

# ROOTS AND IRREDUCIBLES

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## 1. INTRODUCTION

This handout discusses relationships between roots of irreducible polynomials and field extensions. Throughout, the letters  $K$ ,  $L$ , and  $F$  are fields and  $\mathbf{F}_p = \mathbf{Z}/(p)$  is the field of  $p$  elements. When  $f(X) \in K[X]$ , we will say  $f(X)$  is a polynomial “over”  $K$ . Sections 2 and 3 describe some general features of roots of polynomials. In the later sections we look at roots to polynomials over the finite field  $\mathbf{F}_p$ .

## 2. ROOTS IN LARGER FIELDS

For most fields  $K$ , there are polynomials in  $K[X]$  without a root in  $K$ . Consider  $X^2 + 1$  in  $\mathbf{R}[X]$  or  $X^3 - 2$  in  $\mathbf{F}_7[X]$ . If we are willing to enlarge the field, then we can discover some roots. This is due to Kronecker, by the following argument.

**Theorem 2.1.** *Let  $K$  be a field and  $f(X)$  be nonconstant in  $K[X]$ . There is a field extension of  $K$  containing a root of  $f(X)$ .*

*Proof.* It suffices to prove the theorem when  $f(X) = \pi(X)$  is irreducible (why?).

Set  $F = K[t]/(\pi(t))$ , where  $t$  is an indeterminate. Since  $\pi(t)$  is irreducible in  $K[t]$ ,  $F$  is a field. Inside of  $F$  we have  $K$  as a subfield: the congruence classes represented by constants. There is also a root of  $\pi(X)$  in  $F$ , namely the class of  $t$ . Indeed, writing  $\bar{t}$  for the congruence class of  $t$  in  $F$ , the congruence  $\pi(t) \equiv 0 \pmod{\pi(t)}$  becomes the equation  $\pi(\bar{t}) = 0$  in  $F$ .  $\square$

**Example 2.2.** Consider  $X^2 + 1 \in \mathbf{R}[X]$ , which has no root in  $\mathbf{R}$ . The ring  $\mathbf{R}[t]/(t^2 + 1)$  is a field containing  $\mathbf{R}$ . In this field  $\bar{t}^2 = -1$ , so the polynomial  $X^2 + 1$  has the root  $\bar{t}$  in the field  $\mathbf{R}[t]/(t^2 + 1)$ . The reader should recognize  $\mathbf{R}[t]/(t^2 + 1)$  as an algebraic version of the complex numbers: congruence classes are represented by  $a + bt$  with  $\bar{t}^2 = -1$ .

When an irreducible polynomial over a field  $K$  picks up one root in a larger field, there need not be more roots in that field. *This is an important point to keep in mind.* A simple example is  $X^3 - 2$  in  $\mathbf{Q}[X]$ , which has only one root in  $\mathbf{R}$ , namely  $\sqrt[3]{2}$ . There are two more roots in  $\mathbf{C}$ , but they do not live in  $\mathbf{R}$ . (Incidentally, the field extension of  $\mathbf{Q}$  constructed by Theorem 2.1 which contains a root of  $X^3 - 2$ , namely  $\mathbf{Q}[t]/(t^3 - 2)$ , is much smaller than the real numbers, *e.g.*, it is countable.)

By repeating the construction in the proof of Theorem 2.1 several times, we can always create a field with a full set of roots for our polynomial. We state this as a corollary, and give a proof by induction on the degree.

**Corollary 2.3.** *Let  $K$  be a field and  $f(X) = c_m X^m + \cdots + c_0$  be in  $K[X]$  with degree  $m \geq 1$ . There is a field  $L \supset K$  such that in  $L[X]$ ,*

$$(2.1) \quad f(X) = c_m(X - \alpha_1) \cdots (X - \alpha_m).$$

*Proof.* We induct on the degree  $m$ . The case  $m = 1$  is clear, using  $L = K$ . By Theorem 2.1, there is a field  $F \supset K$  such that  $f(X)$  has a root in  $F$ , say  $\alpha_1$ . Then in  $F[X]$ ,

$$f(X) = (X - \alpha_1)g(X),$$

where  $\deg g(X) = m - 1$ . The leading coefficient of  $g(X)$  is also  $c_m$ .

