

# THE DIFFERENT IDEAL

KEITH CONRAD

## 1. INTRODUCTION

The discriminant of a number field  $K$  is, by definition, the determinant of the matrix  $(\text{Tr}_{K/\mathbf{Q}}(e_i e_j))$ , where  $e_1, \dots, e_n$  is an arbitrary  $\mathbf{Z}$ -basis of  $\mathcal{O}_K$ . The prime factors of the discriminant of  $K$  are the prime numbers  $p$  that ramify in  $\mathcal{O}_K$ . Here are two related tasks that are not answered just from knowing the ramified prime numbers in  $K$ :

- (a) determine which prime ideals up in  $\mathcal{O}_K$  ramify (that is, which  $\mathfrak{p}$  in  $\mathcal{O}_K$  have  $e(\mathfrak{p}|p) > 1$  rather than which  $p$  have  $e(\mathfrak{p}|p) > 1$  for some  $\mathfrak{p}$ ),
- (b) determine the exact multiplicity of a prime in the discriminant of  $K$  (we only know the multiplicity is positive for the ramified primes).

**Example 1.1.** Let  $K = \mathbf{Q}(\alpha)$ , where  $\alpha^3 - \alpha - 1 = 0$ . The polynomial  $T^3 - T - 1$  has discriminant  $-23$ , which is squarefree, so  $\mathcal{O}_K = \mathbf{Z}[\alpha]$  and each prime  $p$  factors in  $\mathcal{O}_K$  in the same way  $T^3 - T - 1$  factors in  $\mathbf{F}_p[T]$ . Since  $\text{disc}(\mathcal{O}_K) = -23$ , only the prime 23 ramifies.

Since  $T^3 - T - 1 \equiv (T-3)(T-10)^2 \pmod{23}$ ,  $(23) = \mathfrak{p}\mathfrak{q}^2$ . One prime over 23 has multiplicity 1 and the other has multiplicity 2. The discriminant tells us some prime over 23 ramifies, but not which ones ramify. Only  $\mathfrak{q}$  does.

By a finer analysis of the trace map  $K \rightarrow \mathbf{Q}$ , we will construct an ideal in  $\mathcal{O}_K$  that is divisible precisely by the ramified primes up in  $\mathcal{O}_K$ . This ideal is called the *different ideal*. (It is related to differentiation, hence the name I think.) In the case of Example 1.1, for instance, we will see that the different ideal is  $\mathfrak{q}$ , so the different ideal singles out the particular prime over 23 that ramifies. While the discriminant is downstairs in  $\mathbf{Z}$ , the different ideal is upstairs in  $\mathcal{O}_K$ . The ideal norm of the different ideal is the absolute value of the discriminant, and this connection between the different and discriminant will tell us something about the multiplicity of primes in the discriminant. So the different ideal answers both (a) and (b) above (only a partial answer for (b)).

The main idea needed to construct the different ideal is an analogue in number fields of the classical notion of a dual lattice in Euclidean space. We will start in Section 2 describing dual lattices in  $\mathbf{R}^n$  and their basic properties. Armed with that intuition, we will meet an analogous construction in number fields in Section 3 and then construct and study the different ideal in Section 4. In Section 5 we'll use the different ideal of  $K$  to describe the character group of  $\mathcal{O}_K/\mathfrak{b}$  when  $\mathfrak{b}$  is a nonzero ideal in  $\mathcal{O}_K$ .

## 2. THE $\mathbf{Z}$ -DUAL OF A LATTICE IN $\mathbf{R}^n$

In  $\mathbf{R}^n$ , the standard dot product gives a notion of orthogonal complement: when  $V \subset \mathbf{R}^n$  is a subspace, we set

$$V^\perp = \{w \in \mathbf{R}^n : w \perp V\} = \{w \in \mathbf{R}^n : w \cdot V = 0\}.$$

Then  $\mathbf{R}^n = V \oplus V^\perp$ ,  $V^{\perp\perp} = V$ , and  $V_1 \subset V_2 \iff V_2^\perp \subset V_1^\perp$ .

A *lattice* in  $\mathbf{R}^n$  is, by definition, the  $\mathbf{Z}$ -span of a basis of  $\mathbf{R}^n$ .<sup>1</sup> The standard lattice is  $\mathbf{Z}^n$ , for instance. There is a concept for lattices in  $\mathbf{R}^n$  somewhat like the orthogonal complement of a subspace.

**Definition 2.1.** For a lattice  $L \subset \mathbf{R}^n$  its  $\mathbf{Z}$ -dual is

$$L^\vee = \{w \in \mathbf{R}^n : w \cdot L \subset \mathbf{Z}\}.$$

This  $\mathbf{Z}$ -dual of a lattice is *not* an orthogonal complement. The condition for a vector to lie in the  $\mathbf{Z}$ -dual of  $L$  is to have integral dot product against all elements of  $L$ , not to have dot product 0 against all elements of  $L$ .<sup>2</sup> Some similarities with properties of orthogonal complements will be seen in Corollary 2.7.

If  $e_1, \dots, e_n$  is a  $\mathbf{Z}$ -basis of  $L$ , then to have  $w \cdot v \in \mathbf{Z}$  for all  $v \in L$  it suffices to check  $w \cdot e_i \in \mathbf{Z}$  for  $i = 1, \dots, n$  since every element of  $L$  is a  $\mathbf{Z}$ -linear combination of the  $e_i$ 's. Here are three examples of dual lattices in  $\mathbf{R}^2$ .

**Example 2.2.** Let  $L = \mathbf{Z}^2 = \mathbf{Z}\begin{pmatrix} 1 \\ 0 \end{pmatrix} + \mathbf{Z}\begin{pmatrix} 0 \\ 1 \end{pmatrix}$ . For  $w \in \mathbf{R}^2$ ,  $w \in L^\vee$  if and only if  $w \cdot \begin{pmatrix} 1 \\ 0 \end{pmatrix} \in \mathbf{Z}$  and  $w \cdot \begin{pmatrix} 0 \\ 1 \end{pmatrix} \in \mathbf{Z}$ . Writing  $w = \begin{pmatrix} a \\ b \end{pmatrix}$ , the two dot products are  $a$  and  $b$ , so  $L^\vee = \mathbf{Z}^2 = L$ . The lattice  $\mathbf{Z}^2$  is “self-dual.”

**Example 2.3.** Let  $L = \mathbf{Z}\begin{pmatrix} 1 \\ 0 \end{pmatrix} + \mathbf{Z}\begin{pmatrix} 1 \\ 2 \end{pmatrix}$ . Then  $\begin{pmatrix} a \\ b \end{pmatrix}$  is in  $L^\vee$  when  $a \in \mathbf{Z}$  and  $a + 2b \in \mathbf{Z}$ , which is equivalent to  $a \in \mathbf{Z}$  and  $b \in (1/2)\mathbf{Z}$ , so  $L^\vee = \mathbf{Z}\begin{pmatrix} 1 \\ 0 \end{pmatrix} + \mathbf{Z}\begin{pmatrix} 0 \\ 1/2 \end{pmatrix}$ . See Figures 1 and 2.

**Example 2.4.** Let  $L = \mathbf{Z}\begin{pmatrix} 2 \\ 1 \end{pmatrix} + \mathbf{Z}\begin{pmatrix} 1 \\ 3 \end{pmatrix}$ . To say  $\begin{pmatrix} a \\ b \end{pmatrix} \in L^\vee$  is equivalent to  $2a + b \in \mathbf{Z}$  and  $a + 3b \in \mathbf{Z}$ . The system of equations

$$\begin{aligned} 2a + b &= x \\ a + 3b &= y \end{aligned}$$

is equivalent to

$$\begin{aligned} a &= \frac{3}{5}x - \frac{1}{5}y \\ b &= -\frac{1}{5}x + \frac{2}{5}y, \end{aligned}$$

so

$$\begin{pmatrix} a \\ b \end{pmatrix} = x \begin{pmatrix} 3/5 \\ -1/5 \end{pmatrix} + y \begin{pmatrix} -1/5 \\ 2/5 \end{pmatrix}.$$

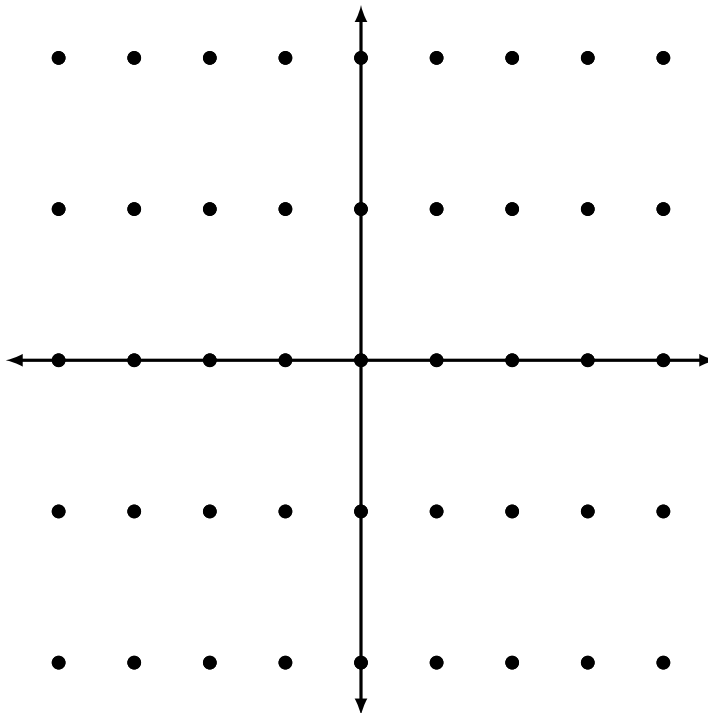
Thus  $L^\vee = \mathbf{Z}\begin{pmatrix} 3/5 \\ -1/5 \end{pmatrix} + \mathbf{Z}\begin{pmatrix} -1/5 \\ 2/5 \end{pmatrix}$ . See Figures 3 and 4.

**Theorem 2.5.** If  $L = \bigoplus_{i=1}^n \mathbf{Z}e_i$  is a lattice in  $\mathbf{R}^n$  then its  $\mathbf{Z}$ -dual is  $L^\vee = \bigoplus_{i=1}^n \mathbf{Z}e_i^\vee$ , where  $\{e_i^\vee\}$  is the dual basis to  $\{e_i\}$  relative to the dot product on  $\mathbf{R}^n$ :  $e_i \cdot e_j^\vee = \delta_{ij}$ . In particular,  $L^\vee$  is a lattice.

*Proof.* For  $w \in \mathbf{R}^n$ , write it in the dual basis  $\{e_1^\vee, \dots, e_n^\vee\}$  as  $w = \sum_{i=1}^n c_i e_i^\vee$ . Then  $w \cdot e_i = c_i$ , so to say  $w \in L^\vee$  is equivalent to the coefficients  $c_i$  being integers. Therefore  $L^\vee$  is the  $\mathbf{Z}$ -span of the  $e_i^\vee$ 's.  $\square$

<sup>1</sup>Topologically, the lattices in  $\mathbf{R}^n$  are the subgroups  $\Lambda$  such that  $\Lambda$  is discrete and  $\mathbf{R}^n/\Lambda$  is compact.

<sup>2</sup>The  $\mathbf{Z}$ -dual is the dual space of  $L$  as a  $\mathbf{Z}$ -module: every  $\mathbf{Z}$ -linear map  $L \rightarrow \mathbf{Z}$  has the form  $v \mapsto w \cdot v$  for a unique  $w \in L^\vee$ .

FIGURE 1.  $L = \mathbf{Z}\begin{pmatrix} 1 \\ 0 \end{pmatrix} + \mathbf{Z}\begin{pmatrix} 1 \\ 2 \end{pmatrix}$ .

**Example 2.6.** Let  $L = \mathbf{Z}\begin{pmatrix} 1 \\ 0 \end{pmatrix} + \mathbf{Z}\begin{pmatrix} 1 \\ 2 \end{pmatrix}$ , as in Example 2.3. The dual basis to  $\begin{pmatrix} 1 \\ 0 \end{pmatrix}$  and  $\begin{pmatrix} 1 \\ 2 \end{pmatrix}$  is  $\begin{pmatrix} 1 \\ -1/2 \end{pmatrix}$  and  $\begin{pmatrix} 0 \\ 1/2 \end{pmatrix}$ , so by Theorem 2.5,  $L^\vee = \mathbf{Z}\begin{pmatrix} 1 \\ -1/2 \end{pmatrix} + \mathbf{Z}\begin{pmatrix} 0 \\ 1/2 \end{pmatrix}$ . If we do a change of basis, replacing  $\begin{pmatrix} 1 \\ -1/2 \end{pmatrix}$  with  $\begin{pmatrix} 1 \\ -1/2 \end{pmatrix} + \begin{pmatrix} 0 \\ 1/2 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$  and keeping  $\begin{pmatrix} 0 \\ 1/2 \end{pmatrix}$  then we recover the spanning set for  $L^\vee$  in Example 2.3.

**Corollary 2.7.** *For lattices in  $\mathbf{R}^n$ , the following properties hold:*

- (1)  $L^{\vee\vee} = L$ ,
- (2)  $L_1 \subset L_2 \iff L_2^\vee \subset L_1^\vee$ ,
- (3)  $(L_1 + L_2)^\vee = L_1^\vee \cap L_2^\vee$ ,
- (4)  $(L_1 \cap L_2)^\vee = L_1^\vee + L_2^\vee$ .

*Proof.* (1): Theorem 2.5 and double duality of vector spaces tells us  $L^{\vee\vee} = L$  since the dual basis of a dual basis is the original basis, whose  $\mathbf{Z}$ -span is the original lattice.

(2): It is easy to see from the definitions that if  $L_1 \subset L_2$  then  $L_2^\vee \subset L_1^\vee$ . Applying this to the inclusion  $L_2^\vee \subset L_1^\vee$  gives us  $L_1^{\vee\vee} \subset L_2^{\vee\vee}$ , so  $L_1 \subset L_2$ .

(3): If  $v \in (L_1 + L_2)^\vee$  then  $v$  has integral dot product with each vector in  $L_1 + L_2$ , and hence with each vector in  $L_1$  and each vector in  $L_2$ . Thus  $v \in L_1^\vee$  and  $v \in L_2^\vee$ , so  $v \in L_1^\vee \cap L_2^\vee$ . We have shown  $(L_1 + L_2)^\vee \subset L_1^\vee \cap L_2^\vee$ . The reverse inclusion is just as easy to check.

(4): Rather than directly verify that  $(L_1 \cap L_2)^\vee = L_1^\vee + L_2^\vee$ , we will check the two sides are equal by checking their dual lattices are equal. That means, by double duality, we want to check  $L_1 \cap L_2 = (L_1^\vee + L_2^\vee)^\vee$ . From (3),  $(L_1^\vee + L_2^\vee)^\vee = L_1^{\vee\vee} \cap L_2^{\vee\vee} = L_1 \cap L_2$ , so we are done.  $\square$

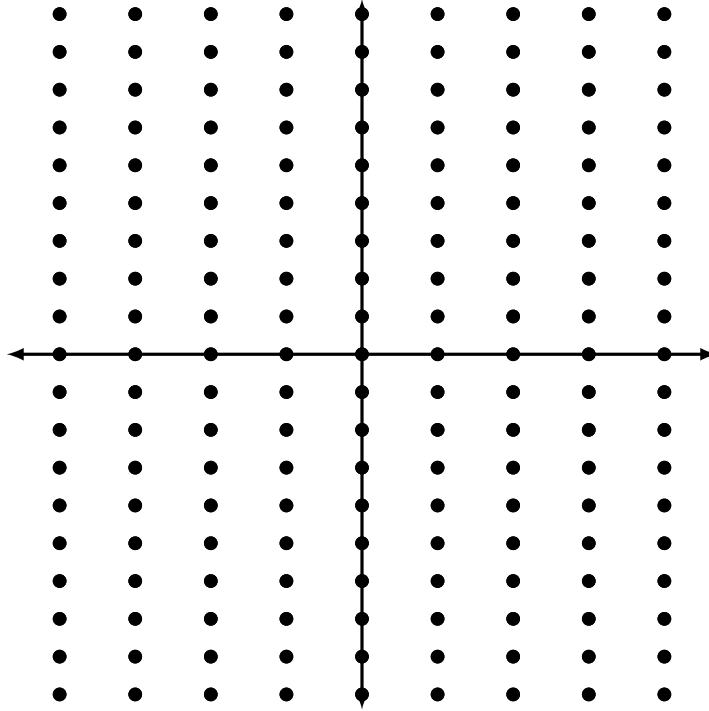


FIGURE 2.  $L^\vee = \mathbf{Z}\begin{pmatrix} 1 \\ 0 \end{pmatrix} + \mathbf{Z}\begin{pmatrix} 0 \\ 1/2 \end{pmatrix}$ .

