# THE GALOIS CORRESPONDENCE 

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## 1. Introduction

Let $L / K$ be a field extension. A $K$-automorphism of $L$ is a field automorphism $\sigma: L \rightarrow L$ that fixes the elements of $K: \sigma(c)=c$ for all $c \in K$. The set of $K$-automorphisms of $L$ is a group under composition and is denoted $\operatorname{Aut}(L / K)$. Its identity element is the identity function on $L$. Studying properties of $L / K$ through properties of the group $\operatorname{Aut}(L / K)$ is the basic theme of Galois theory.

Example 1.1. Two R-automorphisms of $\mathbf{C}$ are the identity $z \mapsto z$ and complex conjugation $z \mapsto \bar{z}$. We will show they are the only ones. If $\sigma: \mathbf{C} \rightarrow \mathbf{C}$ is an $\mathbf{R}$-automorphism, then for all real $a$ and $b$ we have $\sigma(a+b i)=\sigma(a)+\sigma(b) \sigma(i)=a+b \sigma(i)$, so $\sigma$ is determined by $\sigma(i)$ and

$$
\begin{aligned}
i^{2}=-1 & \Longrightarrow \sigma\left(i^{2}\right)=\sigma(-1) \\
& \Longrightarrow \sigma(i)^{2}=-1 \\
& \Longrightarrow \sigma(i)= \pm i .
\end{aligned}
$$

If $\sigma(i)=i$, then $\sigma(z)=z$ for all $z \in \mathbf{C}$ and if $\sigma(i)=-i$, then $\sigma(z)=\bar{z}$ for all $z \in \mathbf{C}$.
From each intermediate field $K \subset F \subset L$ we get a subgroup

$$
\begin{equation*}
\operatorname{Aut}(L / F)=\{\sigma \in \operatorname{Aut}(L / K): \sigma(\alpha)=\alpha \text { for all } \alpha \in F\} \tag{1.1}
\end{equation*}
$$

in $\operatorname{Aut}(L / K)$. In the other direction, from each subgroup $H$ of $\operatorname{Aut}(L / K)$ we get a field

$$
\begin{equation*}
L^{H}=\{\alpha \in L: \sigma(\alpha)=\alpha \text { for all } \sigma \in H\}, \tag{1.2}
\end{equation*}
$$

which lies between $K$ and $L$. (Check $L^{H}$ really is a field.) Both (1.1) and (1.2) concern the equation $\sigma(\alpha)=\alpha$, but they quantify it in different ways, one over $\alpha$ and the other over $\sigma$.

The correspondences $F \leadsto \operatorname{Aut}(L / F)$ and $H \leadsto L^{H}$ between intermediate fields in the extension $L / K$ and subgroups of $\operatorname{Aut}(L / K)$ is inclusion-reversing: if $F \subset F^{\prime}$ then $\operatorname{Aut}\left(L / F^{\prime}\right) \subset \operatorname{Aut}(L / F)$, while if $H \subset H^{\prime}$ then $L^{H^{\prime}} \subset L^{H}$.


It is straightforward to check that

$$
\begin{equation*}
F \subset L^{\operatorname{Aut}(L / F)}, \quad H \subset \operatorname{Aut}\left(L / L^{H}\right) \tag{1.3}
\end{equation*}
$$

That is, $F$ is contained in the set of elements fixed by the automorphisms of $L$ that fix $F$ and $H$ is contained in the set of automorphisms of $L$ that fix the elements fixed by $H$. (This is practically a tautology, but you may need to read through that two times to see it.) What we are after is a description of those finite extensions $L / K$ where the two inclusions in (1.3) become equalities for all intermediate fields $F$ between $K$ and $L$ and all subgroups $H$ of $\operatorname{Aut}(L / K)$, so the correspondences we have described between intermediate fields between $K$ and $L$ and subgroups of $\operatorname{Aut}(L / K)$ are inverses of each other. The description is due to Galois.

For instance, at a minimum we want the numbers fixed by all $K$-automorphisms of $L$ to be just the elements of $K: L^{\operatorname{Aut}(L / K)}=K$. Equivalently, each element of $L$ not in $K$ should be moved by some $K$-automorphism of $L$.

Not every finite extension has this property. For instance, $\operatorname{Aut}(L / K)$ could be trivial with $[L: K]>1$, so $L^{\operatorname{Aut}(L / K)}=L \neq K$. The following two examples of this phenomenon contain the core difficulties we want to avoid.