Since  $g(X)$  has smaller degree than  $f(X)$ , by induction on the degree there is a field  $L \supset F$  (so  $L \supset K$ ) such that  $g(X)$  decomposes into linear factors in  $L[X]$ , so we get the desired factorization of  $f(X)$  in  $L[X]$ .  $\square$

**Corollary 2.4.** *Let  $f(X)$  and  $g(X)$  be nonconstant in  $K[X]$ . They are relatively prime in  $K[X]$  if and only if they do not have a common root in any extension field of  $K$ .*

*Proof.* Assume  $f(X)$  and  $g(X)$  are relatively prime in  $K[X]$ . Then we can write

$$f(X)u(X) + g(X)v(X) = 1$$

for some  $u(X)$  and  $v(X)$  in  $K[X]$ . If there were an  $\alpha$  in an field extension of  $K$  which is a common root of  $f(X)$  and  $g(X)$ , then substituting  $\alpha$  for  $X$  in the above polynomial identity makes the left side 0 while the right side is 1. This is a contradiction, so  $f(X)$  and  $g(X)$  have no common root in any field extension of  $K$ .

Now assume  $f(X)$  and  $g(X)$  are not relatively prime in  $K[X]$ . Say  $h(X) \in K[X]$  is a (nonconstant) common factor. There is a field extension of  $K$  in which  $h(X)$  has a root, and this root will be a common root of  $f(X)$  and  $g(X)$ .  $\square$

Although adjoining one root of an irreducible in  $\mathbf{Q}[X]$  to the rational numbers does not always produce the other roots in the same field (such as with  $X^3 - 2$ ), the situation in  $\mathbf{F}_p[X]$  is much simpler. We will see later (Theorem 5.4) that for an irreducible in  $\mathbf{F}_p[X]$ , a larger field which contains one root must contain *all* the roots. Here are two examples.

**Example 2.5.** The polynomial  $X^3 - 2$  is irreducible in  $\mathbf{F}_7[X]$ . It has a root in  $F = \mathbf{F}_7[t]/(t^3 - 2)$ , namely  $\bar{t}$ . It also has two other roots in  $F$ ,  $2\bar{t}$  and  $4\bar{t}$ .

**Example 2.6.** The polynomial  $X^3 + X^2 + 1$  is irreducible in  $\mathbf{F}_5[X]$ . In the field  $F = \mathbf{F}_5[t]/(t^3 + t^2 + 1)$ , the polynomial has the root  $\bar{t}$  and also the roots  $2\bar{t}^2 + 3\bar{t}$  and  $3\bar{t}^2 + \bar{t} + 4$ .

### 3. DIVISIBILITY AND ROOTS IN $K[X]$

There is an important connection between roots of a polynomial and divisibility by *linear* polynomials. For  $f(X) \in K[X]$  and  $\alpha \in K$ ,  $f(\alpha) = 0 \iff (X - \alpha) \mid f(X)$ . The next result is an analogue for divisibility by higher degree polynomials in  $K[X]$ , provided they are irreducible. (All linear polynomials are irreducible.)

**Theorem 3.1.** *Let  $\pi(X)$  be irreducible in  $K[X]$  and let  $\alpha$  be a root of  $\pi(X)$  in some larger field. For  $h(X)$  in  $K[X]$ ,  $h(\alpha) = 0 \iff \pi(X) \mid h(X)$  in  $K[X]$ .*

*Proof.* If  $h(X) = \pi(X)g(X)$ , then  $h(\alpha) = \pi(\alpha)g(\alpha) = 0$ .

Now assume  $h(\alpha) = 0$ . Then  $h(X)$  and  $\pi(X)$  have a common root, so by Corollary 2.4 they have a common factor in  $K[X]$ . Since  $\pi(X)$  is irreducible, this means  $\pi(X) \mid h(X)$  in  $K[X]$ . To see this argument more directly, suppose  $h(\alpha) = 0$  and  $\pi(X)$  does not divide  $h(X)$ . Then (because  $\pi$  is irreducible) the polynomials  $\pi(X)$  and  $h(X)$  are relatively prime in  $K[X]$  so we can write

$$\pi(X)u(X) + h(X)v(X) = 1$$

for some  $u(X), v(X) \in K[X]$ . Substitute  $\alpha$  for  $X$  and the left side vanishes. The right side is 1 so we have a contradiction.  $\square$

