) = \sum_{i=0}^{m-1} b_i \pi^{2i}$. A trace calculation shows $b_0 = 0$: for $1 \leq k \leq p-1$,

$$\mathrm{Tr}_{K_p/\mathbf{Q}_p}(\pi^k) = \sum_{j=1}^{p-1} \sigma_j(\pi^k) = \left(\sum_{j=1}^{p-1} \omega(j)^k \right) \pi^k = 0.$$

So

$$\frac{p-1}{2} b_0 = \mathrm{Tr}_{K_p/\mathbf{Q}_p}(\log(u^{p-1})) = \log(\mathrm{N}_{K_p/\mathbf{Q}_p}(u^{p-1})) = \log(\mathrm{N}_{K/\mathbf{Q}}(u^{p-1})) = \log(1) = 0.$$

For $2 \leq k \leq m$, write $\log(\varepsilon_k^{p-1}) = \sum_{i=1}^{m-1} c_{ik} \pi^{2i}$ for some $c_{ik} \in \mathbf{Z}_p$. The subscripts i and k both run over sets of size $m-1$.

The next theorem is the technical heart of our preparations for Kummer's Lemma.

Theorem 7. *The numbers $\{1, \log(\varepsilon_2^{p-1}), \dots, \log(\varepsilon_m^{p-1})\}$ form a \mathbf{Z}_p -basis of A_p^+ precisely when p is regular.*

Proof. Since $\{1, \pi^2, \dots, \pi^{2(m-1)}\}$ is a \mathbf{Z}_p -basis of A_p^+ , we shall check that the \mathbf{Z}_p -transition matrix (c_{ik}) between $\{\pi^2, \dots, \pi^{2(m-1)}\}$ and $\{\log(\varepsilon_2^{p-1}), \dots, \log(\varepsilon_m^{p-1})\}$ has determinant in \mathbf{Z}_p^\times , or rather than its determinant mod p is nonzero.

Write

$$\varepsilon_k^{p-1} = \varepsilon_k^p \frac{\zeta - 1}{\zeta^k - 1}.$$

Since $\varepsilon_k \equiv k \pmod{\pi}$,

$$\begin{aligned} \varepsilon_k^p &\equiv k^p \pmod{\pi^p} \\ &\equiv k^p \pmod{\pi^{p-1}} = -p \\ &\equiv k \pmod{p}. \end{aligned}$$

Therefore $\varepsilon_k^{p-1} \equiv k(\zeta - 1)/(\zeta^k - 1) \pmod{p}$, so by Lemma 5,

$$\log(\varepsilon_k^{p-1}) \equiv \log(k(\zeta - 1)/(\zeta^k - 1)) \pmod{p}.$$

Let's use the Dwork series $E(X) = e^{X+X^p/p}$ to express ζ in terms of π [3, Theorem 3.2, Chap. 14]: $E(\pi) = \zeta$ and $E(\omega(k)\pi) = \zeta^k$. We will write $\omega(k)\pi$ as π_k . So

$$\begin{aligned} \varepsilon_k^{p-1} &\equiv k \frac{\zeta - 1}{\zeta^k - 1} \pmod{p} \\ &= k \frac{E(\pi) - 1}{E(\pi_k) - 1} \\ &\equiv \omega(k) \frac{E(\pi) - 1}{E(\pi_k) - 1} \pmod{p} \\ &= \frac{\pi_k}{E(\pi_k) - 1} \cdot \frac{E(\pi) - 1}{\pi}. \end{aligned}$$

Since $\zeta^k = E(\pi_k) \equiv 1 + \pi_k \pmod{\pi^2}$, we have

$$(1) \quad \log(\varepsilon_k^{p-1}) \equiv \log\left(\frac{E(\pi) - 1}{\pi}\right) - \log\left(\frac{E(\pi_k) - 1}{\pi_k}\right) \pmod{p}.$$

Writing $E(X) = \sum a_n X^n$, $(E(\pi) - 1)/\pi = \sum_{n \geq 1} a_n \pi^{n-1}$. When is $a_n \pi^{n-1} \equiv 0 \pmod{p}$? In [3, p. 322], e_n denotes $a_n \pi^n$ and some lower bound estimates on $\text{ord}_p(e_n)$ are proved that imply $\text{ord}_p(a_n \pi^{n-1}) \geq 1$ if $n \geq p^3/(p-1)^2$. But the lower bound is true for $n \geq p$. Rather than work with the lower bound estimates in [3] to squeeze out this more delicate information, we will use [2, Theorem 3.5], which gives a sharper lower bound on $\text{ord}_p(a_n)$.