Example 1.2. The extension $\mathbf{Q}(\sqrt[3]{2}) / \mathbf{Q}$ has prime degree, so the only intermediate fields are $\mathbf{Q}$ and $\mathbf{Q}(\sqrt[3]{2})$. The group $\operatorname{Aut}(\mathbf{Q}(\sqrt[3]{2}) / \mathbf{Q})$ is trivial: if $\sigma \in \operatorname{Aut}(\mathbf{Q}(\sqrt[3]{2}) / \mathbf{Q})$ then the equation $\sqrt[3]{2}^{3}=2$ implies $\sigma(\sqrt[3]{2})^{3}=2$ after applying $\sigma$ to both sides, so $\sigma(\sqrt[3]{2})=\sqrt[3]{2}$ because $X^{3}-2$ has only one root in $\mathbf{Q}(\sqrt[3]{2})$. Since $\sigma$ fixes $\mathbf{Q}$ pointwise and fixes $\sqrt[3]{2}$, it fixes every element of $\mathbf{Q}(\sqrt[3]{2})$.
Example 1.3. For a positive integer $n>1$ and a field $F$, the polynomial $X^{n}-u$ in $F(u)[X]$ is irreducible since it is Eisenstein at $u$. Let $u^{1 / n}$ denote a root. The field $F(u)\left(u^{1 / n}\right)=$ $F\left(u^{1 / n}\right)$ has degree $n$ over $F(u)$.

If $n=p$ is prime and $F=\mathbf{F}_{p}$ then $X^{p}-u$ has only one root in a splitting field over $F(u)$ : $X^{p}-u=\left(X-u^{1 / p}\right)^{p}$. Thus $\operatorname{Aut}\left(\mathbf{F}_{p}\left(u^{1 / p}\right) / \mathbf{F}_{p}(u)\right)$ is trivial while $\left[\mathbf{F}_{p}\left(u^{1 / p}\right): \mathbf{F}_{p}(u)\right]=p$.

The problem in Example 1.2 is that $X^{3}-2$ has 3 roots in a splitting field over $\mathbf{Q}$ but the field $\mathbf{Q}(\sqrt[3]{2})$ is missing some of these roots: it is not the splitting field of $X^{3}-2$ over Q. This difficulty will be avoided by restricting our attention to normal field extensions (to be defined later). Example 1.3 has a problem of a different sort: the polynomial $X^{p}-u$ has only one root at all in a splitting field over $\mathbf{F}_{p}(u)$. No matter how much we enlarge $\mathbf{F}_{p}\left(u^{1 / p}\right), u^{1 / p}$ will continue to be sent to itself by each $\mathbf{F}_{p}(u)$-automorphism even though $u^{1 / p} \notin \mathbf{F}_{p}(u)$. The trouble here is connected with inseparability. We will eventually want to focus on separable extensions.

## 2. Field automorphisms and permutations of roots

Definition 2.1. The roots of a common irreducible polynomial in $K[X]$ are called $K$ conjugates.

Example 2.2. The numbers $\pm \sqrt{2}$ are $\mathbf{Q}$-conjugate since they both have minimal polynomial $X^{2}-2$ over $\mathbf{Q}$, but they are not $\mathbf{R}$-conjugate since $\sqrt{2}$ has minimal polynomial $X-\sqrt{2}$ and $-\sqrt{2}$ has minimal polynomial $X+\sqrt{2}$.
Example 2.3. In $\mathbf{C}$ the numbers $i$ and $-i$ are $\mathbf{R}$-conjugates, as are (more generally) $a+b i$ and $a-b i$. The name "complex conjugate" should be "real conjugate" from this point of
view, since $a+b i$ and $a-b i$ have the same minimal polynomial over $\mathbf{R}$, but not $\mathbf{C}$ (unless $b=0$ ), but it's too late to change the name.

Theorem 2.4. If $\sigma \in \operatorname{Aut}(L / K)$ and $f(X) \in K[X]$, then $\sigma(f(\alpha))=f(\sigma(\alpha))$ for all $\alpha \in L$. In particular, a K-automorphism of $L$ permutes the roots of $f(X)$ in $L$.