**Example 3.2.** Take  $K = \mathbf{Q}$  and  $\pi(X) = X^2 - 2$ . It has a root  $\sqrt{2} \in \mathbf{R}$ . For any  $h(X) \in \mathbf{Q}[X]$ ,  $h(\sqrt{2}) = 0 \iff (X^2 - 2) \mid h(X)$ . This equivalence breaks down if we allow  $h(X)$  to come from  $\mathbf{R}[X]$ : try  $h(X) = X - \sqrt{2}$ .

The following theorem, which we will not explicitly use further in this handout, shows that divisibility relations in  $K[X]$  can be checked by working over any larger field.

**Theorem 3.3.** *Let  $K$  be a field and  $L$  be a larger field. For  $f(X)$  and  $g(X)$  in  $K[X]$ ,  $f(X) \mid g(X)$  in  $K[X]$  if and only if  $f(X) \mid g(X)$  in  $L[X]$ .*

*Proof.* It is clear that divisibility in  $K[X]$  implies divisibility in the larger  $L[X]$ . Conversely, suppose  $f(X) \mid g(X)$  in  $L[X]$ . Then

$$g(X) = f(X)h(X)$$

for some  $h(X) \in L[X]$ . By the division algorithm in  $K[X]$ ,

$$g(X) = f(X)q(X) + r(X),$$

where  $q(X)$  and  $r(X)$  are in  $K[X]$  and  $r(X) = 0$  or  $\deg r < \deg f$ . Comparing these two formulas for  $g(X)$ , the uniqueness of the division algorithm in  $L[X]$  implies  $q(X) = h(X)$  and  $r(X) = 0$ . Therefore  $g(X) = f(X)q(X)$ , so  $f(X) \mid g(X)$  in  $K[X]$ .  $\square$

Notice how the uniqueness in the division algorithm for polynomials (over any field) played a role in the proof.

#### 4. RAISING TO THE $p$ -TH POWER IN CHARACTERISTIC $p$

The rest of this handout is concerned with applications of the preceding ideas to polynomials in  $\mathbf{F}_p[X]$ . What we see will be absorbed later into the general ideas of Galois theory, but already at this point some interesting results can be made rather explicit (*e.g.*, Corollary 4.4 and Theorem 5.4) without a lot of general machinery.

The most important operation in characteristic  $p$  is the  $p$ -th power map  $x \mapsto x^p$  because is not just multiplicative, but also additive:

**Lemma 4.1.** *Let  $A$  be a commutative ring with prime characteristic  $p$ . Pick any  $a$  and  $b$  in  $A$ .*

- a)  $(a + b)^p = a^p + b^p$ .
- b) *When  $A$  is a domain,  $a^p = b^p \implies a = b$ .*

*Proof.* a) By the binomial theorem,

$$(a + b)^p = a^p + \sum_{k=1}^{p-1} \binom{p}{k} a^{p-k} b^k + b^p.$$

For  $1 \leq k \leq p - 1$ , the integer  $\binom{p}{k}$  is a multiple of  $p$  (why?), so the intermediate terms are 0 in  $A$ .

b) Now assume  $A$  is a domain and  $a^p = b^p$ . Then  $0 = a^p - b^p = (a - b)^p$ . (Note  $(-1)^p = -1$  for  $p \neq 2$ , and also for  $p = 2$  since  $2 = 0 \implies -1 = 1$  in  $A$ .) Since  $A$  is a domain,  $a - b = 0$ , so  $a = b$ .  $\square$

**Lemma 4.2.** *Let  $F$  be a field containing  $\mathbf{F}_p$ . For  $c \in F$ ,  $c \in \mathbf{F}_p \iff c^p = c$ .*

*Proof.* Every element  $c$  of  $\mathbf{F}_p$  satisfies the equation  $c^p = c$ . Conversely, solutions to this equation are the roots of  $X^p - X$ , which has at most  $p$  roots in  $F$ . The elements of  $\mathbf{F}_p$  already fulfill this upper bound, so there are no further roots in characteristic  $p$ .  $\square$