For $n \geq p^2$, that bound is

$$\text{ord}_p(a_n) \geq \frac{n}{p^2} \left(2 + \frac{1}{p-1}\right) - \frac{1}{p-1} \geq \left(2 + \frac{1}{p-1}\right) - \frac{1}{p-1} = 2,$$

so $\text{ord}_p(a_n \pi^{n-1}) \geq 2 + (n-1)/(p-1) \geq 2 + (p^2-1)/(p-1) = p+3 \geq 5$. If $p \leq n \leq p^2-1$ then a_n is a p -adic integer since $E(X)$ and the Artin–Hasse series have the same coefficients up through degree p^2-1 , so $\text{ord}_p(a_n \pi^{n-1}) \geq (n-1)/(p-1) \geq (p-1)/(p-1) = 1$. Therefore

$$\frac{E(\pi) - 1}{\pi} \equiv \sum_{n=1}^{p-1} a_n \pi^{n-1} \equiv \sum_{n=1}^{p-1} \frac{\pi^{n-1}}{n!} \pmod{p}$$

since $a_n = 1/n!$ for $0 \leq n \leq p-1$. Thus

$$(2) \quad \log\left(\frac{E(\pi) - 1}{\pi}\right) \equiv \log\left(\sum_{n=1}^{p-1} \frac{\pi^{n-1}}{n!}\right) \pmod{p}.$$

The sum $\sum_{n=1}^{p-1} \pi^{n-1}/n!$ looks like a truncation of the full series $(e^\pi - 1)/\pi$. But the latter makes no sense, since π is not in the disc of convergence of e^X . Nevertheless, progress will come from looking at the formal power series $\log((e^X - 1)/X)$.

Since we are computing logarithms only modulo p , we can truncate log series. To determine how far out we need to go, let's figure out when $|(x-1)^n/n| \leq |p|$ for $|x-1| \leq |\pi|$.

Lemma 8. *For $n \geq p+1$, $|\pi^n/n| \leq |p|$.*

Proof. We need to determine when $n/(p-1) \geq \text{ord}_p(n) + 1$. If $\text{ord}_p(n) = 0$, this holds when $n \geq p-1$. If $\text{ord}_p(n) = 1$, this holds as long as $n \neq p$. If $\text{ord}_p(n) \geq 2$, this always holds. \square

The inequality in the lemma is true for $n = p-1$, but we won't need this.

So if $|x-1| \leq |\pi|$, $\log x \equiv \sum_{n=1}^p (-1)^{n-1} \frac{(x-1)^n}{n} \pmod{p}$. The last term in the sum is more subtle than the rest since it has a p in the denominator. So let's isolate the last term.

Let

$$L_{p-1}(1+T) \stackrel{\text{def}}{=} \sum_{n=1}^{p-1} (-1)^{n-1} \frac{T^n}{n} \in \mathbf{Z}_p[T],$$

so $x \equiv 1 \pmod{\pi} \Rightarrow \log x \equiv L_{p-1}(x) + (x-1)^p/p \pmod{p}$. Writing $\alpha = \sum_{n=2}^{p-1} \pi^{n-1}/n!$, by (2)

$$\log\left(\frac{E(\pi) - 1}{\pi}\right) \equiv \log(1 + \alpha) \equiv L_{p-1}(1 + \alpha) + \frac{\alpha^p}{p} \pmod{p}.$$

Let's compute the last term:

$$\frac{\alpha^p}{p} = \frac{1}{p} \left(\pi \sum_{n=2}^{p-1} \frac{\pi^{n-2}}{n!} \right)^p = -\pi \left(\sum_{n=2}^{p-1} \frac{\pi^{n-2}}{n!} \right)^p.$$

For $2 \leq n \leq p-1$, $\pi^{n-2}/n! \in A_p$, so

$$\begin{aligned} \left(\sum_{n=2}^{p-1} \frac{\pi^{n-2}}{n!} \right)^p &\equiv \sum_{n=2}^{p-1} \left(\frac{\pi^{n-2}}{n!} \right)^p \pmod{p} \\ &\equiv \sum_{n=2}^{p-1} \frac{(\pi^p)^{n-2}}{n!} \pmod{p} \\ &\equiv \frac{1}{2} \pmod{p} \quad \text{since } \pi^p \equiv 0 \pmod{p}. \end{aligned}$$

Thus

$$(3) \quad \log\left(\frac{E(\pi) - 1}{\pi}\right) \equiv L_{p-1}(1 + \alpha) - \pi/2 \pmod{p}.$$