Proof. Write $f(X)=c_{n} X^{n}+c_{n-1} X^{n-1}+\cdots+c_{1} X+c_{0}$, with $c_{i} \in K$. Then $\sigma\left(c_{i}\right)=c_{i}$, so

$$
\begin{aligned}
\sigma(f(\alpha)) & =\sigma\left(c_{n} \alpha^{n}+c_{n-1} \alpha^{n-1}+\cdots+c_{1} \alpha+c_{0}\right) \\
& =\sigma\left(c_{n}\right) \sigma(\alpha)^{n}+\sigma\left(c_{n-1}\right) \sigma(\alpha)^{n-1}+\cdots+\sigma\left(c_{1}\right) \sigma(\alpha)+\sigma\left(c_{0}\right) \\
& =c_{n} \sigma(\alpha)^{n}+c_{n-1} \sigma(\alpha)^{n-1}+\cdots+c_{1} \sigma(\alpha)+c_{0} \\
& =f(\sigma(\alpha)) .
\end{aligned}
$$

If $f(\alpha)=0$ then $f(\sigma(\alpha))=\sigma(f(\alpha))=\sigma(0)=0$, so $\sigma$ sends each root of $f(X)$ in $L$ to a root of $f(X)$ in $L$. The roots of $f(X)$ in $L$ are a finite set and $\sigma$ is an injective function, so its effect on the roots must be a permutation: an injective function of a finite set to itself is surjective too.

Corollary 2.5. The group $\operatorname{Aut}(L / K)$ permutes $K$-conjugates in $L$.
Proof. Let $\alpha \in L$ have minimal polyomial $\pi(X)$ in $K[X]$. Apply Theorem 2.4 to the roots of $\pi(X)$ in $L$.

Example 2.6. Let $K=\mathbf{Q}$ and $L=\mathbf{Q}(\sqrt{2}, \sqrt{3})$. A $K$-automorphism of $L$ sends $\sqrt{2}$ to $\sqrt{2}$ or $-\sqrt{2}$, because $\sqrt{2}$ has minimal polynomial $X^{2}-2 \in \mathbf{Q}[X]$, whose roots in $L$ are $\pm \sqrt{2}$. A $K$-automorphism of $L$ can't send $\sqrt{2}$ to $\sqrt{3}$, for instance, since $\sqrt{3}$ is not a root of $X^{2}-2$. While Corollary 2.5 puts a constraint on where a $K$-automorphism of $L$ could send $\sqrt{2}$ (to roots of $X^{2}-2$ ), it does not assure us that all those options are in fact possible.

Example 2.7. Let $K=\mathbf{Q}$ and $L=\mathbf{Q}(\sqrt[4]{2})$. The field $L$ contains $\sqrt{2}=\sqrt[4]{2}{ }^{2}$, and a $K$-automorphism of $L$ can only send $\sqrt{2}$ to $\pm \sqrt{2}$ by the same argument as in the previous example. But in fact it is impossible for a $K$-automorphism of $L$ to send $\sqrt{2}$ to $-\sqrt{2}$.

Each $\sigma \in \operatorname{Aut}(\mathbf{Q}(\sqrt[4]{2}) / \mathbf{Q})$ sends $\sqrt[4]{2}$ to $\pm \sqrt[4]{2}$ (roots of $X^{4}-2$ in $\mathbf{Q}(\sqrt[4]{2})$ are permuted by $\sigma$ ), and $\sqrt{2}=\sqrt[4]{2}^{2}$, so $\sigma(\sqrt{2})=\sigma\left(\sqrt[4]{2}^{2}\right)=\sigma(\sqrt[4]{2})^{2}=( \pm \sqrt[4]{2})^{2}=\sqrt{2}$. Therefore no $\mathbf{Q}$-automorphism of $\mathbf{Q}(\sqrt[4]{2})$ sends $\sqrt{2}$ to $-\sqrt{2}$ even though they have the same minimal polynomial over $\mathbf{Q}$.

Example 2.8. Let $K=\mathbf{Q}$ and $L=\mathbf{Q}(\sqrt[3]{2}, \omega)$, where $\omega$ is a nontrivial cube root of unity. The polynomial $X^{3}-2$ has 3 roots in $L: \sqrt[3]{2}, \omega \sqrt[3]{2}$, and $\omega^{2} \sqrt[3]{2}$. Each $K$-automorphism of $L$ permutes these 3 roots. Are all six permutations of these 3 roots realized by $K$ automorphisms of $L$ ?