One property of orthogonal complements that is not shared by dual lattices is  $V \oplus V^\perp = \mathbf{R}^n$ . The lattices  $L$  and  $L^\vee$  are *not* complementary in the direct sum sense. Both a lattice and its dual lattice have rank  $n$ .

### 3. LATTICES IN NUMBER FIELDS

The ideas about lattices in  $\mathbf{R}^n$  will not literally be used, but they are the motivation for what we do now in number fields. Replace  $\mathbf{R}^n$  and its dot product  $(v, w) \mapsto v \cdot w$  with a number field  $K$  and the operation  $(x, y) \mapsto \text{Tr}_{K/\mathbf{Q}}(xy)$  on it, which we'll call the *trace product*.<sup>3</sup> The trace product has values in  $\mathbf{Q}$ . Instead of being concerned with vectors having a *dot product in  $\mathbf{Z}$* , we will look at algebraic numbers having a *trace product in  $\mathbf{Z}$* .

**Definition 3.1.** In a number field  $K$  of degree  $n$ , a *lattice* in  $K$  is the  $\mathbf{Z}$ -span of a  $\mathbf{Q}$ -basis of  $K$ .

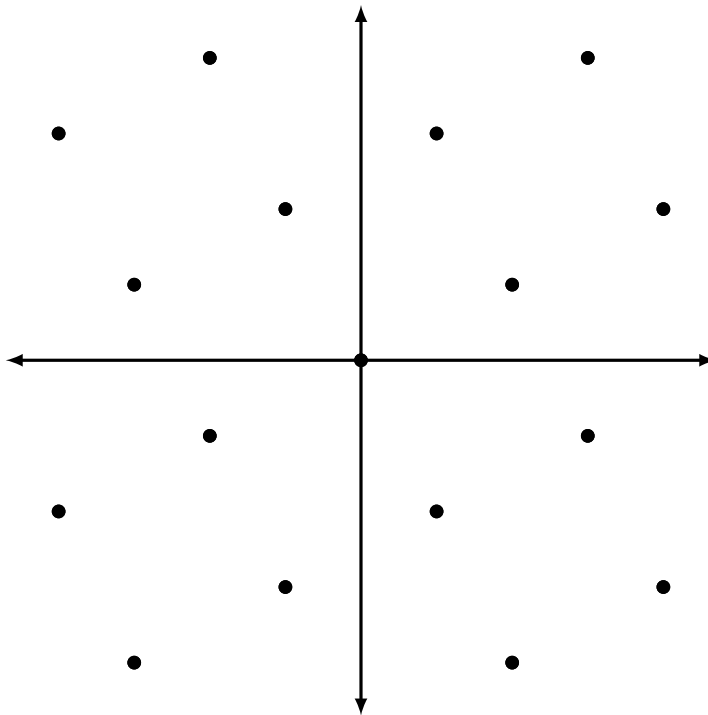
Examples of lattices in  $K$  include  $\mathcal{O}_K$ , fractional ideals for  $\mathcal{O}_K$ , and orders in  $K$ .

**Definition 3.2.** Let  $L$  be a lattice in  $K$ . Its *dual lattice* is

$$L^\vee = \{\alpha \in K : \text{Tr}_{K/\mathbf{Q}}(\alpha L) \subset \mathbf{Z}\}.$$

As with lattices in Euclidean space, where one can check membership in a dual lattice by checking the dot products with a basis of the lattice are all in  $\mathbf{Z}$ , to check  $\alpha \in L^\vee$  it suffices

<sup>3</sup>The usual term is *trace pairing*, not trace product. But since we're trying to emphasize the similarity to the dot product on  $\mathbf{R}^n$ , the term "trace product" seems helpful.

FIGURE 3.  $L = \mathbf{Z}\begin{pmatrix} 2 \\ 1 \end{pmatrix} + \mathbf{Z}\begin{pmatrix} 1 \\ 3 \end{pmatrix}$ .

to check its trace products with a basis of  $L$  are all in  $\mathbf{Z}$ :  $\text{Tr}_{K/\mathbf{Q}}(\alpha e_i) \in \mathbf{Z}$  for some  $\mathbf{Z}$ -basis  $e_1, \dots, e_n$  of  $L$ .

**Example 3.3.** Let  $K = \mathbf{Q}(i)$  and  $L = \mathbf{Z}[i] = \mathbf{Z} + \mathbf{Z}i$ . For  $a + bi \in \mathbf{Q}(i)$ ,  $a + bi \in L^\vee$  when  $\text{Tr}_{\mathbf{Q}(i)/\mathbf{Q}}(a + bi) \in \mathbf{Z}$  and  $\text{Tr}_{\mathbf{Q}(i)/\mathbf{Q}}((a + bi)i) \in \mathbf{Z}$ . This is equivalent to  $2a \in \mathbf{Z}$  and  $-2b \in \mathbf{Z}$ , so

$$\mathbf{Z}[i]^\vee = \frac{1}{2}\mathbf{Z} + \frac{1}{2}\mathbf{Z}i = \frac{1}{2}\mathbf{Z}[i].$$

Taking  $L = (1 + 2i)\mathbf{Z}[i] = \mathbf{Z}(1 + 2i) + \mathbf{Z}(-2 + i)$ , calculations like those in Example 2.4 (but using trace products instead of dot products) lead to

$$L^\vee = \mathbf{Z}\left(\frac{1}{10} - \frac{i}{5}\right) + \mathbf{Z}\left(-\frac{1}{5} - \frac{i}{10}\right) = \mathbf{Z}\frac{1 - 2i}{10} + \mathbf{Z}\frac{-2 - i}{10} = \frac{1 - 2i}{10}(\mathbf{Z} - \mathbf{Z}i) = \frac{1}{2(1 + 2i)}\mathbf{Z}[i].$$

This calculation shows a relation between the dual lattice and the inverse ideal. Writing  $\mathfrak{a}$  for  $L = (1 + 2i)$ , an ideal, the calculation of  $L^\vee$  says  $\mathfrak{a}^\vee = \frac{1}{2}\mathfrak{a}^{-1}$ .

**Theorem 3.4.** For a number field  $K$  and a lattice  $L \subset K$  with  $\mathbf{Z}$ -basis  $e_1, \dots, e_n$ ,  $L^\vee = \bigoplus_{i=1}^n \mathbf{Z}e_i^\vee$ , where  $\{e_i^\vee\}$  is the dual basis to  $\{e_i\}$  relative to the trace product on  $K/\mathbf{Q}$ . In particular,  $L^\vee$  is a lattice.

*Proof.* This is virtually identical to Theorem 2.5, except for the use of the trace product in place of the dot product.  $\square$

**Corollary 3.5.** For lattices in  $K$ , the following properties hold:

- (1)  $L^{\vee\vee} = L$ ,

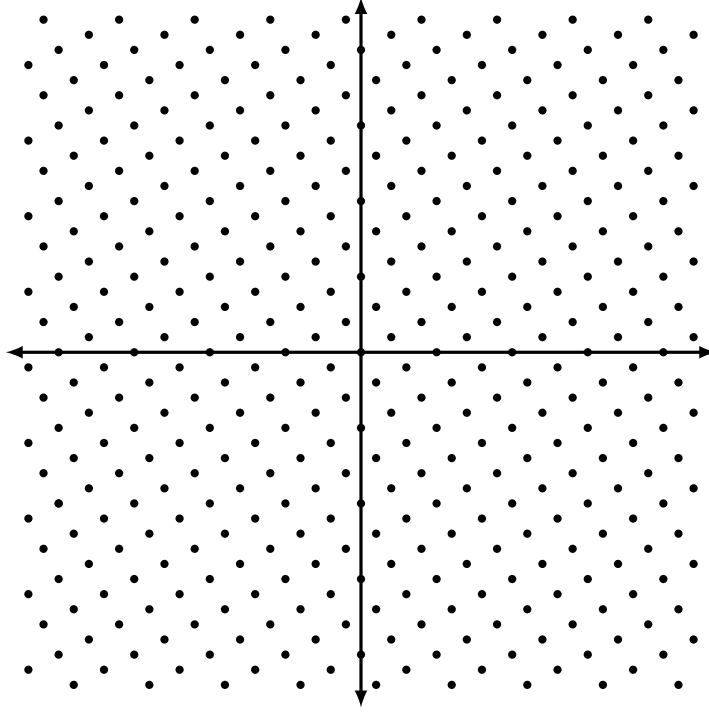


FIGURE 4.  $L^\vee = \mathbf{Z}\begin{pmatrix} 3/5 \\ -1/5 \end{pmatrix} + \mathbf{Z}\begin{pmatrix} -1/5 \\ 2/5 \end{pmatrix}$ .

- (2)  $L_1 \subset L_2 \iff L_2^\vee \subset L_1^\vee$ ,
- (3)  $(L_1 + L_2)^\vee = L_1^\vee \cap L_2^\vee$ ,
- (4)  $(L_1 \cap L_2)^\vee = L_1^\vee + L_2^\vee$ ,
- (5)  $(\alpha L)^\vee = \frac{1}{\alpha} L^\vee$  for  $\alpha \in K^\times$ .

*Proof.* The first four properties are proved in the same way as the proof of Corollary 2.7, and the last one is left to the reader.  $\square$

**Example 3.6.** For a quadratic field  $K = \mathbf{Q}(\sqrt{d})$ , with a squarefree integer  $d$ , we use Theorem 3.4 to compute  $L^\vee$  for the lattices  $\mathbf{Z} + \mathbf{Z}\sqrt{d}$  and  $\mathbf{Z} + \mathbf{Z}\frac{1+\sqrt{d}}{2}$ . (The second lattice isn't a ring unless  $d \equiv 1 \pmod{4}$ , but it is a lattice for all  $d$ , so we can speak of its dual lattice in all cases.)

The dual basis of  $\{1, \sqrt{d}\}$  for  $K/\mathbf{Q}$  relative to the trace product on  $K$  is  $\{\frac{1}{2}, \frac{1}{2\sqrt{d}}\}$ , so  $(\mathbf{Z} + \mathbf{Z}\sqrt{d})^\vee = \mathbf{Z}\frac{1}{2} + \mathbf{Z}\frac{1}{2\sqrt{d}} = \frac{1}{2\sqrt{d}}(\mathbf{Z}\sqrt{d} + \mathbf{Z}) = \frac{1}{2\sqrt{d}}(\mathbf{Z} + \mathbf{Z}\sqrt{d})$ .

The dual basis of  $\{1, \frac{1+\sqrt{d}}{2}\}$  relative to the trace product on  $K$  is  $\{-1 + \frac{\sqrt{d}}{2}, \frac{1}{d}\sqrt{d}\}$ , and a calculation yields  $(\mathbf{Z} + \mathbf{Z}\frac{1+\sqrt{d}}{2})^\vee = \frac{1}{\sqrt{d}}(\mathbf{Z}\frac{-1+\sqrt{d}}{2} + \mathbf{Z}) = \frac{1}{\sqrt{d}}(\mathbf{Z} + \mathbf{Z}\frac{1+\sqrt{d}}{2})$ .

The next theorem tells us the dual basis of a power basis of  $K$  (a basis consisting of powers of a single element). In terms of dual lattices, a tight connection between dual lattices and differentiation is revealed.

**Theorem 3.7.** Let  $K = \mathbf{Q}(\alpha)$  and let  $f(T)$  be the minimal polynomial of  $\alpha$  in  $\mathbf{Q}[T]$ . Write

$$f(T) = (T - \alpha)(c_0(\alpha) + c_1(\alpha)T + \cdots + c_{n-1}(\alpha)T^{n-1}), \quad c_i(\alpha) \in K.$$

The dual basis to  $\{1, \alpha, \dots, \alpha^{n-1}\}$  relative to the trace product is  $\left\{\frac{c_0(\alpha)}{f'(\alpha)}, \frac{c_1(\alpha)}{f'(\alpha)}, \dots, \frac{c_{n-1}(\alpha)}{f'(\alpha)}\right\}$ . In particular, if  $K = \mathbf{Q}(\alpha)$  and  $\alpha \in \mathcal{O}_K$  then

$$(\mathbf{Z} + \mathbf{Z}\alpha + \dots + \mathbf{Z}\alpha^{n-1})^\vee = \frac{1}{f'(\alpha)}(\mathbf{Z} + \mathbf{Z}\alpha + \dots + \mathbf{Z}\alpha^{n-1}).$$

*Proof.* Let  $\alpha_1, \dots, \alpha_n$  be the  $\mathbf{Q}$ -conjugates of  $\alpha$  in a splitting field, with  $\alpha = \alpha_1$ . A beautiful polynomial identity of Euler says

$$\sum_{i=1}^n \frac{1}{f'(\alpha_i)} \frac{f(T)}{T - \alpha_i} = 1.$$

Indeed, both sides are polynomials of degree less than  $n$  that are equal at  $n$  values. By the same argument,

$$\sum_{i=1}^n \frac{\alpha_i^k}{f'(\alpha_i)} \frac{f(T)}{T - \alpha_i} = T^k$$

for  $0 \leq k \leq n-1$ . Comparing coefficients of like powers of  $T$  on both sides,

$$\sum_{i=1}^n \frac{\alpha_i^k}{f'(\alpha_i)} c_j(\alpha_i) = \delta_{jk}.$$

The left side is  $\text{Tr}_{K/\mathbf{Q}}(\alpha^k(c_j(\alpha)/f'(\alpha)))$ , so  $\{c_j(\alpha)/f'(\alpha)\}$  is the dual basis to  $\{\alpha^j\}$  and

$$(\mathbf{Z} + \mathbf{Z}\alpha + \dots + \mathbf{Z}\alpha^{n-1})^\vee = \frac{1}{f'(\alpha)}(\mathbf{Z}c_0(\alpha) + \mathbf{Z}c_1(\alpha) + \dots + \mathbf{Z}c_{n-1}(\alpha)).$$

To show

$$\mathbf{Z}c_0(\alpha) + \mathbf{Z}c_1(\alpha) + \dots + \mathbf{Z}c_{n-1}(\alpha) = \mathbf{Z} + \mathbf{Z}\alpha + \dots + \mathbf{Z}\alpha^{n-1}$$

when  $\alpha \in \mathcal{O}_K$ , we find a formula for  $c_j(\alpha)$ , the coefficient of  $T^j$  in  $f(T)/(T - \alpha)$ . Letting  $f(T) = a_0 + a_1T + \dots + a_{n-1}T^{n-1} + a_nT^n \in \mathbf{Z}[T]$ , where  $a_n = 1$ ,

$$\begin{aligned} \frac{f(T)}{T - \alpha} &= \frac{f(T) - f(\alpha)}{T - \alpha} \\ &= \sum_{i=1}^n a_i \frac{T^i - \alpha^i}{T - \alpha} \\ &= \sum_{i=1}^n a_i \sum_{j=0}^{i-1} \alpha^{i-1-j} T^j \\ &= \sum_{j=0}^{n-1} \left( \sum_{i=j+1}^n a_i \alpha^{i-1-j} \right) T^j, \end{aligned}$$

so  $c_j(\alpha) = \sum_{i=j+1}^n a_i \alpha^{i-1-j}$ , whose top term is  $\alpha^{n-j-1}$  (since  $a_n = 1$ ). A transition matrix from  $1, \alpha, \dots, \alpha^{n-1}$  to  $c_{n-1}(\alpha), \dots, c_1(\alpha), c_0(\alpha)$  is triangular with integral entries and 1's on the main diagonal, so it is invertible over  $\mathbf{Z}$  and shows the  $\mathbf{Z}$ -span of the two sets is the same.  $\square$

**Example 3.8.** Returning to Example 3.6, we recompute  $(\mathbf{Z} + \mathbf{Z}\alpha)^\vee$  for  $\alpha = \sqrt{d}$  or  $\frac{1+\sqrt{d}}{2}$ , with  $f(T) = T^2 - d$  or  $T^2 - T + \frac{1-d}{4}$ , respectively. In the first case  $f'(\alpha) = 2\sqrt{d}$ , and in

the second case  $f'(\alpha) = 2\frac{1+\sqrt{d}}{2} - 1 = \sqrt{d}$ . The formula  $(\mathbf{Z} + \mathbf{Z}\alpha)^\vee = \frac{1}{f'(\alpha)}(\mathbf{Z} + \mathbf{Z}\alpha)$  from Theorem 3.7 recovers the formulas for the dual lattices in Example 3.6.