**Theorem 4.3.** *For any  $f(X) \in \mathbf{F}_p[X]$ ,  $f(X)^{p^r} = f(X^{p^r})$  for  $r \geq 0$ . If  $F$  is a field of characteristic  $p$  other than  $\mathbf{F}_p$ , this is not always true in  $F[X]$ .*

*Proof.* Writing

$$f(X) = c_m X^m + c_{m-1} X^{m-1} + \cdots + c_1 X + c_0,$$

Lemma 4.1a with  $A = \mathbf{F}_p[X]$  gives

$$\begin{aligned} f(X)^p &= (c_m X^m + c_{m-1} X^{m-1} + \cdots + c_1 X + c_0)^p \\ &= c_m^p X^{mp} + c_{m-1}^p X^{p(m-1)} + \cdots + c_1^p X^p + c_0^p \\ &= c_m (X^p)^m + c_{m-1} (X^p)^{m-1} + \cdots + c_1 X^p + c_0, \end{aligned}$$

since  $c^p = c$  for any  $c \in \mathbf{F}_p$ . The last expression is  $f(X^p)$ . Applying this result  $r$  times, we find  $f(X)^{p^r} = f(X^{p^r})$ .

If  $F$  has characteristic  $p$  and is not  $\mathbf{F}_p$ , then  $F$  contains an element  $c$  which is not in  $\mathbf{F}_p$ . Then  $c^p \neq c$  by Lemma 4.2, so the constant polynomial  $f(X) = c$  (or any monomial  $cX^d$ ) does not satisfy  $f(X)^p = f(X^p)$ .  $\square$

Let  $f(X) \in \mathbf{F}_p[X]$  be nonconstant, with degree  $m$ . Let  $L \supset \mathbf{F}_p$  be a field over which  $f(X)$  decomposes into linear factors, *i.e.*, (2.1) holds. It is possible that some of the roots of  $f(X)$  are multiple roots. As long as that does not happen, the following corollary says something about the  $p$ -th powers of the roots.

**Corollary 4.4.** *When  $f(X) \in \mathbf{F}_p[X]$  has distinct roots, raising all roots of  $f(X)$  to the  $p$ -th power permutes the roots:*

$$\{\alpha_1^p, \dots, \alpha_m^p\} = \{\alpha_1, \dots, \alpha_m\}.$$

*Proof.* Let  $S = \{\alpha_1, \dots, \alpha_m\}$ . Since  $f(X)^p = f(X^p)$  by Theorem 4.3, the  $p$ -th power of each root of  $f(X)$  is again a root of  $f(X)$ . Therefore raising to the  $p$ -th power defines a function  $\varphi: S \rightarrow S$ . By Lemma 4.1b,  $\varphi$  takes different values on different elements of  $S$ . Since  $S$  is a finite set,  $\varphi$  must assume each element of  $S$  as a value (in the language of set theory, a one-to-one function from a finite set to itself is onto), so  $\varphi$  is a permutation of  $S$ .  $\square$

**Example 4.5.** Consider  $X^3 + X^2 + 1 \in \mathbf{F}_5[X]$ . In Example 2.6, we found a field  $F \supset \mathbf{F}_5$  in which the polynomial has roots  $\bar{t}$ ,  $2\bar{t}^2 + 3\bar{t}$ , and  $3\bar{t}^2 + \bar{t} + 4$ . If we raise these to the fifth power, then  $\bar{t}^5 = 3\bar{t}^2 + \bar{t} + 4$ ,  $(2\bar{t}^2 + 3\bar{t})^5 = \bar{t}$ , and  $(3\bar{t}^2 + \bar{t} + 4)^5 = 2\bar{t}^2 + 3\bar{t}$ .

## 5. ROOTS OF IRREDUCIBLES IN $\mathbf{F}_p[X]$

All the roots of an irreducible polynomial in  $\mathbf{Q}[X]$  are not generally expressible in terms of a particular root, with  $X^3 - 2$  being a typical example. (The field  $\mathbf{Q}(\sqrt[3]{2})$  contains only one root to this polynomial, not all 3 roots.) However, the situation is markedly simpler over finite fields. In this section we will make explicit the relations among the roots of an irreducible polynomial in  $\mathbf{F}_p[X]$ . In short, we can obtain all roots from any one root by repeatedly taking  $p$ -th powers. The precise statement is in Theorem 5.4.