Example 2.9. Let $K=\mathbf{Q}$ and $L=\mathbf{Q}(\sqrt[4]{2}, i)$. The polynomial $X^{4}-2$ has all four roots in $L: \pm \sqrt[4]{2}$ and $\pm i \sqrt[4]{2}$. These four roots have $4!=24$ permutations. Is every permutation of these four numbers the restriction of some $K$-automorphism of $L$ ?

We will see later that for special finite extensions $L / K$, every two $K$-conjugates $\alpha$ and $\beta$ in $L$ are related by $\operatorname{Aut}(L / K): \beta=\sigma(\alpha)$ for some $\sigma \in \operatorname{Aut}(L / K)$. This connects the study of roots of an irreducible polynomial to group theory.

## 3. Bounding the automorphism group

If $L / K$ is a finite extension of fields, we will show the group $\operatorname{Aut}(L / K)$ is finite and then try to bound its size.

Theorem 3.1. For every finite extension $L / K$, the group $\operatorname{Aut}(L / K)$ is finite.
Proof. Write $L=K\left(\alpha_{1}, \ldots, \alpha_{n}\right)$. Every $\sigma \in \operatorname{Aut}(L / K)$ is determined by $\sigma\left(\alpha_{1}\right), \ldots, \sigma\left(\alpha_{n}\right)$, and $\sigma\left(\alpha_{i}\right)$ is restricted to lie among the finitely many $K$-conjugates of $\alpha_{i}$ in $L$. So each element of $\operatorname{Aut}(L / K)$ is determined by a finite amount of information, which makes the group $\operatorname{Aut}(L / K)$ finite.

In the special case that $L / K$ is a splitting field of some polynomial in $K[X]$, we will show in Corollary 3.6 that $[L: K]$ is an upper bound on $|\operatorname{Aut}(L / K)|$. This will follow from a technical theorem on the number of ways to extend an isomorphism of fields to an isomorphism of splitting fields.

Every field homomorphism $\sigma: F \rightarrow F^{\prime}$ extends to a homomorphism $\sigma: F[X] \rightarrow F^{\prime}[X]$ as follows: for $f(X)=\sum_{i=0}^{n} c_{i} X^{i} \in F[X]$, set $(\sigma f)(X)=\sum_{i=0}^{n} \sigma\left(c_{i}\right) X^{i} \in F^{\prime}[X]$. We call this map "applying $\sigma$ to the coefficients." For $\alpha \in F, \sigma(f(\alpha))=(\sigma f)(\sigma(\alpha))$. That is proved in the same way as Theorem 2.4, but unlike there the coefficients of $f(X)$ are not fixed by $\sigma$ but get replaced by their $\sigma$-values.

Example 3.2. Let $F=F^{\prime}=\mathbf{Q}(i), \sigma: F \rightarrow F^{\prime}$ be complex conjugation, and $f(X)=$ $X^{2}+i X+1-3 i$. In $F^{\prime}[X],(\sigma f)(X)=X^{2}+\sigma(i) X+\sigma(1-3 i)=X^{2}-i X+1+3 i$.

For each $\alpha \in F$, we have

$$
\sigma(f(\alpha))=\sigma\left(\alpha^{2}+i \alpha+1-3 i\right)=\sigma\left(\alpha^{2}\right)+\sigma(i \alpha)+\sigma(1-3 i)=\sigma(\alpha)^{2}-i \sigma(\alpha)+1+3 i
$$

and observe that this final value is $(\sigma f)(\sigma(\alpha))$, not $(\sigma f)(\alpha)$.
Applying $\sigma$ to coefficients is a ring homomorphism $F[X] \rightarrow F^{\prime}[X]: \sigma(f+g)=\sigma f+\sigma g$ and $\sigma(f g)=(\sigma f)(\sigma g)$ for all $f$ and $g$ in $F[X]$, and trivially $\sigma(1)=1$. It also preserves degrees and the property of being monic. If $f(X)$ splits completely in $F[X]$ then $(\sigma f)(X)$ splits completely in $F^{\prime}[X]$ since linear factors get sent to linear factors.

Example 3.3. Continuing with the notation of the previous example, $X^{2}+i X+1-3 i=$ $(X-(1+i))(X+(1+2 i))$ and

$$
\sigma(X-(1+i)) \sigma(X+(1+2 i))=(X-1+i)(X+1-2 i)=X^{2}-i X+1+3 i
$$

which is $\sigma\left(X^{2}+i X+1-3 i\right)$.
The extension of a homomorphism $F \rightarrow F^{\prime}$ to a homomorphism $F[X] \rightarrow F^{\prime}[X]$ by acting on coefficients will be used in the proof of the next theorem.