The most interesting lattice in  $K$  is  $\mathcal{O}_K$ . What can we say about

$$\mathcal{O}_K^\vee = \{\alpha \in K : \mathrm{Tr}_{K/\mathbf{Q}}(\alpha\mathcal{O}_K) \subset \mathbf{Z}\}?$$

First of all,  $\mathcal{O}_K^\vee$  is *not* all the elements of  $K$  with integral trace. It is smaller than that. To say  $\alpha \in \mathcal{O}_K^\vee$  means  $\mathrm{Tr}_{K/\mathbf{Q}}(\alpha\mathcal{O}_K) \subset \mathbf{Z}$ , which includes the condition  $\mathrm{Tr}_{K/\mathbf{Q}}(\alpha) \in \mathbf{Z}$  only as a special case (since  $1 \in \mathcal{O}_K$ ). Since algebraic integers have trace in  $\mathbf{Z}$  and  $\mathcal{O}_K$  is a ring,  $\mathcal{O}_K \subset \mathcal{O}_K^\vee$ . We saw earlier that  $\mathbf{Z}[i]^\vee = \frac{1}{2}\mathbf{Z}[i]$ , for instance, which contains  $\mathbf{Z}[i]$ .

The next theorem says  $\mathcal{O}_K^\vee$  is a fractional ideal that “controls” the dual lattice of every fractional ideal.

**Theorem 3.9.** *For a fractional ideal  $\mathfrak{a}$  in  $K$ ,  $\mathfrak{a}^\vee$  is a fractional ideal and  $\mathfrak{a}^\vee = \mathfrak{a}^{-1}\mathcal{O}_K^\vee$ .*

This formula explains  $\mathfrak{a}^{\vee\vee} = \mathfrak{a}$  from Corollary 3.5 in the special case of fractional ideals.

*Proof.* By definition,  $\mathfrak{a}^\vee = \{\alpha \in K : \mathrm{Tr}_{K/\mathbf{Q}}(\alpha\mathfrak{a}) \subset \mathbf{Z}\}$ . First we check  $\mathfrak{a}^\vee$  is a fractional ideal. It is a finitely generated  $\mathbf{Z}$ -module (a dual lattice is a lattice), so the key point is  $\mathfrak{a}^\vee$  is preserved by multiplication by  $\mathcal{O}_K$ . For  $x \in \mathcal{O}_K$  and  $\alpha \in \mathfrak{a}^\vee$ ,  $x\alpha \in \mathfrak{a}^\vee$  since  $\mathrm{Tr}_{K/\mathbf{Q}}((x\alpha)\mathfrak{a}) = \mathrm{Tr}_{K/\mathbf{Q}}(\alpha(x\mathfrak{a})) \subset \mathrm{Tr}_{K/\mathbf{Q}}(\alpha\mathfrak{a}) \subset \mathbf{Z}$  due to  $\alpha \in \mathfrak{a}^\vee$ .

To show  $\mathfrak{a}^\vee = \mathfrak{a}^{-1}\mathcal{O}_K^\vee$ , pick  $\alpha \in \mathfrak{a}^\vee$ . For  $\beta \in \mathfrak{a}$ ,  $\mathrm{Tr}_{K/\mathbf{Q}}(\alpha\beta\mathcal{O}_K) \subset \mathbf{Z}$  since  $\beta\mathcal{O}_K \subset \mathfrak{a}$ . Therefore  $\alpha\beta \in \mathcal{O}_K^\vee$ , so  $\mathfrak{a}^\vee\mathfrak{a} \subset \mathcal{O}_K^\vee$  using products of fractional ideals. Since fractional ideals for  $\mathcal{O}_K$  have inverses,  $\mathfrak{a}^\vee \subset \mathfrak{a}^{-1}\mathcal{O}_K^\vee$ . The reverse containment is left to the reader.  $\square$

**Theorem 3.10.** *The dual lattice  $\mathcal{O}_K^\vee$  is the largest  $\mathcal{O}_K$ -module in  $K$  whose elements all have trace in  $\mathbf{Z}$ .*

*Proof.* Let  $M$  be an  $\mathcal{O}_K$ -module in  $K$  and  $m \in M$ . If  $\mathrm{Tr}_{K/\mathbf{Q}}(M) \subset \mathbf{Z}$ , then  $\mathrm{Tr}_{K/\mathbf{Q}}(m\mathcal{O}_K) \subset \mathbf{Z}$  since  $m\mathcal{O}_K \subset M$ . Thus  $m \in \mathcal{O}_K^\vee$ , so  $M \subset \mathcal{O}_K^\vee$ . That  $\mathrm{Tr}_{K/\mathbf{Q}}(\mathcal{O}_K^\vee) \subset \mathbf{Z}$  is something we already observed since  $1 \in \mathcal{O}_K$ .  $\square$

This theorem isn't saying  $\mathcal{O}_K^\vee$  is the set of all elements in  $K$  with integral trace. It's the largest *fractional ideal* whose elements have integral trace. The set of all elements with integral trace is an additive group, but it is not a fractional ideal.

**Example 3.11.** Since  $\mathbf{Z}[i]^\vee = \frac{1}{2}\mathbf{Z}[i]$ , a fractional ideal whose elements have integral trace is inside  $\frac{1}{2}\mathbf{Z}[i]$ . The set of all elements of  $\mathbf{Q}(i)$  with integral trace is  $\frac{1}{2}\mathbf{Z} + \mathbf{Q}i$ , which isn't a fractional ideal at all. Note  $\frac{1}{2}\mathbf{Z} + \mathbf{Q}i$  contains  $\frac{1}{2}\mathbf{Z}[i] = \frac{1}{2}\mathbf{Z} + \frac{1}{2}\mathbf{Z}i$ .

For an order  $\mathcal{O}$  in  $K$  and fractional  $\mathcal{O}$ -ideal  $\mathfrak{a}$  (so  $\mathfrak{a}$  is a nonzero finitely generated  $\mathcal{O}$ -module in  $K$ ) the  $\mathbf{Z}$ -dual  $\mathfrak{a}^\vee = \{\alpha \in K : \mathrm{Tr}_{K/\mathbf{Q}}(\alpha\mathfrak{a}) \subset \mathbf{Z}\}$  is a fractional  $\mathcal{O}$ -ideal and  $\mathfrak{a}\mathfrak{a}^\vee \subset \mathcal{O}^\vee$  by the same argument as in the proof of Theorem 3.9. If  $\mathfrak{a}$  is invertible as a fractional  $\mathcal{O}$ -ideal then  $\mathfrak{a}^\vee = \mathfrak{a}^{-1}\mathcal{O}^\vee$ , just as the case  $\mathcal{O} = \mathcal{O}_K$ , but when  $\mathcal{O} \neq \mathcal{O}_K$  a fractional  $\mathcal{O}$ -ideal might not be invertible as a fractional  $\mathcal{O}$ -ideal and the containment  $\mathfrak{a}\mathfrak{a}^\vee \subset \mathcal{O}^\vee$  may be strict.

**Example 3.12.** Let  $\mathfrak{a}$  be a nonzero proper ideal in  $\mathcal{O}_K$  and  $\mathcal{O} = \mathbf{Z} + \mathfrak{a}$ , which is an order in  $\mathcal{O}_K$ . (Orders in  $K$  need not look like this if  $[K : \mathbf{Q}] \geq 3$ .) Then  $\mathfrak{a}$  is an ideal in  $\mathcal{O}_K$  and  $\mathcal{O}$ , so  $\mathfrak{a}^\vee = \{\alpha \in K : \mathrm{Tr}_{K/\mathbf{Q}}(\alpha\mathfrak{a}) \subset \mathbf{Z}\}$  is a fractional ideal for  $\mathcal{O}_K$  and  $\mathcal{O}$ . Since  $\mathfrak{a}$  is an ideal in the full ring of integers  $\mathcal{O}_K$  we have  $\mathfrak{a}\mathfrak{a}^\vee = \mathcal{O}_K^\vee$  by Theorem 3.9. If  $\mathcal{O} \neq \mathcal{O}_K$ ,<sup>4</sup> then  $\mathcal{O} \subsetneq \mathcal{O}_K \implies \mathcal{O}_K^\vee \subsetneq \mathcal{O}^\vee$  by the first two parts of Corollary 3.5. Thus  $\mathfrak{a}\mathfrak{a}^\vee \subsetneq \mathcal{O}^\vee$ .

<sup>4</sup>This holds, for instance, if  $n = [K : \mathbf{Q}] > 1$  and  $\mathfrak{a} = c\mathcal{O}_K$  where  $c \geq 2$ , since  $[\mathcal{O}_K : \mathcal{O}] = c^{n-1} > 1$ .

4. THE DIFFERENT IDEAL

The construction of  $\mathcal{O}_K^\vee$  provides us with an interesting canonical fractional ideal in  $K$  other than  $\mathcal{O}_K$ . Because  $\mathcal{O}_K \subset \mathcal{O}_K^\vee$ , the *inverse* of  $\mathcal{O}_K^\vee$  is a fractional ideal inside  $\mathcal{O}_K$ , so that inverse is an integral ideal.

**Definition 4.1.** The *different ideal* of  $K$  is

$$\mathcal{D}_K = (\mathcal{O}_K^\vee)^{-1} = \{x \in K : x\mathcal{O}_K^\vee \subset \mathcal{O}_K\}.$$

This was introduced by Dedekind in 1882 [3, p. 38] and called by him the Grundideal (basic ideal) of  $K$ .<sup>5</sup> This term is similar to Grundzahl (basic number), which he had introduced earlier [2, p. 447] for the discriminant of  $K$  to distinguish it from discriminants of other linearly independent subsets of  $K$ . The term Different (or Differente in German) in place of Grundideal first appeared in Hilbert's *Zahlbericht* [8, §12, p. 201] in 1897.

**Example 4.2.** Since  $\mathbf{Z}[i]^\vee = \frac{1}{2}\mathbf{Z}[i]$  by Example 3.3,  $\mathcal{D}_{\mathbf{Q}(i)} = 2\mathbf{Z}[i]$ .

**Theorem 4.3.** If  $\mathcal{O}_K = \mathbf{Z}[\alpha]$  then  $\mathcal{D}_K = (f'(\alpha))$ , where  $f(T)$  is the minimal polynomial of  $\alpha$  in  $\mathbf{Z}[T]$ .

*Proof.* Use Theorem 3.7. □

**Example 4.4.** For a quadratic field  $K = \mathbf{Q}(\sqrt{d})$  with squarefree  $d \in \mathbf{Z}$ ,  $\mathcal{O}_K$  is  $\mathbf{Z}[\sqrt{d}]$  or  $\mathbf{Z}[(1 + \sqrt{d})/2]$ , depending on  $d \pmod{4}$ . Using Example 3.8,

$$(4.1) \quad \mathcal{D}_{\mathbf{Q}(\sqrt{d})} = \begin{cases} (2\sqrt{d}), & \text{if } d \not\equiv 1 \pmod{4}, \\ (\sqrt{d}), & \text{if } d \equiv 1 \pmod{4}. \end{cases}$$

**Example 4.5.** For a prime  $p$ , let  $K = \mathbf{Q}(\zeta_p)$ . We'll show  $\mathcal{D}_K = (1 - \zeta_p)^{p-2}$ .

This is clear when  $p = 2$ , where  $K = \mathbf{Q}$  and  $\mathcal{D}_K = (1)$ , so let  $p$  be an odd prime. We have  $\mathcal{O}_K = \mathbf{Z}[\zeta_p]$  and  $\zeta_p$  has minimal polynomial  $\Phi_p(T) = (T^p - 1)/(T - 1) = \prod_{i=1}^{p-1} (T - \zeta_p^i)$ . Check that

$$\Phi_p'(\zeta_p) = \prod_{i=2}^{p-1} (\zeta_p - \zeta_p^i) = \prod_{i=2}^{p-1} \zeta_p (1 - \zeta_p^{i-1}) = \zeta_p^{p-2} \prod_{j=1}^{p-2} (1 - \zeta_p^j).$$

When  $p \nmid j$  the ratio  $(1 - \zeta_p^j)/(1 - \zeta_p)$  is a unit in  $\mathbf{Z}[\zeta_p]$ , so

$$(4.2) \quad \mathcal{D}_{\mathbf{Q}(\zeta_p)} = \prod_{j=1}^{p-2} (1 - \zeta_p^j) = (1 - \zeta_p)^{p-2}.$$

**Example 4.6.** For a prime  $p$  and positive integer  $r$ , let  $K = \mathbf{Q}(\zeta_{p^r})$ . We'll show  $\mathcal{D}_K = (1 - \zeta_{p^r})^{p^{r-1}(r(p-1)-1)}$ . The previous example treats  $r = 1$  (the exponent  $p^{r-1}(r(p-1)-1)$  is  $p-2$  in this case), so let  $r \geq 2$ .

We have  $\mathcal{O}_K = \mathbf{Z}[\zeta_{p^r}]$  and  $\zeta_{p^r}$  has minimal polynomial  $\Phi_{p^r}(T) = \prod_{i \in (\mathbf{Z}/(p^r))^\times} (T - \zeta_{p^r}^i)$ , so  $\mathcal{D}_{\mathbf{Q}(\zeta_{p^r})} = (\Phi_{p^r}'(\zeta_{p^r}))$ . Since,

$$\Phi_{p^r}'(\zeta_{p^r}) = \prod_{\substack{i \in (\mathbf{Z}/(p^r))^\times \\ i \neq 1}} (\zeta_{p^r} - \zeta_{p^r}^i) = \prod_{\substack{i \in (\mathbf{Z}/(p^r))^\times \\ i \neq 1}} \zeta_{p^r} (1 - \zeta_{p^r}^{i-1}).$$

<sup>5</sup>Earlier in [3] (page 5), Dedekind introduced the term "trace" (in German, *Spur*) into mathematics.

we get

$$(4.3) \quad \mathcal{D}_{\mathbf{Q}(\zeta_{p^r})} = (\Phi'_{p^r}(\zeta_{p^r})) = \prod_{\substack{i \in (\mathbf{Z}/(p^r))^\times \\ i \neq 1}} (1 - \zeta_{p^r}^{i-1}),$$

where the right side is a product of ideals. Collect ideals on the right based on the  $p$ -power multiplicity of  $i - 1$ . That multiplicity can range from 0 to  $r - 1$ . We'll treat multiplicity 0 first and then the rest.