**Lemma 5.1.** *For  $h(X)$  in  $\mathbf{F}_p[X]$  with degree  $m$ ,  $\mathbf{F}_p[X]/(h(X))$  has size  $p^m$ .*

*Proof.* By the division algorithm in  $\mathbf{F}_p[X]$ , every congruence class modulo  $h(X)$  contains a unique remainder from division by  $h(X)$ . These remainders are the polynomials

$$c_{m-1} X^{m-1} + \cdots + c_1 X + c_0,$$

with  $c_j \in \mathbf{F}_p$ . (Note  $c_{m-1} = 0$  if the remainder has small degree.) There are  $p^m$  such representatives.  $\square$

**Lemma 5.2.** *When  $F$  is a finite field with size  $q$ ,  $c^q = c$  for all  $c$  in  $F$ .*

*Proof.* For  $c \neq 0$  in  $F$ ,  $c^{q-1} = 1$  (since  $F^\times$  is a group of size  $q-1$ ) so multiplying through by  $c$  shows  $c^q = c$ . This last equation is obviously satisfied also by  $c = 0$ .  $\square$

**Theorem 5.3.** *Let  $\pi(X)$  be irreducible of degree  $d$  in  $\mathbf{F}_p[X]$ .*

- a) *In  $\mathbf{F}_p[X]$ ,  $\pi(X) \mid (X^{p^d} - X)$ .*
- b) *For  $n \geq 0$ ,  $\pi(X) \mid (X^{p^n} - X) \iff d \mid n$ .*

*Proof.* The divisibility in (a) is the same as the congruence  $X^{p^d} \equiv X \pmod{\pi(X)}$ , or equivalently the equation  $\bar{X}^{p^d} = \bar{X}$  in  $\mathbf{F}_p[X]/(\pi(X))$ . Such an equation follows immediately from Lemmas 5.1 and 5.2, using the field  $\mathbf{F}_p[X]/(\pi(X))$ .

To prove  $(\iff)$  in (b), write  $n = kd$ . Starting with  $X \equiv X^{p^d} \pmod{\pi(X)}$  (from (a)) and applying the  $p^d$ -th power to both sides  $k$  times, we obtain

$$X \equiv X^{p^d} \equiv X^{p^{2d}} \equiv \dots \equiv X^{p^{(k-1)d}} \equiv X^{p^{kd}} = X^{p^n} \pmod{\pi(X)}.$$

Thus  $\pi(X) \mid (X^{p^n} - X)$  in  $\mathbf{F}_p[X]$ .

Now we prove  $(\implies)$  in (b). We assume

$$(5.1) \quad X^{p^n} \equiv X \pmod{\pi(X)}$$

and want to show  $d \mid n$ . Write  $n = dq + r$  with  $0 \leq r < d$ . We will show  $r = 0$ .

We have  $X^{p^n} = X^{p^{dq}p^r} = (X^{p^{dq}})^{p^r}$ . Since  $d \mid dq$ ,  $X^{p^{dq}} \equiv X \pmod{\pi(X)}$  by  $(\iff)$ , so  $X^{p^n} \equiv X^{p^r} \pmod{\pi(X)}$ . Thus, by (5.1),

$$(5.2) \quad X^{p^r} \equiv X \pmod{\pi(X)}.$$

This tells us that one particular element of  $\mathbf{F}_p[X]/(\pi(X))$ , the class of  $X$ , is equal to its own  $p^r$ -th power. Let's extend this property to all elements of  $\mathbf{F}_p[X]/(\pi(X))$ . For any  $f(X) \in \mathbf{F}_p[X]$ ,  $f(X)^{p^r} = f(X^{p^r})$  by Theorem 4.3. Combining with (5.2),

$$f(X)^{p^r} \equiv f(X) \pmod{\pi(X)}.$$

Therefore in  $\mathbf{F}_p[X]/(\pi(X))$  the congruence class of  $f(X)$  is equal to its own  $p^r$ -th power. As  $f(X)$  is a general polynomial in  $\mathbf{F}_p[X]$ , we have proved every element of  $\mathbf{F}_p[X]/(\pi(X))$  is its own  $p^r$ th power (in  $\mathbf{F}_p[X]/(\pi(X))$ ).