Theorem 3.4. Let $\sigma: K \rightarrow K^{\prime}$ be an isomorphism of fields, $f(X) \in K[X], L$ be a splitting field of $f(X)$ over $K$ and $L^{\prime}$ be a splitting field of $(\sigma f)(X)$ over $K^{\prime}$. Then $[L: K]=\left[L^{\prime}: K^{\prime}\right]$, $\sigma$ extends to an isomorphism $L \rightarrow L^{\prime}$ and the number of such extensions is at most $[L: K]$.


Proof. (This proof is long, and might be skipped on a first reading.)
We argue by induction on $[L: K]$. If $[L: K]=1$ then $f(X)$ splits completely in $K[X]$ so $(\sigma f)(X)$ splits completely in $K^{\prime}[X]$. Therefore $L^{\prime}=K^{\prime}$, so $\left[L^{\prime}: K^{\prime}\right]=1$. The only extension of $\sigma$ to $L$ in this case is $\sigma$.

Suppose $[L: K]>1$. Since $L$ is generated as a field over $K$ by the roots of $f(X), f(X)$ has a root $\alpha \in L$ that is not in $K$. Fix this $\alpha$ for the rest of the proof. Let $\pi(X)$ be the minimal polynomial of $\alpha$ over $K$, so $\alpha$ is a root of $\pi(X)$ and $\pi(X) \mid f(X)$ in $K[X]$. If there's going to be an isomorphism $\widetilde{\sigma}: L \rightarrow L^{\prime}$ extending $\sigma$, then $\widetilde{\sigma}$ must send $\alpha$ to a root of $(\sigma \pi)(X)$ :

$$
\pi(\alpha)=0 \Rightarrow \widetilde{\sigma}(\pi(\alpha))=\widetilde{\sigma}(0) \Rightarrow(\widetilde{\sigma} \pi)(\widetilde{\sigma}(\alpha))=0 \Rightarrow(\sigma \pi)(\widetilde{\sigma}(\alpha))=0
$$

where the last step comes from $\pi(X)$ having coefficients in $K$ (so $\widetilde{\sigma}=\sigma$ on those coefficients). So we know where the candidates for $\widetilde{\sigma}(\alpha)$ have to come from: roots of $(\sigma \pi)(X)$.

Now we show $(\sigma \pi)(X)$ has a root in $L^{\prime}$. Since $\sigma$ is an isomorphism from $K$ to $K^{\prime}$, applying $\sigma$ to coefficients is a ring isomorphism from $K[X]$ to $K^{\prime}[X]$ (the inverse map is applying $\sigma^{-1}$ to coefficients in $\left.K^{\prime}[X]\right)$, so $\pi(X)|f(X) \Rightarrow(\sigma \pi)(X)|(\sigma f)(X)$. Since $\pi(X)$ is monic irreducible, $(\sigma \pi)(X)$ is also monic irreducible (a ring isomorphism preserves irreducibility). The polynomial $(\sigma f)(X)$ splits completely in $L^{\prime}[X]$ by the definition of $L^{\prime}$, so its factor $(\sigma \pi)(X)$ also splits completely over $L^{\prime}$ and thus has a root in $L^{\prime}$. Choose a root $\beta \in L^{\prime}$ of $(\sigma \pi)(X)$. Set $d=\operatorname{deg} \pi(X)=\operatorname{deg}(\sigma \pi)(X)$, so $d>1$ (because $d=[K(\alpha): K]>1)$ and there are at most $d$ choices for $\beta$. Consider the following field diagram, where the intermediate fields have degree $d$ since $\alpha$ and $\beta$ have minimal polynomials $\pi(X)$ and $(\sigma \pi)(X)$ over $K$ and $K^{\prime}$.