- If  $i - 1$  is not divisible by  $p$  then  $1 - \zeta_{p^r}^{i-1}$  and  $1 - \zeta_{p^r}$  are unit multiples, so  $(1 - \zeta_{p^r}^{i-1}) = (1 - \zeta_{p^r})$ . Such factors correspond to all units  $i \not\equiv 1 \pmod{p^r}$  such that  $i - 1$  is also a unit mod  $p^r$ . To count such  $i \pmod{p^r}$ , we count their complement in the units mod  $p^r$ :  $i \pmod{p^r}$  such that  $i \equiv 1 \pmod{p}$ . There are  $\varphi(p^r)/(p - 1) = p^{r-1}$  such  $i$ , so the number of these  $i$  is  $\varphi(p^r) - p^{r-1} = p^{r-1}(p - 2)$ . Thus the product of all such  $(1 - \zeta_{p^r})$  is  $(1 - \zeta_{p^r})^{p^{r-1}(p-2)}$ .
- If  $i - 1$  has  $p$ -power multiplicity  $j$ , where  $1 \leq j \leq r - 1$ , then  $\zeta_{p^r}^{i-1}$  has order  $p^{r-j}$ , so  $(1 - \zeta_{p^r}^{i-1}) = (1 - \zeta_{p^{r-j}})$  as ideals, where  $\zeta_{p^{r-j}} = \zeta_{p^r}^{p^j}$ . In the ring  $\mathbf{Z}[\zeta_{p^{r-j}}]$  we have  $(p) = (1 - \zeta_{p^{r-j}})^{\varphi(p^{r-j})} = (1 - \zeta_{p^{r-j}})^{p^{r-j-1}(p-1)}$ , and extending those ideals to  $\mathbf{Z}[\zeta_{p^r}]$  implies  $(1 - \zeta_{p^r})^{p^{r-1}(p-1)} = (1 - \zeta_{p^{r-j}})^{p^{r-j-1}(p-1)}$  as ideals in  $\mathbf{Z}[\zeta_{p^r}]$ . Writing the exponent  $p^{r-1}(p - 1)$  as  $p^{r-j-1}(p - 1)p^j$ , by unique factorization of ideals

$$(1 - \zeta_{p^r})^{p^j} = (1 - \zeta_{p^{r-j}}) = (1 - \zeta_{p^r}^{i-1}).$$

The number  $i - 1 \pmod{p^r}$  has  $p$ -power multiplicity  $j$  exactly when  $i \equiv 1 + p^j k \pmod{p^r}$  where  $p \nmid k$ . The number  $k$  is determined modulo  $p^{r-j}$  and is not divisible by  $p$ , so the number of such  $i$  is  $\varphi(p^{r-j}) = p^{r-j-1}(p - 1)$ . That makes the product of all such ideals  $(1 - \zeta_{p^r}^{i-1})$  equal to

$$(1 - \zeta_{p^r})^{p^j(p^{r-j-1}(p-1))} = (1 - \zeta_{p^r})^{p^{r-1}(p-1)},$$

which is independent of  $j$  when  $1 \leq j \leq r - 1$ .

There are  $r - 1$  products of the second type, and accounting also for the product of ideals where  $i - 1$  is not divisible by  $p$ , we get

$$\mathcal{D}_{\mathbf{Q}(\zeta_{p^r})} = (1 - \zeta_{p^r})^{p^{r-1}(p-2)} (1 - \zeta_{p^r})^{(r-1)p^{r-1}(p-1)} = (1 - \zeta_{p^r})^{p^{r-1}(r(p-1)-1)}.$$

**Remark 4.7.** Since  $\mathcal{O}_K$  may not have the form  $\mathbf{Z}[\alpha]$  for some  $\alpha$ ,  $\mathcal{D}_K$  might not be a principal ideal  $(f'(\alpha))$ .<sup>6</sup> However,  $\mathcal{D}_K$  does divide ideals of this type. Specifically, for all  $\alpha \in \mathcal{O}_K$ ,  $\mathcal{D}_K \mid (f'_\alpha(\alpha))$  where  $f_\alpha(T)$  is the characteristic polynomial of  $\alpha$  in  $\mathbf{Q}[T]$ . This is automatic if  $\mathbf{Q}(\alpha) \subsetneq K$  since  $f'_\alpha(\alpha) = 0$ , as  $f_\alpha(T)$  is a proper power of the minimal polynomial of  $\alpha$ . If  $\mathbf{Q}(\alpha) = K$  and  $\alpha \in \mathcal{O}_K$ , then  $\mathbf{Z}[\alpha]$  is a lattice in  $K$  and the inclusion  $\mathbf{Z}[\alpha] \subset \mathcal{O}_K$  implies  $\mathcal{O}_K^\vee \subset \mathbf{Z}[\alpha]^\vee$ , which is equivalent to  $\mathcal{D}_K^{-1} \subset \frac{1}{f'_\alpha(\alpha)} \mathbf{Z}[\alpha]$ , so  $\mathcal{D}_K^{-1} \subset \frac{1}{f'_\alpha(\alpha)} \mathcal{O}_K$ . Inverting ideals,  $(f'_\alpha(\alpha)) \subset \mathcal{D}_K$ , so  $\mathcal{D}_K \mid (f'_\alpha(\alpha))$ . If we let  $\alpha$  vary then  $\mathcal{D}_K$  divides the ideal generated by  $f'_\alpha(\alpha)$  as  $\alpha$  runs over  $\mathcal{O}_K$ . It can be shown that  $\mathcal{D}_K$  is the ideal generated by all  $f'_\alpha(\alpha)$  for  $\alpha \in \mathcal{O}_K$ . This is unlike the situation for discriminants:  $\text{disc}_{\mathbf{Z}}(\mathcal{O}_K)$  does *not* necessarily equal the greatest common divisor of all  $\text{disc}(f_\alpha(T))$  as  $\alpha$  ranges over algebraic integers in

<sup>6</sup>Note  $\mathbf{Z}[\alpha] \cong \mathbf{Z}[T]/(f(T))$ , where  $f(T)$  is the minimal polynomial of  $\alpha$  over  $\mathbf{Z}$ . By a theorem of de Smit [9],  $\mathcal{D}_K$  is principal if and only if  $\mathcal{O}_K \cong \mathbf{Z}[T_1, \dots, T_m]/(f_1, \dots, f_m)$  for some  $m \geq 1$  (as many generators as relations).

$K$ . For instance, if  $K = \mathbf{Q}(\theta)$  where  $\theta$  is a root of  $T^3 - T^2 - 2T - 8$  then  $\text{disc}_{\mathbf{Z}}(\mathcal{O}_K) = -503$  but as  $\alpha$  runs over  $\alpha \in \mathcal{O}_K - \mathbf{Z}$ , the gcd of all  $\text{disc}(f_\alpha(T))$  is  $4 \cdot 503$ .<sup>7</sup>

**Theorem 4.8.** *For every number field  $K$ ,  $N(\mathcal{D}_K) = |\text{disc}(K)|$ .*

*Proof.* Let  $e_1, \dots, e_n$  be a  $\mathbf{Z}$ -basis for  $\mathcal{O}_K$ , so  $\mathcal{O}_K = \bigoplus_{i=1}^n \mathbf{Z}e_i$ . Then  $\mathcal{D}_K^{-1} = \mathcal{O}_K^\vee = \bigoplus_{i=1}^n \mathbf{Z}e_i^\vee$ . The norm of an ideal is its index in  $\mathcal{O}_K$ , so

$$N(\mathcal{D}_K) = [\mathcal{O}_K : \mathcal{D}_K] = {}^8 [\mathcal{D}_K^{-1} : \mathcal{O}_K] = [\mathcal{O}_K^\vee : \mathcal{O}_K].$$

To compute the index  $[\mathcal{O}_K^\vee : \mathcal{O}_K]$ , recall that for finite free  $\mathbf{Z}$ -modules  $M_1 \subset M_2$  of equal rank,  $[M_2 : M_1] = |\det(A)|$  where  $A$  is a matrix expressing a  $\mathbf{Z}$ -basis of  $M_1$  in terms of a  $\mathbf{Z}$ -basis of the larger  $\mathbf{Z}$ -module  $M_2$ . For our application, with  $M_1 = \mathcal{O}_K$  and  $M_2 = \mathcal{O}_K^\vee$ , let's write the  $e_i$ 's in terms of the  $e_i^\vee$ 's: if  $e_j = \sum_{i=1}^n a_{ij}e_i^\vee$ , the meaning of being dual bases relative to the trace product is that  $a_{ij} = \text{Tr}_{K/\mathbf{Q}}(e_j e_i) = \text{Tr}_{K/\mathbf{Q}}(e_i e_j)$ . Therefore  $(a_{ij}) = (\text{Tr}_{K/\mathbf{Q}}(e_i e_j))$ . The determinant of this matrix, by definition, is  $|\text{disc}(K)|$ , so  $N(\mathcal{D}_K) = |\text{disc}(K)|$ .  $\square$

**Remark 4.9.** Dedekind [3, eq. 3, p. 38] wrote the equation  $[\mathcal{O}_K^\vee : \mathcal{O}_K] = |\text{disc}(K)|$  as  $(\mathfrak{o}', \mathfrak{o}) = (D)$ , where  $\mathfrak{o}$  is  $\mathcal{O}_K$ ,  $\mathfrak{o}'$  is  $\mathcal{O}_K^\vee$ ,  $D$  is  $\text{disc}(K)$ , and  $(D)$  means  $|D|$ .

Example 4.4 and Theorem 4.8 recover the formulas for (the absolute value of) the discriminant of a quadratic field from the norm of the different of a quadratic field:

$$(4.4) \quad |\text{disc}(\mathbf{Q}(\sqrt{d}))| = N(\mathcal{D}_{\mathbf{Q}(\sqrt{d})}) = \begin{cases} 4|d|, & \text{if } d \not\equiv 1 \pmod{4}, \\ |d|, & \text{if } d \equiv 1 \pmod{4}. \end{cases}$$

**Example 4.10.** By the calculation of the different ideal of  $\mathbf{Q}(\zeta_{p^r})$  in Example 4.6,

$$|\text{disc}(\mathbf{Q}(\zeta_{p^r}))| = N(\mathcal{D}_{\mathbf{Q}(\zeta_{p^r})}) = N(1 - \zeta_{p^r})^{p^{r-1}(r(p-1)-1)} = p^{p^{r-1}(r(p-1)-1)}.$$

When  $p$  is odd, so  $p \equiv \pm 1 \pmod{4}$ , the exponent  $p^{r-1}(r(p-1)-1)$  is odd, so  $|\text{disc}(\mathbf{Q}(\zeta_{p^r}))| \equiv p \pmod{4}$ . Then Stickelberger's congruence, which says the discriminant of every number field is 0 or 1 mod 4, implies the sign of  $\text{disc}(\mathbf{Q}(\zeta_{p^r}))$  is 1 when  $p \equiv 1 \pmod{4}$  and  $-1$  when  $p \equiv 3 \pmod{4}$ . We can't determine the sign of  $\text{disc}(\mathbf{Q}(\zeta_{p^r}))$  in this way when  $p = 2$ , but it turns out to be 1 except when  $r = 2$ , in which case it is  $-1$ .

**Example 4.11.** Let  $K = \mathbf{Q}(\alpha)$ , where  $\alpha$  is a root of  $T^3 - T - 2$ , which is irreducible over  $\mathbf{Q}$  since it is irreducible mod 3. Since  $\text{disc}(\mathbf{Z}[\alpha]) = \text{disc}(T^3 - T - 2) = -4(-1)^3 - 27(-2)^2 = 4 - 108 = -104 = -8 \cdot 23$ , whose largest square factor is 4, the index  $[\mathcal{O}_K : \mathbf{Z}[\alpha]]$  is 1 or 2.

We have  $2 \nmid [\mathcal{O}_K : \mathbf{Z}[\alpha]]$  by Dedekind's index theorem<sup>9</sup>:  $T^3 - T - 2 \equiv T(T-1)^2 \pmod{2}$  and  $T^3 - T - 2 = T(T-1)^2 + 2(T^2 - T - 1)$  with  $T^2 - T - 1$  not divisible by  $T-1$  in  $\mathbf{F}_2[T]$ . Thus  $[\mathcal{O}_K : \mathbf{Z}[\alpha]] = 1$ , so  $\mathcal{O}_K = \mathbf{Z}[\alpha]$  and  $\text{disc}(K) = \text{disc}(T^3 - T - 2) = -2^3 \cdot 13$ . By Theorem 4.3,  $\mathcal{D}_K = (3\alpha^2 - 1)$ . What is its prime ideal factorization?

The prime numbers that ramify in  $K$  are 2 and 13. Since

$$T^3 - T - 2 \equiv T(T-1)^2 \pmod{2}, \quad T^3 - T - 2 \equiv (T-6)(T-10)^2 \pmod{13},$$

we have  $(2) = \mathfrak{p}_2 \mathfrak{p}'_2$  and  $(13) = \mathfrak{p}_{13} \mathfrak{p}'_{13}$ , where  $\mathfrak{p}_2 = (2, \alpha)$ ,  $\mathfrak{p}'_2 = (2, \alpha - 1)$ ,  $\mathfrak{p}_{13} = (13, \alpha - 6)$ , and  $\mathfrak{p}'_{13} = (13, \alpha - 10)$ .

<sup>7</sup>See Corollary 1.2 in <https://kconrad.math.uconn.edu/blurbs/gradnumthy/nopowerbasis.pdf>.

<sup>8</sup>For fractional ideals  $\mathfrak{a}$ ,  $\mathfrak{b}$ , and  $\mathfrak{c}$ , with  $\mathfrak{a} \supset \mathfrak{b}$ ,  $\mathfrak{a}\mathfrak{c}/\mathfrak{b}\mathfrak{c} \cong \mathfrak{a}/\mathfrak{b}$  as  $\mathcal{O}_K$ -modules. When  $\mathfrak{c}$  is a nonzero ideal, using  $\mathfrak{a} = \mathfrak{c}^{-1}$  and  $\mathfrak{b} = \mathcal{O}_K$  gives us  $\mathcal{O}_K/\mathfrak{c} \cong \mathfrak{c}^{-1}/\mathcal{O}_K$ . Thus  $[\mathcal{O}_K : \mathfrak{c}] = [\mathfrak{c}^{-1} : \mathcal{O}_K]$ .

<sup>9</sup>See Theorem 1.4 in <https://kconrad.math.uconn.edu/blurbs/gradnumthy/dedekind-index-thm.pdf>.

By Theorem 4.8,  $N(\mathcal{D}_K) = |\text{disc}(K)| = 104 = 2^3 \cdot 13$ , so the prime ideal factors of  $\mathcal{D}_K$  are among the prime ideal factors of (2) and (13). Since  $3\alpha^2 - 1 \equiv -1 \not\equiv 0 \pmod{\mathfrak{p}_2}$  and  $3\alpha^2 - 1 \equiv 3(36) - 1 \equiv 107 \not\equiv 0 \pmod{\mathfrak{p}_{13}}$ ,  $\mathcal{D}_K = \mathfrak{p}_2^a \mathfrak{p}_{13}^b$  with unknown  $a, b \geq 1$ . Taking the ideal norm of both sides implies  $104 = |\text{disc}(K)| = 2^a 13^b$ . Thus  $a = 3$  and  $b = 1$ , so  $\mathcal{D}_K = \mathfrak{p}_2^3 \mathfrak{p}'_{13}$ .