Consider now the polynomial  $T^{p^r} - T$ . When  $r > 0$ , this is a polynomial with degree  $p^r > 1$ , and we have found  $p^d$  different roots of this polynomial in  $\mathbf{F}_p[X]/(\pi(X))$  (namely, every element of this field is a root). Therefore  $p^d \leq p^r$ , so  $d \leq r$ . But, recalling where  $r$  came from,  $r < d$ . This is a contradiction, so  $r = 0$ . That proves  $d \mid n$ .  $\square$

**Theorem 5.4.** *Let  $\pi(X)$  be irreducible in  $\mathbf{F}_p[X]$  with degree  $d$  and  $F \supset \mathbf{F}_p$  be a field in which  $\pi(X)$  has a root, say  $\alpha$ . Then  $\pi(X)$  has roots  $\alpha, \alpha^p, \alpha^{p^2}, \dots, \alpha^{p^{d-1}}$ . These  $d$  roots are distinct; more precisely, when  $i$  and  $j$  are nonnegative,  $\alpha^{p^i} = \alpha^{p^j} \iff i \equiv j \pmod{d}$ .*

*Proof.* Since  $\pi(X)^p = \pi(X^p)$  by Theorem 4.3, we see  $\alpha^p$  is also a root of  $\pi(X)$ , and likewise  $\alpha^{p^2}, \alpha^{p^3}$ , and so on by iteration. Once we reach  $\alpha^{p^d}$  we have cycled back to the start:  $\alpha^{p^d} = \alpha$  by Theorem 5.3a. (Write the divisibility in Theorem 5.3a as an equation in  $\mathbf{F}_p[X]$  and then substitute  $\alpha$  for  $X$ .)

Now we will show for  $i, j \geq 0$  that  $\alpha^{p^i} = \alpha^{p^j} \iff i \equiv j \pmod{d}$ . Since  $\alpha^{p^d} = \alpha$ , the implication ( $\Leftarrow$ ) is straightforward. To argue in the other direction, we may suppose without loss of generality that  $i \leq j$ , say  $j = i + k$  with  $k \geq 0$ . Then

$$\alpha^{p^i} = \alpha^{p^{i+k}} = (\alpha^{p^k})^{p^i}.$$

Applying Lemma 4.1b to this equality  $i$  times, with  $A = F$ , we have  $\alpha = \alpha^{p^k}$ . Therefore  $\alpha$  is a root of  $X^{p^k} - X$ , so  $\pi(X) \mid (X^{p^k} - X)$  in  $\mathbf{F}_p[X]$  by Theorem 3.1. We conclude  $d \mid k$  by Theorem 5.3b, so  $i \equiv j \pmod{d}$ .  $\square$

Since  $\pi(X)$  has at most  $d = \deg \pi$  roots in any field, Theorem 5.4 tells us  $\alpha, \alpha^p, \dots, \alpha^{p^{d-1}}$  are a complete set of roots of  $\pi(X)$  and these roots are distinct.

**Example 5.5.** The polynomial  $X^3 + X + 1$  is irreducible in  $\mathbf{F}_2[X]$ . In the field  $F = \mathbf{F}_2[t]/(t^3 + t + 1)$ , one root of the polynomial is  $\bar{t}$ . The other two roots are  $\bar{t}^2$  and  $\bar{t}^4$ .

If we wish to write the third root without going beyond the second power of  $\bar{t}$ , note  $t^4 \equiv t^2 + t \pmod{t^3 + t + 1}$ . Therefore, the roots of  $X^3 + X + 1$  in  $F$  are  $\bar{t}, \bar{t}^2$ , and  $\bar{t}^2 + \bar{t}$ .

Now we can remove the mystery behind the discovery of the roots in Example 2.6. There was no guessing or brute-force searching involved. The roots are  $\bar{t}, \bar{t}^5$ , and  $\bar{t}^{25}$ . Then remainders modulo  $t^3 + t^2 + 1$  (in  $\mathbf{F}_5[t]$ ) were computed for  $t^5$  and  $t^{25}$ .