To show there is a unique extension of $\sigma$ to a field isomorphism $K(\alpha) \rightarrow K^{\prime}(\beta)$ such that $\sigma(\alpha)=\beta$, first we handle uniqueness. If $\sigma^{\prime}: K(\alpha) \rightarrow K^{\prime}(\beta)$ extends $\sigma$ and $\sigma^{\prime}(\alpha)=\beta$, then the value of $\sigma^{\prime}$ is determined everywhere on $K(\alpha)$ because

$$
\begin{equation*}
\sigma^{\prime}\left(\sum_{i=0}^{m} c_{i} \alpha^{i}\right)=\sum_{i=0}^{m} \sigma^{\prime}\left(c_{i}\right)\left(\sigma^{\prime}(\alpha)\right)^{i}=\sum_{i=0}^{m} \sigma\left(c_{i}\right) \beta^{i} \tag{3.1}
\end{equation*}
$$

In other words, a $K$-polynomial in $\alpha$ has to go over to the corresponding $K^{\prime}$-polynomial in $\beta$ where $\sigma$ is applied to the coefficients. So $\sigma^{\prime}$ is unique. To prove $\sigma^{\prime}$ exists, we will build an isomorphism from $K(\alpha)$ to $K^{\prime}(\beta)$ with the help of the evaluation isomorphisms

$$
\begin{equation*}
K[X] /(\pi(X)) \cong K(\alpha) \quad \text { and } \quad K^{\prime}[X] /((\sigma \pi)(X)) \cong K^{\prime}(\beta) \tag{3.2}
\end{equation*}
$$

that send $X$ to $\alpha$ and to $\beta$. On the level of polynomial rings, the isomorphism $K[X] \rightarrow K^{\prime}[X]$ that is $\sigma$ on coefficients sends $\pi(X)$ to $(\sigma \pi)(X)$, so it identifies the ideals $(\pi(X))$ in $K[X]$ and $((\sigma \pi)(X))$ in $K^{\prime}[X]$. Reducing $K[X]$ and $K^{\prime}[X]$ modulo these ideals tells us

$$
\begin{equation*}
K[X] /(\pi(X)) \cong K^{\prime}[X] /((\sigma \pi)(X)) \tag{3.3}
\end{equation*}
$$

where $g(X) \bmod \pi(X) \mapsto(\sigma g)(X) \bmod (\sigma \pi)(X)$. Composition of the isomorphisms in (3.2) and (3.3) provides us with an isomorphism $K(\alpha) \rightarrow K^{\prime}(\beta)$ that, by tracing through its construction, equals $\sigma$ on $K$ and sends $\alpha$ to $\beta$. So $\sigma^{\prime}$ exists and we place it in the field diagram below.


Now we can use induction on degrees of splitting fields by the trick of replacing the base fields $K$ and $K^{\prime}$ with $K(\alpha)$ and $K^{\prime}(\beta)$. Since $L$ is a splitting field of $f(X)$ over $K$, it's also a splitting field of $f(X)$ over the larger field $K(\alpha)$. Similarly, $L^{\prime}$ is a splitting field of $(\sigma f)(X)$ over $K^{\prime}$ and thus also over $K^{\prime}(\beta)$. Since $f(X)$ has its coefficients in $K$, $\left(\sigma^{\prime} f\right)(X)=(\sigma f)(X)$. So the top square in the diagram above is similar to the square in the theorem (same polynomials and same splitting fields, with bigger base fields), except now the splitting field degrees have dropped: since $d>1$,

$$
[L: K(\alpha)]=\frac{[L: K]}{d}<[L: K] .
$$

By induction, $[L: K(\alpha)]=\left[L^{\prime}: K^{\prime}(\beta)\right]$ and $\sigma^{\prime}$ has an extension to a field isomorphism $L \rightarrow L^{\prime}$. Since $\sigma^{\prime}$ extends $\sigma, \sigma$ has an extension to an isomorphism $L \rightarrow L^{\prime}$ and

$$
[L: K]=[L: K(\alpha)] d=\left[L^{\prime}: K^{\prime}(\beta)\right] d=\left[L^{\prime}: K^{\prime}\right] .
$$

It remains to show $\sigma$ has at most $[L: K]$ extensions to an isomorphism $L \rightarrow L^{\prime}$. First we show every isomorphism $\widetilde{\sigma}: L \rightarrow L^{\prime}$ extending $\sigma$ arises as the extension of some intermediate isomorphism $\sigma^{\prime}$ of $K(\alpha)$ with a subfield of $L^{\prime}$. From the start of the proof, $\widetilde{\sigma}(\alpha)$ has to be a root of $(\sigma \pi)(X)$. Define $\beta:=\widetilde{\sigma}(\alpha)$. Since $\left.\widetilde{\sigma}\right|_{K}=\sigma$, the restriction of $\widetilde{\sigma}$ to $K(\alpha)$ is a field homomorphism that is $\sigma$ on $K$ and sends $\alpha$ to $\beta$, so $\left.\widetilde{\sigma}\right|_{K(\alpha)}$ is an isomorphism with image $K^{\prime}(\widetilde{\sigma}(\alpha))=K^{\prime}(\beta)$. Therefore $\widetilde{\sigma}$ on $L$ is a lift of the intermediate field isomorphism $\sigma^{\prime}:=\left.\widetilde{\sigma}\right|_{K(\alpha)}$.