The proof of Theorem 4.8 tells us that in the inclusions of lattices

$$\mathcal{D}_K \subset \mathcal{O}_K \subset \mathcal{O}_K^\vee$$

each successive inclusion has index  $|\text{disc}(K)|$ . In particular,  $\mathcal{O}_K^\vee$  is strictly larger than  $\mathcal{O}_K$  if and only if  $|\text{disc}(K)| > 1$ , which is an inequality that holds for all  $K \neq \mathbf{Q}$  (Minkowski's theorem), so  $\mathcal{O}_K$  is never its own dual lattice when  $K \neq \mathbf{Q}$ . The different ideal of  $K$  could be considered a measure of *how much*  $\mathcal{O}_K$  fails to be self-dual as a lattice in  $K$ , just as the ideal class group of  $K$  measures how much  $\mathcal{O}_K$  fails to be a PID.

Theorem 4.8 suggests, from experience with the discriminant, there should be a relation between the different and ramified primes. We will show the prime ideal factors of the different are the ramified primes in  $K$ .

**Lemma 4.12.** *For a nonzero ideal  $\mathfrak{a}$  in  $\mathcal{O}_K$ ,  $\mathfrak{a} \mid \mathcal{D}_K$  if and only if  $\text{Tr}_{K/\mathbf{Q}}(\mathfrak{a}^{-1}) \subset \mathbf{Z}$ .*

*Proof.* Since divisibility is the same as containment for integral ideals,  $\mathfrak{a} \mid \mathcal{D}_K$  if and only if  $\mathfrak{a} \supset \mathcal{D}_K = (\mathcal{O}_K^\vee)^{-1}$ , which is equivalent to  $\mathcal{O}_K^\vee \supset \mathfrak{a}^{-1}$ . By Theorem 3.10, this last containment is equivalent to  $\text{Tr}_{K/\mathbf{Q}}(\mathfrak{a}^{-1}) \subset \mathbf{Z}$ .  $\square$

Here is the fundamental theorem about the different ideal, called the Fundamentalsatz by Dedekind [3, p. 52]. It tells us not only the prime ideal factors of  $\mathcal{D}_K$ , but also their multiplicities in most cases.

**Theorem 4.13** (Dedekind). *The prime ideal factors of  $\mathcal{D}_K$  are the primes in  $K$  that ramify over  $\mathbf{Q}$ . More precisely, for each prime ideal  $\mathfrak{p}$  in  $\mathcal{O}_K$  lying over a prime number  $p$ , with ramification index  $e = e(\mathfrak{p}|p)$ , the exact power of  $\mathfrak{p}$  in  $\mathcal{D}_K$  is  $\mathfrak{p}^{e-1}$  if  $e \not\equiv 0 \pmod{p}$ , and  $\mathfrak{p}^e \mid \mathcal{D}_K$  if  $p \mid e$ .*

When  $p \mid e$ , the theorem does not tell us the exact multiplicity of  $\mathfrak{p}$  in  $\mathcal{D}_K$ , but only says the multiplicity is at least  $e$ .<sup>10</sup>

*Proof.* The divisibility relations  $\mathfrak{p}^{e-1} \parallel \mathcal{D}_K$  if  $p \nmid e$  and  $\mathfrak{p}^e \mid \mathcal{D}_K$  if  $p \mid e$  imply the only prime ideal factors of  $\mathcal{D}_K$  are the ramified ones. To check the two divisibility relations will take a lot of work.

To show  $\mathfrak{p}^{e-1} \mid \mathcal{D}_K$ , write  $(p) = \mathfrak{p}^{e-1} \mathfrak{a}$ . Since  $\mathfrak{p}^e \mid (p)$ ,  $\mathfrak{p} \mid \mathfrak{a}$ . To say  $\mathfrak{p}^{e-1} \mid \mathcal{D}_K$  is equivalent, by Lemma 4.12, to saying  $\text{Tr}_{K/\mathbf{Q}}(\mathfrak{p}^{-(e-1)}) \subset \mathbf{Z}$ . Since  $\mathfrak{p}^{-(e-1)} = \frac{1}{p} \mathfrak{a}$ ,  $\text{Tr}_{K/\mathbf{Q}}(\mathfrak{p}^{-(e-1)}) \subset \mathbf{Z}$  if and only if  $\text{Tr}_{K/\mathbf{Q}}(\mathfrak{a}) \subset p\mathbf{Z}$ , which is the same as  $\text{Tr}_{K/\mathbf{Q}}(\alpha) \equiv 0 \pmod{p}$  for all  $\alpha \in \mathfrak{a}$ . This congruence is what we will actually show.

For  $\alpha \in \mathfrak{a}$ ,  $\text{Tr}_{K/\mathbf{Q}}(\alpha) = \text{Tr}_{\mathcal{O}_K/\mathbf{Z}}(\alpha)$  and  $\text{Tr}_{\mathcal{O}_K/\mathbf{Z}}(\alpha) \pmod{p} = \text{Tr}_{(\mathcal{O}_K/(p))/\mathbf{F}_p}(\bar{\alpha})$ . This last trace is the trace of multiplication by  $\bar{\alpha}$  on  $\mathcal{O}_K/(p)$  as an  $\mathbf{F}_p$ -linear map, and  $\bar{\alpha}$  is a general element of  $\mathfrak{a}/(p)$ . (The quotient  $\mathfrak{a}/(p)$  makes sense since  $\mathfrak{a} \mid (p)$  by the definition of  $\mathfrak{a}$ .) Since  $\mathfrak{a}$  is divisible by every prime ideal factor of  $(p)$  (including  $\mathfrak{p}$ ), a high power of  $\mathfrak{a}$  is

<sup>10</sup>That all but finitely many  $\mathfrak{p}$  have multiplicity  $e-1$  in  $\mathcal{D}_K$  shows  $\mathcal{D}_K$  is an analogue in number fields of the ramification divisor in algebraic geometry. This is why the term ramification was brought into number theory by Dedekind and Weber in their influential paper [4], which showed how to study function fields using methods of algebraic number theory.

divisible by  $(p)$ . Therefore a high power of  $\bar{\alpha}$  is 0 in  $\mathcal{O}_K/(p)$ , which means multiplication by  $\bar{\alpha}$  on  $\mathcal{O}_K/(p)$  is nilpotent as an  $\mathbf{F}_p$ -linear map. Nilpotent linear maps have trace 0, so  $\mathrm{Tr}_{(\mathcal{O}_K/(p))/\mathbf{F}_p}(\bar{\alpha}) = 0$ . That completes the proof that  $\mathfrak{p}^{e-1} \mid \mathcal{D}_K$ .

Now we want to show  $\mathfrak{p}^e \mid \mathcal{D}_K$  if and only if  $p \mid e$ . Write  $(p) = \mathfrak{p}^e \mathfrak{b}$ , so  $\mathfrak{b}$  is not divisible by  $\mathfrak{p}$ . To say  $\mathfrak{p}^e \mid \mathcal{D}_K$  is equivalent to  $\mathrm{Tr}_{\mathcal{O}_K/\mathbf{Z}}(\mathfrak{b}) \subset p\mathbf{Z}$  by Lemma 4.12, which is equivalent to

$$(4.5) \quad \mathrm{Tr}_{(\mathcal{O}_K/(p))/\mathbf{F}_p}(\bar{\beta}) = 0 \text{ for all } \beta \in \mathfrak{b}.$$

We will break up  $\mathcal{O}_K/(p)$  into a product of two rings and analyze the trace separately on both.

Since  $\mathfrak{p}^e$  and  $\mathfrak{b}$  are relatively prime,  $\mathcal{O}_K/(p) \cong \mathcal{O}_K/\mathfrak{p}^e \times \mathcal{O}_K/\mathfrak{b}$  as rings by the natural map, so

$$(4.6) \quad \mathrm{Tr}_{(\mathcal{O}_K/(p))/\mathbf{F}_p}(\bar{x}) = \mathrm{Tr}_{(\mathcal{O}_K/\mathfrak{p}^e)/\mathbf{F}_p}(\bar{x}) + \mathrm{Tr}_{(\mathcal{O}_K/\mathfrak{b})/\mathbf{F}_p}(\bar{x})$$

for all  $x \in \mathcal{O}_K$ , where  $\bar{x}$  on the left is  $x \bmod (p)$  and  $\bar{x}$  on the right is  $x \bmod \mathfrak{p}^e$  and  $x \bmod \mathfrak{b}$ . (Both  $\mathcal{O}_K/\mathfrak{b}$  and  $\mathcal{O}_K/\mathfrak{p}^e$  contain  $\mathbf{F}_p$  since  $\mathfrak{p}^e$  and  $\mathfrak{b}$  both divide  $(p)$ , so  $p$  is 0 in both rings.) If  $x \in \mathfrak{b}$ , then  $x = 0$  in  $\mathcal{O}_K/\mathfrak{b}$ , so  $\mathrm{Tr}_{(\mathcal{O}_K/(p))/\mathbf{F}_p}(\bar{x}) = \mathrm{Tr}_{(\mathcal{O}_K/\mathfrak{p}^e)/\mathbf{F}_p}(\bar{x})$ . For  $y \in \mathcal{O}_K$ , there is an  $x \in \mathcal{O}_K$  satisfying  $x \equiv y \bmod \mathfrak{p}^e$  and  $x \equiv 0 \bmod \mathfrak{b}$ , so  $\mathrm{Tr}_{(\mathcal{O}_K/\mathfrak{p}^e)/\mathbf{F}_p}(\bar{y}) = \mathrm{Tr}_{(\mathcal{O}_K/\mathfrak{p}^e)/\mathbf{F}_p}(\bar{x}) = \mathrm{Tr}_{(\mathcal{O}_K/(p))/\mathbf{F}_p}(\bar{x})$ . Therefore proving (4.5) is equivalent to proving

$$(4.7) \quad \mathrm{Tr}_{(\mathcal{O}_K/\mathfrak{p}^e)/\mathbf{F}_p}(\bar{y}) = 0 \text{ for all } y \in \mathcal{O}_K.$$

Unlike (4.5), which is quantified over the ideal  $\mathfrak{b}$ , (4.5) runs over  $\mathcal{O}_K$ . In the rest of this proof, we will show (4.7) happens if and only if  $p \mid e$ .

To study the trace down to  $\mathbf{F}_p$  of  $y$  on  $\mathcal{O}_K/\mathfrak{p}^e$ , we will filter  $\mathcal{O}_K/\mathfrak{p}^e$  by subspaces made from powers of  $\mathfrak{p}$ :

$$\mathcal{O}_K/\mathfrak{p}^e \supset \mathfrak{p}/\mathfrak{p}^e \supset \mathfrak{p}^2/\mathfrak{p}^e \supset \cdots \supset \mathfrak{p}^{e-1}/\mathfrak{p}^e \supset \mathfrak{p}^e/\mathfrak{p}^e = \{\bar{0}\}.$$

Each power  $\mathfrak{p}^i$  is an ideal, so multiplication by  $y$  is a well-defined linear operator on each  $\mathfrak{p}^i/\mathfrak{p}^e$  ( $0 \leq i \leq e$ ). We now appeal to a result from linear algebra: if  $V$  is a finite-dimensional vector space over a field  $F$ ,  $A: V \rightarrow V$  is a linear operator and  $W$  is a subspace of  $V$  such that  $A(W) \subset W$ , then

$$\mathrm{Tr}(A: V \rightarrow V) = \mathrm{Tr}(A: V/W \rightarrow V/W) + \mathrm{Tr}(A: W \rightarrow W).$$

(This identity is proved by a matrix calculation, using a basis of  $V/W$  lifted to  $V$  together with a basis of  $W$  to form a basis of  $V$ . The matrix for  $A$  on  $V$  in this basis is block triangular.) Taking  $F = \mathbf{F}_p$ ,  $V = \mathcal{O}_K/\mathfrak{p}^e$ ,  $W = \mathfrak{p}/\mathfrak{p}^e$ , and  $A$  to be multiplication by  $y$ ,

$$\begin{aligned} \mathrm{Tr}_{(\mathcal{O}_K/\mathfrak{p}^e)/\mathbf{F}_p}(\bar{y}) &= \mathrm{Tr}(m_y: \mathcal{O}_K/\mathfrak{p}^e \rightarrow \mathcal{O}_K/\mathfrak{p}^e) \\ &= \mathrm{Tr}(m_y: \mathcal{O}_K/\mathfrak{p} \rightarrow \mathcal{O}_K/\mathfrak{p}) + \mathrm{Tr}(m_y: \mathfrak{p}/\mathfrak{p}^e \rightarrow \mathfrak{p}/\mathfrak{p}^e). \end{aligned}$$

In a similar way, using multiplication by  $y$  on the vector space  $\mathfrak{p}^i/\mathfrak{p}^e$  and subspace  $\mathfrak{p}^{i+1}/\mathfrak{p}^e$ , where  $0 \leq i \leq e-1$ ,

$$\mathrm{Tr}(m_y: \mathfrak{p}^i/\mathfrak{p}^e \rightarrow \mathfrak{p}^i/\mathfrak{p}^e) = \mathrm{Tr}(m_y: \mathfrak{p}^i/\mathfrak{p}^{i+1} \rightarrow \mathfrak{p}^i/\mathfrak{p}^{i+1}) + \mathrm{Tr}(m_y: \mathfrak{p}^{i+1}/\mathfrak{p}^e \rightarrow \mathfrak{p}^{i+1}/\mathfrak{p}^e).$$

Using this recursively for  $i = 0, 1, \dots, e-1$ , we get

$$(4.8) \quad \mathrm{Tr}_{(\mathcal{O}_K/\mathfrak{p}^e)/\mathbf{F}_p}(\bar{y}) = \sum_{i=0}^{e-1} \mathrm{Tr}(m_y: \mathfrak{p}^i/\mathfrak{p}^{i+1} \rightarrow \mathfrak{p}^i/\mathfrak{p}^{i+1}).$$

The traces in the sum take values in  $\mathbf{F}_p$ . We will show the traces are all equal. Choosing  $\pi \in \mathfrak{p} - \mathfrak{p}^2$ ,  $(\pi^i)$  is divisible by  $\mathfrak{p}^i$  but not by  $\mathfrak{p}^{i+1}$ , so  $\mathfrak{p}^i = (\pi^i) + \mathfrak{p}^{i+1}$ . Therefore  $\mathcal{O}_K/\mathfrak{p} \cong \mathfrak{p}^i/\mathfrak{p}^{i+1}$  as  $\mathcal{O}_K$ -modules by  $x \bmod \mathfrak{p} \mapsto \pi^i x \bmod \mathfrak{p}^{i+1}$ . This  $\mathcal{O}_K$ -module isomorphism commutes with multiplication by  $y$  on both sides, so

$$\mathrm{Tr}(m_y: \mathfrak{p}^i/\mathfrak{p}^{i+1} \rightarrow \mathfrak{p}^i/\mathfrak{p}^{i+1}) = \mathrm{Tr}(m_y: \mathcal{O}_K/\mathfrak{p} \rightarrow \mathcal{O}_K/\mathfrak{p}).$$

Thus (4.8) becomes

$$(4.9) \quad \mathrm{Tr}_{(\mathcal{O}_K/\mathfrak{p}^e)/\mathbf{F}_p}(\bar{y}) = e \mathrm{Tr}_{\mathcal{O}_K/\mathfrak{p}/\mathbf{F}_p}(\bar{y})$$

for all  $y \in \mathcal{O}_K$ , so (4.7) is true if and only if  $e \mathrm{Tr}_{\mathcal{O}_K/\mathfrak{p}/\mathbf{F}_p}(\bar{y}) = 0$  for all  $\bar{y} \in \mathcal{O}_K/\mathfrak{p}$ . Since  $\mathcal{O}_K/\mathfrak{p}$  is a finite field, the trace map from  $\mathcal{O}_K/\mathfrak{p}$  to  $\mathbf{F}_p$  is *not* identically 0, so (4.7) holds if and only if  $e = 0$  in  $\mathbf{F}_p$ , *i.e.*,  $p \mid e$ .  $\square$

**Example 4.14.** In Example 4.11, the field  $K = \mathbf{Q}(\alpha)$  where  $\alpha^3 - \alpha - 2 = 0$  has two ramified primes, denoted there by  $\mathfrak{p}'_2$  and  $\mathfrak{p}'_{13}$ . Theorem 4.13 tells us these are the prime ideal factors of  $\mathcal{D}_K$ , so  $\mathcal{D}_K = \mathfrak{p}'_2{}^a \mathfrak{p}'_{13}{}^b$  for some  $a, b \geq 1$ . This was found Example 4.11 by another method.