Since $L_{p-1}(1 + T) \in \mathbf{Z}_p[[T]]$, $L_{p-1}(1 + \alpha) = L_{p-1}\left(\sum_{n=1}^{p-1} \pi^{n-1}/n!\right) \in \mathbf{Z}_p[[\pi]]$. We only care about its expression mod $p = -\pi^{p-1}$. Let's compute the polynomial $L_{p-1}(\sum_{n=1}^{p-1} T^{n-1}/n!)$ in $\mathbf{Z}_p[[T]]/T^{p-1}$. Actually, it turns out to be more convenient to work in $\mathbf{Q}_p[[[T]]]/T^{p-1}$, where we can use the full exponential and logarithm series, whose coefficients are usually not in \mathbf{Z}_p . Whatever we compute in this larger ring for $L_{p-1}(\sum_{n=1}^{p-1} T^{n-1}/n!)$ must be in $\mathbf{Z}_p[[T]]/T^{p-1}$.

For $f(T) \in 1 + T\mathbf{Q}_p[[[T]]]$, $L_{p-1}(f(T)) \equiv \log(f(T)) \pmod{T^p}$, so from $\sum_{n=1}^{p-1} \frac{T^{n-1}}{n!} \equiv \frac{e^T - 1}{T} \pmod{T^{p-1}}$ we get

$$L_{p-1}\left(\sum_{n=1}^{p-1} \frac{T^{n-1}}{n!}\right) \equiv L_{p-1}\left(\frac{e^T - 1}{T}\right) \equiv \log\left(\frac{e^T - 1}{T}\right) \pmod{T^{p-1}}.$$

This last expression is tractable, and is where Bernoulli numbers enter. To find the expansion for $\log((e^T - 1)/T)$, we differentiate the series:

$$\begin{aligned} \frac{d}{dT} \log\left(\frac{e^T - 1}{T}\right) &= \frac{T}{e^T - 1} \cdot \frac{Te^T - (e^T - 1)}{T^2} \\ &= \frac{e^T}{e^T - 1} - \frac{1}{T} \\ &= 1 + \frac{1}{e^T - 1} - \frac{1}{T} \\ &= \frac{1}{T} \left(T + \frac{T}{e^T - 1} - 1\right) \\ &= \frac{1}{T} \left(T + \sum_{n \geq 1} \frac{B_n}{n!} T^n\right) \\ &= \frac{1}{T} \left(\frac{1}{2}T + \sum_{i \geq 1} \frac{B_{2i}}{(2i)!} T^{2i}\right) \\ &= \frac{1}{2} + \sum_{i \geq 1} \frac{B_{2i}}{(2i)!} T^{2i-1}. \end{aligned}$$

Integrating and noting the constant term must vanish,

$$\log\left(\frac{e^T - 1}{T}\right) = \frac{T}{2} + \sum_{i \geq 1} \frac{B_{2i}}{(2i)!2i} T^{2i} \equiv \frac{T}{2} + \sum_{i=1}^{m-1} \frac{B_{2i}}{(2i)!2i} T^{2i} \pmod{T^{p-1}}.$$

So $L_{p-1}(\sum_{n=1}^{p-1} T^{n-1}/n!) \equiv T/2 + \sum_{i=1}^{m-1} (B_{2i}/(2i)!2i) T^{2i}$ in $\mathbf{Z}_p[[T]]/T^{p-1}$, and by (3)

$$\log\left(\frac{E(\pi) - 1}{\pi}\right) \equiv \sum_{i=1}^{m-1} \frac{B_{2i}}{(2i)!2i} \pi^{2i} \pmod{p}.$$

Similarly,

$$\log\left(\frac{E(\pi_k) - 1}{\pi_k}\right) \equiv \sum_{i=1}^{m-1} \frac{B_{2i}}{(2i)!2i} k^{2i} \pi^{2i} \pmod{p}$$

since $\pi_k \equiv k\pi \pmod{p}$.

Putting these congruences together, we can compute $\log(\varepsilon_k^{p-1}) \pmod{p}$ by (1):

$$\log(\varepsilon_k^{p-1}) \equiv \sum_{i=1}^{m-1} \frac{B_{2i}}{(2i)!2i} (1 - k^{2i}) \pi^{2i} \pmod{p}.$$

So $c_{ik} \equiv \frac{B_{2i}}{(2i)!2i} (1 - k^{2i}) \pmod{p}$. Therefore

$$\det(c_{ik}) \equiv \prod_{i=1}^{m-1} \frac{-B_{2i}}{(2i)!(2i)} \begin{vmatrix} 2^2 - 1 & 3^2 - 1 & \dots & m^2 - 1 \\ 2^4 - 1 & 3^4 - 1 & \dots & m^4 - 1 \\ \vdots & \vdots & \ddots & \vdots \\ 2^{2(m-1)} - 1 & 3^{2(m-1)} - 1 & \dots & m^{2(m-1)} - 1 \end{vmatrix} \pmod{p}.$$