By induction on degrees of splitting fields, $\sigma^{\prime}$ lifts to at most $[L: K(\alpha)]$ isomorphisms $L \rightarrow L^{\prime}$. Since $\sigma^{\prime}$ is determined by $\sigma^{\prime}(\alpha)$, which is a root of $(\sigma \pi)(X)$, the number of maps $\sigma^{\prime}$ is at $\operatorname{most} \operatorname{deg}(\sigma \pi)(X)=d$. The number of isomorphisms $L \rightarrow L^{\prime}$ that lift $\sigma$ is the number of maps $\sigma^{\prime}$ out of $K(\alpha)$ times the number of extensions of each $\sigma^{\prime}$ to an isomorphism $L \rightarrow L^{\prime}$, and that total is at most $d[L: K(\alpha)]=[L: K]$.

Example 3.5. The extension $\mathbf{Q}(\sqrt{2}, \sqrt{3}) / \mathbf{Q}$ has degree 4. In Theorem 3.4 if we use $K=$ $K^{\prime}=\mathbf{Q}, L=L^{\prime}=\mathbf{Q}(\sqrt{2}, \sqrt{3})$, and $\sigma=$ the identity map on $\mathbf{Q}$, then there are at most 4 automorphisms of $\mathbf{Q}(\sqrt{2}, \sqrt{3})$ that are the identity on $\mathbf{Q}$. That is, $|\operatorname{Aut}(\mathbf{Q}(\sqrt{2}, \sqrt{3}) / \mathbf{Q})| \leq 4$. This does not guarantee that our bound of 4 is the actual size (which it is).

Here is the most important special case of Theorem 3.4.
Corollary 3.6. If $L$ is a splitting field over $K$ of a polynomial in $K[X]$, then $|\operatorname{Aut}(L / K)| \leq$ [ $L: K]$.
Proof. Apply Theorem 3.4 with $K^{\prime}=K, L^{\prime}=L$, and $\sigma$ the identity function on $K$. Extensions of the identity function on $K$ to isomorphisms $L \rightarrow L$ are precisely the elements of $\operatorname{Aut}(L / K)$. (Notice, incidentally, that to make the induction work in the proof of Theorem 3.4 we must allow a general isomorphism of base fields even if our main application of interest is the case $K=K^{\prime}$ and $\sigma$ equal to the identity on $K$.)

Now we will add a separability assumption and get a stronger conclusion: the upper bound in Theorem 3.4 is reached.
Theorem 3.7. Let $\sigma: K \rightarrow K^{\prime}$ be an isomorphism of fields, $f(X) \in K[X], L$ be a splitting field of $f(X)$ over $K$ and $L^{\prime}$ be a splitting field of $(\sigma f)(X)$ over $K^{\prime}$. If $f(X)$ is separable then there are $[L: K]$ extensions of $\sigma$ to an isomorphism $L \rightarrow L^{\prime}$.
Proof. We may assume $[L: K]>1$. As in the previous proof, let $\alpha$ be a root of $f(X)$ that is not in $K, \pi(X)$ be its minimal polynomial over $K$, and $d=\operatorname{deg} \pi(X)$.

Because $f(X)$ is separable, $(\sigma f)(X)$ is separable too. One way to show this is with the characterization of separability in terms of relative primality to the derivative: we can write

$$
\begin{equation*}
f(X) u(X)+f^{\prime}(X) v(X)=1 \tag{3.5}
\end{equation*}
$$

for some $u(X)$ and $v(X)$ in $K[X]$. Applying $\sigma$ to coefficients commutes with forming derivatives (that is, $\left.\sigma\left(f^{\prime}\right)=(\sigma f)^{\prime}\right)$, so if we apply $\sigma$ to coefficients in (3.5) then we get

$$
(\sigma f)(X)(\sigma u)(X)+(\sigma f)^{\prime}(X)(\sigma v)(X)=1,
$$

so $(\sigma f)(X)$ and its derivative are relatively prime in $K^{\prime}[X]$. This last polynomial identity proves $(\sigma f)(X)$ is separable. Every factor of a separable polynomial is separable, so $(\sigma \pi)(X)$ is separable and therefore has $d$ roots in $L^{\prime}$ since it splits completely over $L^{\prime}$.