Up to now the fact that the prime numbers dividing  $\mathrm{disc}(K)$  are the ramified primes in  $K$  hasn't been used (outside of Example 1.1). Theorem 4.13 leads to a new proof of that result.

**Corollary 4.15.** *The prime factors of  $\mathrm{disc}(K)$  are the primes in  $\mathbf{Q}$  that ramify in  $K$ .*

*Proof.* Since  $|\mathrm{disc}(K)| = N(\mathcal{D}_K)$ , if  $p$  is a prime dividing  $\mathrm{disc}(K)$  then  $\mathcal{D}_K$  has a prime ideal factor whose norm is a power of  $p$ , which means  $(p)$  is divisible by a prime factor of  $\mathcal{D}_K$ , so  $p$  ramifies in  $K$ . Conversely, if  $p$  ramifies in  $K$  then  $\mathcal{D}_K$  is divisible by a prime ideal factor of  $(p)$ , so  $N(\mathcal{D}_K)$  is divisible by a positive power of  $p$  and thus is divisible by  $p$ .  $\square$

**Corollary 4.16.** *Write  $p\mathcal{O}_K = \mathfrak{p}_1^{e_1} \cdots \mathfrak{p}_g^{e_g}$  for distinct prime ideals  $\mathfrak{p}_i$ , and set  $f_i = f(\mathfrak{p}_i|p)$ . If no  $e_i$  is a multiple of  $p$  then the multiplicity of  $p$  in  $\mathrm{disc}(K)$  is*

$$(4.10) \quad (e_1 - 1)f_1 + \cdots + (e_g - 1)f_g = n - (f_1 + \cdots + f_g).$$

*If some  $e_i$  is a multiple of  $p$  then the multiplicity of  $p$  in  $\mathrm{disc}(K)$  is greater than (4.10).*

*Proof.* The multiplicity of  $p$  in  $\mathrm{disc}(K)$  is determined by the multiplicities of the  $\mathfrak{p}_i$ 's in  $\mathcal{D}_K$ , since the norm of the different is the discriminant (in absolute value). By Theorem 4.13,  $\mathfrak{p}_1^{e_1-1} \cdots \mathfrak{p}_g^{e_g-1}$  is a factor of  $\mathcal{D}_K$ . Applying the ideal norm,  $p^{(e_1-1)f_1 + \cdots + (e_g-1)f_g}$  is a factor of  $\mathrm{disc}(K)$ . If no  $e_i$  is a multiple of  $p$  then  $\mathfrak{p}_i$  appears in  $\mathcal{D}_K$  with multiplicity  $e_i - 1$ , so this power of  $p$  is the full power of  $p$  in  $\mathrm{disc}(K)$ . If some  $e_i$  is a multiple of  $p$  then  $\mathfrak{p}_i$  appears in  $\mathcal{D}_K$  with multiplicity larger than  $e_i - 1$ , so the  $p$ -multiplicity of  $\mathrm{disc}(K)$  is larger than what we just computed.  $\square$

**Example 4.17.** In  $\mathbf{Q}(\sqrt{10})$ , the prime numbers that ramify are 2 and 5:  $(2) = \mathfrak{p}_2^2$  and  $(5) = \mathfrak{p}_5^2$ , with  $f(\mathfrak{p}_2|2) = 1$  and  $f(\mathfrak{p}_5|5) = 1$ . By Example 4.4, the different of  $\mathbf{Q}(\sqrt{10})/\mathbf{Q}$  is  $\mathcal{D} = (2\sqrt{10}) = \mathfrak{p}_2^3 \mathfrak{p}_5$ . This is consistent with Theorem 4.13, which says that since  $e(\mathfrak{p}_5|5)$  is not a multiple of 5 and  $e(\mathfrak{p}_2|2)$  is a multiple of 2, the multiplicity of  $\mathfrak{p}_5$  in  $\mathcal{D}$  is  $e(\mathfrak{p}_5|5) - 1 = 1$  and the multiplicity of  $\mathfrak{p}_2$  in  $\mathcal{D}$  is at least  $e(\mathfrak{p}_2|2) = 2$  (and in fact it is greater than  $e(\mathfrak{p}_2|2)$ ).

**Example 4.18.** Let  $K = \mathbf{Q}(\alpha)$ , where  $\alpha^3 - \alpha - 1 = 0$ . Since  $\mathcal{O}_K = \mathbf{Z}[\alpha]$  and  $|\mathrm{disc}(K)| = 23$ ,  $\mathcal{D}_K$  has norm 23. Therefore  $\mathcal{D}_K$  is a prime ideal. Since  $(23) = \mathfrak{p}\mathfrak{q}^2$  and  $\mathfrak{q}$  must divide  $\mathcal{D}_K$  by Theorem 4.13,  $\mathcal{D}_K = \mathfrak{q}$ . The multiplicity of  $\mathfrak{q}$  in  $\mathcal{D}_K$  is  $1 = e(\mathfrak{q}|23) - 1$ , as expected.

**Example 4.19.** Let  $K = \mathbf{Q}(\sqrt[3]{2})$ , so  $\mathbf{Z}[\sqrt[3]{2}] \subset \mathcal{O}_K$ . We will use Theorem 4.13 to prove  $\mathcal{O}_K = \mathbf{Z}[\sqrt[3]{2}]$ . Since  $\text{disc}(\mathbf{Z}[\sqrt[3]{2}]) = [\mathcal{O}_K : \mathbf{Z}[\sqrt[3]{2}]]^2 \text{disc}(\mathcal{O}_K)$  and  $\text{disc}(\mathbf{Z}[\sqrt[3]{2}]) = -108 = -4 \cdot 27$ , it suffices to show  $|\text{disc}(\mathcal{O}_K)| = 108$ .

The only prime factors of 108 are 2 and 3, so the only primes that can ramify in  $K$  are 2 and 3. Both 2 and 3 are totally ramified in  $K$ :  $(2) = (\sqrt[3]{2})^3$  and the number  $\alpha = \sqrt[3]{2} + 1$  is a root of  $(T - 1)^3 - 2 = T^3 - 3T^2 + 3T - 3$ , so

$$\alpha^3 = 3\alpha^2 - 3\alpha + 3 = 3(\alpha^2 - \alpha + 1) = 3(\sqrt[3]{4} + \sqrt[3]{2} + 1)$$

and  $\sqrt[3]{4} + \sqrt[3]{2} + 1$  is a unit (with inverse  $\sqrt[3]{2} - 1$ ), so  $(3) = (\alpha)^3$ . The ideals  $\mathfrak{p} = (\sqrt[3]{2})$  and  $\mathfrak{q} = (\sqrt[3]{2} + 1)$  are prime with norms 2 and 3. Theorem 4.13 says  $\mathcal{D}_K$  is divisible by  $\mathfrak{p}^2\mathfrak{q}^3$ . Taking norms,  $\text{disc}(\mathcal{O}_K)$  is divisible by  $2^2 \cdot 3^3 = 108$ . We already knew  $\text{disc}(\mathcal{O}_K)$  is a factor of 108, so  $|\text{disc}(\mathcal{O}_K)| = 108$  and  $\mathcal{O}_K = \mathbf{Z}[\sqrt[3]{2}]$  (and  $\mathcal{D}_K = \mathfrak{p}^2\mathfrak{q}^3$ ).

**Example 4.20.** If  $p$  has exactly one prime lying over it, say  $(p) = \mathfrak{p}^e$ , then  $\mathcal{D}_K$  is divisible by  $\mathfrak{p}^{e-1}$ , so  $\text{disc}(K)$  is divisible by  $N(\mathfrak{p})^{e-1} = p^{f(e-1)}$ . If  $e \not\equiv 0 \pmod{p}$  then  $p^{f(e-1)}$  is the exact power of  $p$  in  $\text{disc}(K)$ . When  $K = \mathbf{Q}(\zeta_p)$ ,  $e = p - 1$  and  $p^{f(e-1)} = p^{p-2}$ , which is  $|\text{disc}(\mathbf{Q}(\zeta_p))|$  by Example 4.10 with  $r = 1$ .

**Example 4.21.** Let  $K = \mathbf{Q}(\sqrt[3]{175})$ . Using our knowledge of the multiplicity of primes in the discriminant, based on the connection between the different and discriminant, we will determine  $\mathcal{O}_K$  and then prove  $\mathcal{O}_K$  does not have a power basis.

View  $K$  as a subfield of  $\mathbf{R}$ , so there is no ambiguity about the meaning of cube roots. Set  $\alpha = \sqrt[3]{175}$ . Since  $175 = 5^2 \cdot 7$ ,  $K$  also contains  $\sqrt[3]{5 \cdot 7^2} = 35/\alpha$ . Set  $\beta = \sqrt[3]{5 \cdot 7^2} = \sqrt[3]{245}$ . The minimal polynomials for  $\alpha$  and  $\beta$  over  $\mathbf{Q}$  are  $T^3 - 5^2 \cdot 7$  and  $T^3 - 5 \cdot 7^2$ , which are Eisenstein at 5 and 7, so 5 and 7 are both totally ramified in  $\mathcal{O}_K$ :  $(5) = \mathfrak{p}^3$  and  $(7) = \mathfrak{q}^3$ . Therefore  $\mathcal{D}_K$  is divisible by  $\mathfrak{p}^{3-1}\mathfrak{q}^{3-1} = \mathfrak{p}^2\mathfrak{q}^2$ , so  $\text{disc}(\mathcal{O}_K)$  is divisible by  $N(\mathfrak{p}^2\mathfrak{q}^2) = 5^2 \cdot 7^2$ . Moreover,  $(T + 1)^3 - 175 = T^3 + 3T^2 + 3T - 174$  is Eisenstein at 3, so 3 is totally ramified in  $K$ :  $(3) = \mathfrak{p}_3^3$ . Since  $e(\mathfrak{p}_3|3)$  is a multiple of 3,  $\text{disc}(\mathcal{O}_K)$  is divisible by  $3^3$ , not just  $3^2$ , so  $\text{disc}(\mathcal{O}_K)$  is a multiple of  $3^3 \cdot 5^2 \cdot 7^2$ .

The lattice  $\mathbf{Z} + \mathbf{Z}\alpha + \mathbf{Z}\beta$  lies inside  $\mathcal{O}_K$  and is a ring:

$$(4.11) \quad \alpha^2 = 5\beta, \quad \beta^2 = 7\alpha, \quad \alpha\beta = 35.$$

Using these equations and the formulas  $\text{Tr}_{K/\mathbf{Q}}(\alpha) = 0$  and  $\text{Tr}_{K/\mathbf{Q}}(\beta) = 0$ , the matrix of trace products for the  $\mathbf{Q}$ -basis  $\{1, \alpha, \beta\}$  of  $K$  is

$$\begin{pmatrix} 3 & 0 & 0 \\ 0 & 0 & 35 \cdot 3 \\ 0 & 35 \cdot 3 & 0 \end{pmatrix},$$

whose determinant is  $-3^3 \cdot 5^2 \cdot 7^2$ . Therefore

$$-3^3 \cdot 5^2 \cdot 7^2 = \text{disc}(\mathbf{Z} + \mathbf{Z}\alpha + \mathbf{Z}\beta) = [\mathcal{O}_K : (\mathbf{Z} + \mathbf{Z}\alpha + \mathbf{Z}\beta)]^2 \text{disc}(\mathcal{O}_K).$$

Since we know  $\text{disc}(\mathcal{O}_K)$  is a multiple of  $3^3 \cdot 5^2 \cdot 7^2$ , the index  $[\mathcal{O}_K : (\mathbf{Z} + \mathbf{Z}\alpha + \mathbf{Z}\beta)]$  must be 1, so  $\mathcal{O}_K = \mathbf{Z} + \mathbf{Z}\alpha + \mathbf{Z}\beta$  and thus  $K$  has discriminant  $-3^3 5^2 7^2 = -33075$ .

We will now show that  $\mathcal{O}_K$  does not have a power basis. A typical way to show the ring of integers of some number field  $F$  has no power basis is to show the indices  $[\mathcal{O}_F : \mathbf{Z}[\gamma]]$  as  $\gamma$  varies all have some common prime factor, so these indices are never 1. Our cubic field  $K$  is not such an example, because

$$\mathbf{Z}[\alpha] = \mathbf{Z} + \mathbf{Z}\alpha + \mathbf{Z}\alpha^2 = \mathbf{Z} + \mathbf{Z}\alpha + \mathbf{Z}5\beta \quad \text{and} \quad \mathbf{Z}[\beta] = \mathbf{Z} + \mathbf{Z}\beta + \mathbf{Z}\beta^2 = \mathbf{Z} + \mathbf{Z}\beta + \mathbf{Z}7\alpha,$$

so the indices  $[\mathcal{O}_K : \mathbf{Z}[\alpha]] = 5$  and  $[\mathcal{O}_K : \mathbf{Z}[\beta]] = 7$  are relatively prime. We will present two arguments by contradiction that  $\mathcal{O}_K$  has no power basis, and both contradictions will be due to  $5 \bmod 7$  not being a cube.

**Method 1.** For each  $\gamma \in \mathcal{O}_K - \mathbf{Z}$  we will show  $[\mathcal{O}_K : \mathbf{Z}[\gamma]] > 1$ . From the computation of  $\mathcal{O}_K$ , we can write  $\gamma = x + y\alpha + z\beta$  with integers  $x, y$ , and  $z$ , where  $y$  and  $z$  are not both 0. Since  $\mathbf{Z}[\gamma] = \mathbf{Z}[y\alpha + z\beta]$ , we can assume  $x = 0$ . Write powers of  $y\alpha + z\beta$  as  $\mathbf{Z}$ -linear combinations of  $\{1, \alpha, \beta\}$  using (4.11):

$$\begin{pmatrix} 1 \\ y\alpha + z\beta \\ (y\alpha + z\beta)^2 \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & y & z \\ 70yz & 7z^2 & 5y^2 \end{pmatrix} \begin{pmatrix} 1 \\ \alpha \\ \beta \end{pmatrix}.$$

The determinant of the matrix is  $5y^3 - 7z^3$ , so  $[\mathcal{O}_K : \mathbf{Z}[y\alpha + z\beta]] = |5y^3 - 7z^3|$ . Therefore  $\mathcal{O}_K = \mathbf{Z}[y\alpha + z\beta]$  if and only if  $5y^3 - 7z^3 = \pm 1$ . If  $5y^3 - 7z^3 = \pm 1$  then  $5y^3 \equiv \pm 1 \pmod{7}$ , so  $5 \bmod 7$  is a cube. Since the only nonzero cubes modulo 7 are 1 and 6, we have a contradiction. Thus  $[\mathcal{O}_K : \mathbf{Z}[\gamma]] > 1$  for all  $\gamma \in \mathcal{O}_K - \mathbf{Z}$ .