## 6. FINDING IRREDUCIBLES IN $\mathbf{F}_p[X]$

A nice application of Theorem 5.3 is the next result, which is due to Gauss. It describes all irreducible polynomials of a given degree in  $\mathbf{F}_p[X]$  as factors of a certain polynomial.

**Theorem 6.1.** *Let  $n \geq 1$ . In  $\mathbf{F}_p[X]$ ,*

$$(6.1) \quad X^{p^n} - X = \prod_{d \mid n} \prod_{\substack{\deg \pi = d \\ \pi \text{ monic}}} \pi(X),$$

where  $\pi(X)$  is irreducible.

Let's look at some examples to understand what the theorem is telling us, before giving the proof.

**Example 6.2.** We factor  $X^{2^n} - X$  in  $\mathbf{F}_2[X]$  for  $n = 1, 2, 3, 4$ . We have

$$X^2 - X = X(X + 1),$$

$$X^4 - X = X(X + 1)(X^2 + X + 1),$$

$$X^8 - X = X(X + 1)(X^3 + X + 1)(X^3 + X^2 + 1),$$

$$X^{16} - X = X(X + 1)(X^2 + X + 1)(X^4 + X + 1)(X^4 + X^3 + 1)(X^4 + X^3 + X^2 + X + 1).$$

The following table lists all the irreducibles of each small degree in  $\mathbf{F}_2[X]$ :

$n$	Irreducibles of degree $n$ in $\mathbf{F}_2[X]$
1	$X, X + 1$
2	$X^2 + X + 1$
3	$X^3 + X + 1, X^3 + X^2 + 1$
4	$X^4 + X + 1, X^4 + X^3 + 1, X^4 + X^3 + X^2 + X + 1$

*Proof.* From Theorem 5.3, the irreducible factors of  $X^{p^n} - X$  in  $\mathbf{F}_p[X]$  are the irreducibles with degree dividing  $n$ . What remains is to show that each monic irreducible factor of  $X^{p^n} - X$  appears only once in the factorization. Let  $\pi(X)$  be an irreducible factor of  $X^{p^n} - X$  in  $\mathbf{F}_p[X]$ . We want to show  $\pi(X)^2$  does not divide  $X^{p^n} - X$ .

There is a field  $F$  in which  $\pi(X)$  has a root, say  $\alpha$ . We will work in  $F[X]$ . Since  $\pi(X) \mid (X^{p^n} - X)$ ,  $X^{p^n} - X = \pi(X)k(X)$ , so  $\alpha^{p^n} = \alpha$ . Then in  $F[X]$ ,

$$\begin{aligned} X^{p^n} - X &= X^{p^n} - X - 0 \\ &= X^{p^n} - X - (\alpha^{p^n} - \alpha) \\ &= (X - \alpha)^{p^n} - (X - \alpha) \text{ by Lemma 4.1a} \\ &= (X - \alpha)((X - \alpha)^{p^n - 1} - 1). \end{aligned}$$

The second factor in this last expression does not vanish at  $\alpha$ , so  $(X - \alpha)^2$  does not divide  $X^{p^n} - X$ . Therefore  $\pi(X)^2$  does not divide  $X^{p^n} - X$  in  $\mathbf{F}_p[X]$ .  $\square$

Let  $N_p(n)$  be the number of monic irreducibles of degree  $n$  in  $\mathbf{F}_p[X]$ . For instance,  $N_p(1) = p$ . On the right side of (6.1), for each  $d$  dividing  $n$  there are  $N_p(d)$  different monic irreducible factors of degree  $d$ . Taking degrees of both sides of (6.1),

$$(6.2) \quad p^n = \sum_{d \mid n} dN_p(d)$$

for all  $n \geq 1$ . Looking at this formula over all  $n$  lets us invert it to get a formula for  $N_p(n)$ .

**Example 6.3.**  $N_p(2) = \frac{p^2 - p}{2}$ ,  $N_p(3) = \frac{p^3 - p}{3}$ ,  $N_p(12) = \frac{p^{12} - p^6 - p^4 + p^2}{12}$ .

A general formula for  $N_p(n)$  can be written down from (6.1) using the Möbius inversion formula, which we omit.