We can rewrite the last determinant in Vandermonde form. It equals

$$\begin{aligned} \begin{vmatrix} 1 & 0 & \dots & 0 \\ 1 & 2^2 - 1 & \dots & m^2 - 1 \\ 1 & 2^4 - 1 & \dots & m^4 - 1 \\ \vdots & \vdots & \ddots & \vdots \\ 1 & 2^{2(m-1)} - 1 & \dots & m^{2(m-1)} - 1 \end{vmatrix} &= \begin{vmatrix} 1 & 1 & \dots & 1 \\ 1 & 2^2 & \dots & m^2 \\ 1 & 2^4 & \dots & m^4 \\ \vdots & \vdots & \ddots & \vdots \\ 1 & 2^{2(m-1)} & \dots & m^{2(m-1)} \end{vmatrix} \\ &= \prod_{1 \leq i < j \leq m} (j^2 - i^2) \\ &\not\equiv 0 \pmod{p}. \end{aligned}$$

Therefore $\det(c_{ik}) \not\equiv 0 \pmod{p}$ precisely when none of $B_2, B_4, \dots, B_{2(m-1)} = B_{p-3}$ is divisible by p . \square

We now prove Kummer's Lemma.

Proof. First let's show u is real, i.e. u is fixed by complex conjugation. By Lemma 2, $u = \zeta^r u'$ for u' a real unit. So $u' \in A_p^+ = \mathbf{Z}_p[\pi^2]$. Thus $u' \equiv b \pmod{\pi^2}$ for some rational integer b . Since $\zeta^r \equiv 1 + r\pi \pmod{\pi^2}$, we have $u \equiv b + br\pi \pmod{\pi^2}$. Since u is congruent to a rational integer mod $p = -\pi^{p-1}$, we must have $br \equiv 0 \pmod{p}$, so $p|r$, hence $u = u'$.

Without loss of generality, we may take u to be positive.

We will work in the group of real positive units of $A = \mathbf{Z}[\zeta]$, since that group has no torsion. Recall δ_k from before Lemma 2. By Theorem 7, the numbers $\log(\delta_k^{p-1}) = \log(\varepsilon_k^{p-1})$ are linearly independent over \mathbf{Q}_p for $2 \leq k \leq m$, so the units δ_k are multiplicatively independent over \mathbf{Z} . There are $m-1$ of them, so by (the easy part of) the Dirichlet Unit Theorem they have finite index in the group of all units. In particular,

$$(4) \quad u^n = \prod_{k=2}^m \delta_k^{c_k}$$

for $c_k \in \mathbf{Z}$. Since the real positive units have no torsion, we may assume $\gcd(n, c_2, \dots, c_m) = 1$. Raising both sides of (4) to the $(p-1)$ th power and then taking logarithms, we get

$$n \log(u^{p-1}) = \sum_{k=2}^{m-1} c_k \log(\delta_k^{p-1}).$$

By Corollary 6, $\log(u^{p-1}) \in pA_p^+$, so all c_k lie in $p\mathbf{Z}_p$. Since they are rational integers, all c_k lie in $p\mathbf{Z}$, so u^n is a p th power of a unit in $\mathbf{Z}[\zeta]$. From $\gcd(n, c_2, \dots, c_m) = 1$ we see n is prime to p , so u is a p th power of a unit in $\mathbf{Z}[\zeta]$. \square

This proof of Kummer's Lemma used the units δ_k rather than the units ε_k only because we needed to work in a group of units where there is no torsion, so the assumption $\gcd(n, c_2, \dots, c_m) = 1$ could be used. While the regularity assumption implies that the group generated by the units ε_k has no torsion (there is only the trivial linear relation among their p -adic logarithms), it is not clear how to show the group generated by u and the ε_k has no torsion. By working in the group of positive real units (where we proved u lies) the torsion issue is easily handled.

In Faddeev's proof of Kummer's Lemma, the Dwork series $E(X) = e^{X+X^p/p}$ is not used. Instead more systematic use is made of truncations of the series e^X (while $E(X)$ is a "truncated" Artin-Hasse series). For instance, since $\zeta = E(\pi)$, the congruence

$$(E(\pi) - 1)/\pi \equiv \sum_{n=1}^{p-1} \pi^{n-1}/n! \pmod{p}$$

that we used is the same as $\zeta \equiv e_{p-1}(\pi) \pmod{\pi^p}$, where $e_{p-1}(T) = \sum_{n=0}^{p-1} T^n/n!$. This is essentially [1, Lemma 3, p. 372] with $k = 1$ (and $e_{p-1}(T)$ is denoted there by $E(T)$.)

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