**Method 2.** We will show  $\mathcal{D}_K$  is not principal, so  $\mathcal{O}_K$  has no power basis by Theorem 4.3.

We already saw that  $\text{disc}(K) = -3^3 5^2 7^2$  and  $(3) = \mathfrak{p}_3^3$ ,  $(5) = \mathfrak{p}^3$ , and  $(7) = \mathfrak{q}^3$ . Since  $N(\mathcal{D}_K) = |\text{disc}(K)| = 3^3 5^2 7^2$ ,  $\mathcal{D}_K = \mathfrak{p}_3^3 \mathfrak{p}^2 \mathfrak{q}^2$ . Since  $(\beta)^3 = (245) = (5)(7)^2 = \mathfrak{p}^3 \mathfrak{q}^6$  we get  $(\beta) = \mathfrak{p} \mathfrak{q}^2$ , so  $\mathcal{D}_K = (3)(\beta)\mathfrak{p}$  (also  $\mathcal{D}_K = (3)(\alpha)\mathfrak{q}$ ). We will prove  $\mathfrak{p}$  is not principal, so  $\mathcal{D}_K$  is not principal.

The ideal norm of  $\mathfrak{p}$  is 5, so to show  $\mathfrak{p}$  is not principal it suffices (and actually is equivalent) to show that no  $\gamma$  in  $\mathcal{O}_K$  has norm  $\pm 5$ . Write  $\gamma = x + y\alpha + z\beta$  with  $x, y, z \in \mathbf{Z}$ . Using (4.11), the matrix for multiplication by  $\gamma$  relative to the ordered  $\mathbf{Z}$ -basis  $1, \alpha, \beta$  is

$$[m_\gamma] = \begin{pmatrix} x & 35z & 35y \\ y & x & 7z \\ z & 5y & x \end{pmatrix},$$

so

$$N_{K/\mathbf{Q}}(\gamma) = \det(m_\gamma) = x^3 + 175y^3 + 245z^3 - 105xyz.$$

Set this equal to  $\pm 5$  and reduce modulo 7: the coefficients 175, 245, and 105 are multiples of 7, so

$$\pm 5 = x^3 \pmod{7}.$$

This has no solution for  $x$  in  $\mathbf{Z}/(7)$ , so we have a contradiction.

The reasoning in Example 4.21 can be generalized: for distinct primes  $p$  and  $q$  not equal to 3 such that  $p^2q \equiv 1 \pmod{3}$  and  $p^2q \not\equiv 1 \pmod{9}$ , and  $p$  is not a cube in  $\mathbf{Z}/(q)$ , the cubic field  $\mathbf{Q}(\sqrt[3]{p^2q})$  has a different ideal that is not principal. Examples besides  $(p, q) = (5, 7)$  are  $(p, q) = (17, 7)$ , and  $(2, 13)$ ; that last example is  $\mathbf{Q}(\sqrt[3]{52})$ . I learned about this type of construction from [5, pp. 460–462] and [10, Exer. 10B, pp. 101–102]<sup>11</sup>.

Theorem 4.13 gives us an exact formula for the multiplicity of  $\mathfrak{p}$  in  $\mathcal{D}_K$  if  $e(\mathfrak{p}|p) \not\equiv 0 \pmod{p}$ , but not if  $e(\mathfrak{p}|p) \equiv 0 \pmod{p}$ . Ramification when  $e(\mathfrak{p}|p) \not\equiv 0 \pmod{p}$  is generally easier to study than when  $e(\mathfrak{p}|p) \equiv 0 \pmod{p}$ . For this reason, when  $e(\mathfrak{p}|p) \not\equiv 0 \pmod{p}$  we say  $\mathfrak{p}$  is *tamely ramified* over  $p$ , and when  $e(\mathfrak{p}|p) \equiv 0 \pmod{p}$  we say  $\mathfrak{p}$  is *wildly ramified* over  $p$ . If every prime over  $p$  in  $K$  is tamely ramified then we say  $p$  is tamely ramified in  $K$ , and if some

<sup>11</sup>Exercise 10B in [10] has two typographical errors: it should say  $pq^2 \not\equiv \pm 1 \pmod{9}$  on the first line and  $q^{(p-1)/3} \not\equiv 1 \pmod{p}$  in part (f).

prime over  $p$  in  $K$  is wildly ramified then we say  $p$  is wildly ramified in  $K$ . For example, all unramified primes are tamely ramified, 2 is tamely ramified in  $\mathbf{Q}(\sqrt[3]{2})$  (since  $(2) = \mathfrak{p}^3$ ) and 2 is wildly ramified in  $\mathbf{Q}(\gamma)$ , where  $\gamma^3 + \gamma + 4 = 0$  (since  $(2) = \mathfrak{p}\mathfrak{q}^2$ ). In the cyclotomic extension  $\mathbf{Q}(\zeta_{p^r})$  we have  $(p) = (1 - \zeta_{p^r})^{p^{r-1}(p-1)}$ , so here  $p$  is tamely ramified when  $r = 1$  and wildly ramified when  $r \geq 2$ . In the field  $\mathbf{Q}(\alpha)$  where  $\alpha^3 - \alpha - 2 = 0$ , the prime 2 is wildly ramified since  $(2) = \mathfrak{p}_2\mathfrak{p}_2'^2$  and the prime 13 is tamely ramified since  $(13) = \mathfrak{p}_{13}\mathfrak{p}_{13}'^2$ .

**Theorem 4.22.** *If  $K$  is a number field, then the trace map  $\mathrm{Tr}_{K/\mathbf{Q}} : \mathcal{O}_K \rightarrow \mathbf{Z}$  is surjective if and only if there is no prime  $p$  such that  $p\mathcal{O}_K$  is a  $p$ th power of an ideal in  $\mathcal{O}_K$ . In particular, if all primes are tamely ramified in  $K$ , then  $\mathrm{Tr}_{K/\mathbf{Q}} : \mathcal{O}_K \rightarrow \mathbf{Z}$  is surjective.*

*Proof.* The trace map  $\mathrm{Tr}_{K/\mathbf{Q}} : \mathcal{O}_K \rightarrow \mathbf{Z}$  is  $\mathbf{Z}$ -linear, so its image is a subgroup of  $\mathbf{Z}$ . Every proper subgroup is contained in  $p\mathbf{Z}$  for some prime  $p$ , so this trace map is not surjective if and only if there's a prime  $p$  such that  $\mathrm{Tr}_{K/\mathbf{Q}}(\mathcal{O}_K) \subset p\mathbf{Z}$ .

When  $p$  is prime, the trace map  $\mathrm{Tr}_{K/\mathbf{Q}} : \mathcal{O}_K \rightarrow \mathbf{Z}$  is compatible with the trace map  $\overline{\mathrm{Tr}} : \mathcal{O}_K/p\mathcal{O}_K \rightarrow \mathbf{Z}/p\mathbf{Z}$  where  $\overline{\mathrm{Tr}}(\alpha \bmod p\mathcal{O}_K) = \mathrm{Tr}(\alpha) \bmod p\mathbf{Z}$ , so  $\mathrm{Tr}_{K/\mathbf{Q}}(\mathcal{O}_K) \subset p\mathbf{Z}$  if and only if  $\overline{\mathrm{Tr}}$  is identically 0. When does that happen?

Let  $p\mathcal{O}_K = \mathfrak{p}_1^{e_1} \cdots \mathfrak{p}_g^{e_g}$ , so  $\mathcal{O}_K/p\mathcal{O}_K \cong \prod_{i=1}^g \mathcal{O}_K/\mathfrak{p}_i^{e_i}$  by the Chinese remainder theorem. Each ring on the right side has characteristic  $p$  since  $p \in \mathfrak{p}_i^{e_i}$ , and

$$\mathrm{Tr}_{(\mathcal{O}_K/p\mathcal{O}_K)/(\mathbf{Z}/p\mathbf{Z})}(\alpha \bmod p\mathcal{O}_K) = \sum_{i=1}^g \mathrm{Tr}_{(\mathcal{O}_K/\mathfrak{p}_i^{e_i})/(\mathbf{Z}/p\mathbf{Z})}(\alpha \bmod \mathfrak{p}_i^{e_i}).$$

All  $g$ -tuples  $(\alpha_1 \bmod \mathfrak{p}_1^{e_1}, \dots, \alpha_g \bmod \mathfrak{p}_g^{e_g})$  have a diagonal form  $(\alpha \bmod \mathfrak{p}_1^{e_1}, \dots, \alpha \bmod \mathfrak{p}_g^{e_g})$  by the Chinese remainder theorem, so  $\overline{\mathrm{Tr}} : \mathcal{O}_K/p\mathcal{O}_K \rightarrow \mathbf{Z}/p\mathbf{Z}$  is identically 0 if and only if the trace maps  $\mathcal{O}_K/\mathfrak{p}_i^{e_i} \rightarrow \mathbf{Z}/p\mathbf{Z}$  for  $1 \leq i \leq g$  are each identically 0.

In the proof of Theorem 4.13 we showed the trace map  $\mathcal{O}_K/\mathfrak{p}^e \rightarrow \mathbf{F}_p$  when  $p \in \mathfrak{p}^e$  is identically 0 if and only if  $p \mid e$  (see (4.7) and the later paragraphs). Thus the trace maps  $\mathcal{O}_K/\mathfrak{p}_i^{e_i} \rightarrow \mathbf{Z}/p\mathbf{Z}$  for  $1 \leq i \leq g$  are identically 0 if and only if  $p \mid e_i$  for all  $i$ , which by unique ideal factorization in  $\mathcal{O}_K$  is equivalent to  $p\mathcal{O}_K$  being a  $p$ -th power in  $\mathcal{O}_K$ .

A prime  $p$  that is tamely ramified in  $K$  has no  $e(\mathfrak{p}|p)$  divisible by  $p$ , so  $(p)$  is not a  $p$ th power in  $K$  (to be a  $p$ th power would require *all*  $e(\mathfrak{p}|p)$  to be divisible by  $p$ ). Thus when all primes are tamely ramified in  $K$ , we satisfy the necessary and sufficient conditions for  $\mathrm{Tr}_{K/\mathbf{Q}} : \mathcal{O}_K \rightarrow \mathbf{Z}$  to be surjective.  $\square$

While that proof did not directly use the different ideal, it did use a result that we met in the proof of Theorem 4.13 about the different ideal.

**Remark 4.23.** A necessary constraint on a prime  $p$  such that  $p\mathcal{O}_K$  is a  $p$ th power in a number field  $K$  is that  $p \mid [K : \mathbf{Q}]$ . That's because  $p\mathcal{O}_K$  being a  $p$ th power implies  $\mathrm{Tr}_{K/\mathbf{Q}}(\mathcal{O}_K) \subset p\mathbf{Z}$  by Theorem 4.22 (this implication can be explained by other methods too) and  $\mathrm{Tr}_{K/\mathbf{Q}}(1) = [K : \mathbf{Q}]$ . Thus  $\mathrm{Tr}_{K/\mathbf{Q}} : \mathcal{O}_K \rightarrow \mathbf{Z}$  is surjective if (but not only if) the primes dividing  $[K : \mathbf{Q}]$  are all tamely ramified in  $K$ .

**Example 4.24.** Let  $K$  be a quadratic field. For a prime  $p$ ,  $(p)$  is a  $p$ th power in  $\mathcal{O}_K$  if and only if  $p = 2$  and 2 is ramified in  $K$  (making  $(2) = \mathfrak{p}^2$ ), so  $\mathrm{Tr}_{K/\mathbf{Q}}(\mathcal{O}_K) = \mathbf{Z}$  if and only if 2 is unramified in  $K$ . Let's check this more directly.

Write  $K = \mathbf{Q}(\sqrt{d})$  where  $d$  is squarefree in  $\mathbf{Z}$ . When  $d \not\equiv 1 \pmod{4}$ ,  $\mathcal{O}_K = \mathbf{Z}[\sqrt{d}] = \{a + b\sqrt{d} : a, b \in \mathbf{Z}\}$ , 2 is ramified in  $K$ , and  $\mathrm{Tr}_{K/\mathbf{Q}}(a + b\sqrt{d}) = 2a$ , so  $\mathrm{Tr}_{\mathcal{O}_K/\mathbf{Z}}(\mathcal{O}_K) = 2\mathbf{Z}$ .

When  $d \equiv 1 \pmod{4}$ ,  $\mathcal{O}_K = \mathbf{Z}[(1 + \sqrt{d})/2]$ , 2 is unramified in  $K$ , and  $\mathrm{Tr}_{K/\mathbf{Q}}(\frac{1+\sqrt{d}}{2}) = 1$ , so  $\mathrm{Tr}_{K/\mathbf{Q}}(\mathcal{O}_K) = \mathbf{Z}$ .

**Example 4.25.** Let  $K$  be the number field in Example 4.11, where we saw the ramified primes are 2 and 13, with  $(2) = \mathfrak{p}_2\mathfrak{p}_2'^2$  and  $(13) = \mathfrak{p}_{13}\mathfrak{p}_{13}'^2$ . The prime 2 is wildly ramified, but neither  $(p)$  is a  $p$ -th power, so  $\mathrm{Tr}(\mathcal{O}_K) = \mathbf{Z}$ . Explicitly, check  $\mathrm{Tr}_{K/\mathbf{Q}}(a + b\alpha + c\alpha^2) = 3a + 2c$ , so  $\mathrm{Tr}_{K/\mathbf{Q}}(1 - \alpha^2) = 3 - 2 = 1$ .

Here are two further properties of the different ideal.

- (1) Upper and lower bounds for the multiplicity of a nonzero prime ideal  $\mathfrak{p}$  in  $\mathcal{D}_K$  are

$$(4.12) \quad e - 1 \leq \mathrm{ord}_{\mathfrak{p}}(\mathcal{D}_K) \leq \mathrm{ord}_{\mathfrak{p}}(e) + e - 1.$$

where  $e = e_{\mathfrak{p}}(K/\mathbf{Q})$ . Let  $p$  be the prime number under  $\mathfrak{p}$ , so  $\mathrm{ord}_{\mathfrak{p}}(p) = e$ . Writing  $e = p^k e'$  where  $k \geq 0$  and  $p \nmid e'$ ,  $\mathrm{ord}_{\mathfrak{p}}(e) = k \mathrm{ord}_{\mathfrak{p}}(p) = \mathrm{ord}_p(e)e$ , so the upper bound in (4.12) is  $(\mathrm{ord}_{\mathfrak{p}}(e) + 1)e - 1$ .

The lower bound in (4.12) is Theorem 4.13 and was proved by Dedekind, who also conjectured the upper bound in (4.12) [3, p. 56], which was proved later by Hensel [6, p. 253] as one of the first applications of  $p$ -adic fields to number theory.

- (2) In the ideal class group of  $K$ , Hecke proved the ideal class  $[\mathcal{D}_K]$  is always a square. A proof of this in the number field setting and an analogy in the function field setting is in [1]. Analogies between  $[\mathcal{D}_K]$  being a square in the ideal class group and results in algebraic geometry are discussed at <https://mathoverflow.net/questions/12352>.

**Remark 4.26.** The different ideal of a number field can be generalized to the relative setting of an extension of number fields  $E/F$ . An  $\mathcal{O}_F$ -lattice in  $E$  is a finitely generated  $\mathcal{O}_F$ -module in  $E$  that contains an  $F$ -basis of  $E$  (it may not be a free  $\mathcal{O}_F$ -module if  $\mathcal{O}_F$  is not a PID). Examples of  $\mathcal{O}_F$ -lattices include  $\mathcal{O}_E$ , any fractional ideal of  $E$ , and  $\mathcal{O}_F[\alpha]$  if  $E = F(\alpha)$  where  $\alpha \in \mathcal{O}_E$ . When  $L$  is an  $\mathcal{O}_F$ -lattice in  $E$ , its *dual lattice* is  $L^\vee = \{\alpha \in E : \mathrm{Tr}_{E/F}(\alpha L) \subset \mathcal{O}_F\}$  and  $L^\vee$  is an  $\mathcal{O}_F$ -lattice in  $E$ . Generalizing Theorem 3.10,  $\mathcal{O}_E^\vee$  is the largest  $\mathcal{O}_E$ -module  $M$  in  $E$  such that  $\mathrm{Tr}_{E/F}(M) \subset \mathcal{O}_F$ . Also  $\mathcal{O}_E^\vee$  is a fractional ideal in  $E$  that contains  $\mathcal{O}_E$ , so its inverse as a fractional ideal is an ideal in  $\mathcal{O}_E$ . We set the *relative different*  $\mathcal{D}_{E/F}$  to be  $(\mathcal{O}_E^\vee)^{-1}$ . This is related to the relative discriminant ideal  $\mathfrak{d}_{E/F}$  for  $E/F$  using the relative ideal norm:  $N_{E/F}(\mathcal{D}_{E/F}) = \mathfrak{d}_{E/F}$ , which generalizes Theorem 4.8. Lastly, generalizing Theorem 4.13, the prime ideal factors of  $\mathcal{D}_{E/F}$  are the primes in  $E$  that ramify over  $F$ .

## 5. APPLICATION OF THE DIFFERENT IDEAL TO ADDITIVE CHARACTERS

When  $G$  is a finite abelian group, let  $G^*$  be its character group:  $G^* = \mathrm{Hom}(G, S^1)$ . This is a finite abelian group using pointwise multiplication of functions:  $(\chi\psi)(g) := \chi(g)\psi(g)$  for  $g \in G$  and  $\chi, \psi \in G^*$ . The group  $G^*$  is isomorphic to  $G$ , although not naturally.<sup>12</sup> In particular, the characters of  $\mathbf{Z}/(b)$  are the functions  $\mathbf{Z}/(b) \rightarrow S^1$  given by  $x \bmod b \mapsto e^{2\pi i ax/b}$  where  $a \in \mathbf{Z}$  only matters mod  $b$ . That makes the characters of  $\mathbf{Z}/(b)$  a cyclic group with generator  $\theta_b$  where  $\theta_b(x \bmod b) = e^{2\pi i x/b}$ . In this section we want to describe  $G^*$  where  $G = \mathcal{O}_K/\mathfrak{b}$ . The answer will involve the different ideal  $\mathcal{D}_K$ , or rather its inverse  $\mathcal{D}_K^{-1} = \mathcal{O}_K^\vee = \{y \in K : \mathrm{Tr}_{K/\mathbf{Q}}(y\mathcal{O}_K) \subset \mathbf{Z}\}$ . Recall (Theorem 3.10) that  $\mathcal{D}_K^{-1}$  is the largest  $\mathcal{O}_K$ -module  $M$  in  $K$  such that  $\mathrm{Tr}_{K/\mathbf{Q}}(M) \subset \mathbf{Z}$ .

<sup>12</sup>See Theorem 3.13 in <https://kconrad.math.uconn.edu/blurbs/grouptheory/charthy.pdf>.

We'd like to describe characters  $\mathcal{O}_K/\mathfrak{b} \rightarrow S^1$  as  $x \bmod \mathfrak{b} \mapsto e^{2\pi i \operatorname{Tr}_{K/\mathbf{Q}}(xy)}$  using some  $y \in K$ . This function needs to be well-defined: whenever  $x \equiv x' \bmod \mathfrak{b}$  in  $\mathcal{O}_K/\mathfrak{b}$  we want  $e^{2\pi i \operatorname{Tr}_{K/\mathbf{Q}}(xy)} = e^{2\pi i \operatorname{Tr}_{K/\mathbf{Q}}(x'y)}$ , which is equivalent to  $e^{2\pi i \operatorname{Tr}_{K/\mathbf{Q}}((x-x')y)} = 1$ . Since  $x-x' \in \mathfrak{b}$ , we need  $y$  to satisfy  $\operatorname{Tr}_{K/\mathbf{Q}}(\mathfrak{b}y) \subset \mathbf{Z}$ , which is equivalent to  $\mathfrak{b}y \in \mathcal{D}_K^{-1}$  and thus  $y \in \mathfrak{b}^{-1}\mathcal{D}_K^{-1}$ .

**Definition 5.1.** If  $y \in \mathfrak{b}^{-1}\mathcal{D}_K^{-1}$ , set  $\chi_y : \mathcal{O}_K/\mathfrak{b} \rightarrow S^1$  by  $\chi_y(x \bmod \mathfrak{b}) = e^{2\pi i \operatorname{Tr}_{K/\mathbf{Q}}(xy)}$ .

We showed such  $\chi_y$  is well-defined on  $\mathcal{O}_K/\mathfrak{b}$ . Easily  $\chi_y \in (\mathcal{O}_K/\mathfrak{b})^*$ . We will show two properties of this construction of elements of  $(\mathcal{O}_K/\mathfrak{b})^*$ :

- every character of  $\mathcal{O}_K/\mathfrak{b}$  is some  $\chi_y$  with  $y \in \mathfrak{b}^{-1}\mathcal{D}_K^{-1}$ ,
- $\chi_y = \chi_{y'}$  if and only if  $y - y' \in \mathcal{D}_K^{-1}$ .

The second property is easier to check: having  $\chi_y = \chi_{y'}$  on  $\mathcal{O}_K/\mathfrak{b}$  is equivalent to saying  $\operatorname{Tr}_{K/\mathbf{Q}}(\mathcal{O}_K(y - y')) \subset \mathbf{Z}$ , which is equivalent to  $y - y' \in \mathcal{D}_K^{-1}$ .

In order to show each character of  $\mathcal{O}_K/\mathfrak{b}$  is some  $\chi_y$  with  $y \in \mathfrak{b}^{-1}\mathcal{D}_K^{-1}$ , consider the map  $\mathfrak{b}^{-1}\mathcal{D}_K^{-1} \rightarrow (\mathcal{O}_K/\mathfrak{b})^*$  given by  $y \mapsto \chi_y$ . It is a group homomorphism, and by what we showed above its kernel is  $\mathcal{D}_K^{-1}$ . (We have  $\mathcal{D}_K^{-1} \subset \mathfrak{b}^{-1}\mathcal{D}_K^{-1}$  because that containment is the same as  $\mathfrak{b} \subset \mathcal{O}_K$ , which holds since  $\mathfrak{b}$  is a nonzero ideal in  $\mathcal{O}_K$ .) Thus we have an *injective* group homomorphism  $\mathfrak{b}^{-1}\mathcal{D}_K^{-1}/\mathcal{D}_K^{-1} \rightarrow (\mathcal{O}_K/\mathfrak{b})^*$  by  $\bar{y} \mapsto \chi_y$ . This is surjective because the two finite abelian groups  $\mathfrak{b}^{-1}\mathcal{D}_K^{-1}/\mathcal{D}_K^{-1}$  and  $(\mathcal{O}_K/\mathfrak{b})^*$  have the same size:

- (1)  $\mathfrak{b}^{-1}\mathcal{D}_K^{-1}/\mathcal{D}_K^{-1}$  is isomorphic to  $\mathcal{O}_K/\mathfrak{b}$  as  $\mathcal{O}_K$ -modules since that is a special case of<sup>13</sup>  $I/J \cong \mathcal{O}_K/JI^{-1}$  as  $\mathcal{O}_K$ -modules for all fractional ideals  $I$  and  $J$  in  $K$ , and thus  $|\mathfrak{b}^{-1}\mathcal{D}_K^{-1}/\mathcal{D}_K^{-1}| = |\mathcal{O}_K/\mathfrak{b}|$ . **Note:** While the  $\mathcal{O}_K$ -modules  $I/J$  and  $\mathcal{O}_K/JI^{-1}$  are isomorphic, they are *not* canonically isomorphic in general.
- (2)  $\mathcal{O}_K/\mathfrak{b}$  is a finite abelian group, so  $|(\mathcal{O}_K/\mathfrak{b})^*| = |\mathcal{O}_K/\mathfrak{b}|$ .

That proves the injective group homomorphism  $\mathfrak{b}^{-1}\mathcal{D}_K^{-1}/\mathcal{D}_K^{-1} \rightarrow (\mathcal{O}_K/\mathfrak{b})^*$  by  $\bar{y} \mapsto \chi_y$  is surjective and thus is an isomorphism. We have proved the following theorem.

**Theorem 5.2.** *The character group of  $\mathcal{O}_K/\mathfrak{b}$  is isomorphic to  $\mathfrak{b}^{-1}\mathcal{D}_K^{-1}/\mathcal{D}_K^{-1}$  as finite abelian groups. More concretely, each character of  $\mathcal{O}_K/\mathfrak{b}$  has the form  $\chi_y(x \bmod \mathfrak{b}) := e^{2\pi i \operatorname{Tr}_{K/\mathbf{Q}}(xy)}$  for unique  $\bar{y} \in \mathfrak{b}^{-1}\mathcal{D}_K^{-1}/\mathcal{D}_K^{-1}$ .*

Now we want to get an analogue for  $(\mathcal{O}_K/\mathfrak{b})^*$  of  $(\mathbf{Z}/(b))^*$  being a cyclic group: we'll show there is a character  $\theta \in (\mathcal{O}_K/\mathfrak{b})^*$  such that every  $\chi \in (\mathcal{O}_K/\mathfrak{b})^*$  is  $x \bmod \mathfrak{b} \mapsto \theta(\alpha x)$  for some  $\alpha \in \mathcal{O}_K$ . This will amount to showing  $(\mathcal{O}_K/\mathfrak{b})^*$  can be turned into a cyclic  $\mathcal{O}_K$ -module even though it may not be a cyclic group (when  $K \neq \mathbf{Q}$ ).

Make  $(\mathcal{O}_K/\mathfrak{b})^*$  an  $\mathcal{O}_K$ -module by “interior multiplication”: for  $\alpha \in \mathcal{O}_K$  and  $\chi \in (\mathcal{O}_K/\mathfrak{b})^*$ , set  $(\alpha\chi)(x \bmod \mathfrak{b}) := \chi(\alpha x \bmod \mathfrak{b})$ . That  $(\mathcal{O}_K/\mathfrak{b})^*$  becomes an  $\mathcal{O}_K$ -module in this way amounts to checking for all  $\alpha, \alpha' \in \mathcal{O}_K$  and characters  $\chi, \chi'$  that

$$(\alpha + \alpha')\chi \stackrel{?}{=} (\alpha\chi)(\alpha'\chi), \quad \alpha(\chi\chi') \stackrel{?}{=} (\alpha\chi)(\alpha\chi')$$

in  $(\mathcal{O}_K/\mathfrak{b})^*$  (where the group law is pointwise multiplication of characters). Evaluate both sides of each equation at each  $x \bmod \mathfrak{b}$  in  $\mathcal{O}_K/\mathfrak{b}$ :

- the first equation at  $x \bmod \mathfrak{b}$  turns into  $\chi((\alpha + \alpha')x) \stackrel{?}{=} \chi(\alpha x)\chi(\alpha'x)$ , which is valid by  $\chi$  being a character of  $\mathcal{O}_K/\mathfrak{b}$ ,

<sup>13</sup>See Theorem 7.14 in <https://kconrad.math.uconn.edu/blurbs/gradnumthy/idealfactor.pdf>.

- the second equation at  $x \bmod \mathfrak{b}$  turns into  $\chi(\alpha x)\chi'(\alpha x) \stackrel{?}{=} (\alpha\chi)(x)(\alpha\chi')(x)$ , which is valid by the definition of the  $\mathcal{O}_K$ -module structure on  $(\mathcal{O}_K/\mathfrak{b})^*$ .  $\checkmark$

We already saw in Theorem 5.2 that  $(\mathcal{O}_K/\mathfrak{b})^* \cong \mathfrak{b}^{-1}\mathcal{D}_K^{-1}/\mathcal{D}_K^{-1}$  as abelian groups. Since  $\mathfrak{b}^{-1}\mathcal{D}_K^{-1}/\mathcal{D}_K^{-1}$  is a quotient of two  $\mathcal{O}_K$ -modules, it is an  $\mathcal{O}_K$ -module in a natural way. We can now compare  $(\mathcal{O}_K/\mathfrak{b})^*$  and  $\mathfrak{b}^{-1}\mathcal{D}_K^{-1}/\mathcal{D}_K^{-1}$  as  $\mathcal{O}_K$ -modules, not just as abelian groups. Here is the comparison.

**Theorem 5.3.** *The map  $\bar{y} \mapsto \chi_y$  from  $\mathfrak{b}^{-1}\mathcal{D}_K^{-1}/\mathcal{D}_K^{-1}$  to  $(\mathcal{O}_K/\mathfrak{b})^*$  is an  $\mathcal{O}_K$ -module isomorphism.*

*Proof.* This map is an isomorphism of abelian groups by the proof of Theorem 5.2, so it remains to show this map is  $\mathcal{O}_K$ -linear: for each  $\alpha \in \mathcal{O}_K$  and  $y \in \mathfrak{b}^{-1}\mathcal{D}_K^{-1}$ , we want to check

$$\chi_{\alpha y} \stackrel{?}{=} \alpha\chi_y$$

in  $(\mathcal{O}_K/\mathfrak{b})^*$ . Evaluate both sides of this equation at each  $x \bmod \mathfrak{b}$  in  $\mathcal{O}_K/\mathfrak{b}$ : the left side becomes  $e^{2\pi i \operatorname{Tr}_{K/\mathbb{Q}}(x(\alpha y))}$  and the right side becomes  $\chi_y(\alpha x)$ , which is  $e^{2\pi i \operatorname{Tr}_{K/\mathbb{Q}}((\alpha x)y)}$ . These two numbers are equal, so we are done.  $\square$

By Theorem 5.3,  $(\mathcal{O}_K/\mathfrak{b})^* \cong \mathfrak{b}^{-1}\mathcal{D}_K^{-1}/\mathcal{D}_K^{-1}$  as  $\mathcal{O}_K$ -modules. The quotient  $\mathcal{O}_K$ -module  $\mathfrak{b}^{-1}\mathcal{D}_K^{-1}/\mathcal{D}_K^{-1}$  is isomorphic to  $\mathcal{O}_K/\mathfrak{b}$  as  $\mathcal{O}_K$ -modules, but usually not canonically, so  $(\mathcal{O}_K/\mathfrak{b})^*$  is isomorphic to  $\mathcal{O}_K/\mathfrak{b}$  as  $\mathcal{O}_K$ -modules, but usually not canonically. Since  $\mathcal{O}_K/\mathfrak{b}$  is a cyclic  $\mathcal{O}_K$ -module, with obvious generator 1,  $(\mathcal{O}_K/\mathfrak{b})^*$  also has an  $\mathcal{O}_K$ -module generator but there is usually not a concise formula for a generator.

**Theorem 5.4.** *When  $\theta$  generates  $(\mathcal{O}_K/\mathfrak{b})^*$  as an  $\mathcal{O}_K$ -module, each character of  $\mathcal{O}_K/\mathfrak{b}$  is  $x \bmod \mathfrak{b} \mapsto \theta(\alpha x)$  where  $\alpha \in \mathcal{O}_K$  is uniquely determined modulo  $\mathfrak{b}$ .*

*Proof.* When  $M$  is an  $\mathcal{O}_K$ -module that is isomorphic to  $\mathcal{O}_K/\mathfrak{b}$  and  $m$  is a generator of  $M$ , the map  $\mathcal{O}_K \rightarrow M$  by  $\alpha \mapsto \alpha m$  is surjective with kernel  $\mathfrak{b}$ , so each element of  $M$  is  $\alpha m$  where  $\alpha \in \mathcal{O}_K$  is uniquely determined modulo  $\mathfrak{b}$ . That proves the theorem using  $M = (\mathcal{O}_K/\mathfrak{b})^*$  since  $\alpha\theta$  in  $(\mathcal{O}_K/\mathfrak{b})^*$  is the function  $x \bmod \mathfrak{b} \mapsto \theta(\alpha x)$ .  $\square$

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