From the proof of Theorem 3.4, the extensions of $\sigma$ to an embedding $\sigma^{\prime}: K(\alpha) \rightarrow L^{\prime}$ are in bijection with the roots $\beta$ of $(\sigma \pi)(X)$ in $L^{\prime}$. Since $(\sigma \pi)(X)$ splits completely over $L^{\prime}$ and is separable, it has $d$ roots in $L^{\prime}$, so the number of maps $\sigma^{\prime}$ is $d$ (and not just at most $d$ ).


Since $[L: K(\alpha)]<[L: K]$ and $L$ is a splitting field of $f(X)$ over $K(\alpha)$, by induction on the degree of a splitting field (along with the new separability hypothesis on $f(X)$ ), each $\sigma^{\prime}$ has $[L: K(\alpha)]$ extensions to an isomorphism $L \rightarrow L^{\prime}$. Since there are $d$ choices for $\sigma^{\prime}$, the total number of extensions of $\sigma$ to an isomorphism $L \rightarrow L^{\prime}$ is $d[L: K(\alpha)]=[L: K]$.

Corollary 3.8. If $L / K$ is the splitting field of a separable polynomial then $|\operatorname{Aut}(L / K)|=$ [ $L: K]$.
Proof. Apply Theorem 3.7 with $K^{\prime}=K, L^{\prime}=L$, and $\sigma$ the identity function on $K$.
Example 3.9. The extension $\mathbf{Q}(\sqrt{2}, \sqrt{3}) / \mathbf{Q}$ is a splitting field of $\left(X^{2}-2\right)\left(X^{2}-3\right)$. Its degree is 4 , so $|\operatorname{Aut}(\mathbf{Q}(\sqrt{2}, \sqrt{3}) / \mathbf{Q})|=4$. This improves Example 3.5 from an upper bound of 4 to an exact count of 4 . We will use this a priori count to find all the automorphisms.

Since $\sqrt{2}$ and $\sqrt{3}$ generate the extension $\mathbf{Q}(\sqrt{2}, \sqrt{3}) / \mathbf{Q}$, each $\sigma \in \operatorname{Aut}(\mathbf{Q}(\sqrt{2}, \sqrt{3}) / \mathbf{Q})$ is determined by $\sigma(\sqrt{2})$ and $\sigma(\sqrt{3})$, which are $\pm \sqrt{2}$ and $\pm \sqrt{3}$. Combining the choices in all possible ways, we get at most 4 choices for $\sigma$. See Table 1 . Corollary 3.8 says there are 4 choices for $\sigma$, so every possibility in Table 1 must work. Notice that we draw this conclusion because we know in advance how many $\sigma$ 's exist., before computing the possibilities explicitly.

| $\sigma(\sqrt{2})$ | $\sigma(\sqrt{3})$ |
| :---: | :---: |
| $\sqrt{2}$ | $\sqrt{3}$ |
| $\sqrt{2}$ | $-\sqrt{3}$ |
| $-\sqrt{2}$ | $\sqrt{3}$ |
| $-\sqrt{2}$ | $-\sqrt{3}$ |
| TABLE 1. |  |

Example 3.10. Let $K=\mathbf{Q}(i)$ and $\sigma$ be complex conjugation on $K$. The number $1+2 i$ is not a square in $K$ (pf: its norm down to $\mathbf{Q}$ is 5 , which is not a square in $\mathbf{Q}$ ). Thus the field $L=\mathbf{Q}(i, \sqrt{1+2 i})$ has degree 2 over $K$. Complex conjugation on $K$ sends $X^{2}-(1+2 i)$ to $X^{2}-(1-2 i)$ in $K[X]$, so by Theorem 3.7 with $K^{\prime}=K$, complex conjugation on $K$ extends in two ways to an isomorphism $L \rightarrow L^{\prime}$, where $L^{\prime}=\mathbf{Q}(i, \sqrt{1-2 i})$. These two extensions of complex conjugation from $K \rightarrow K$ to $L \rightarrow L^{\prime}$ are determined by where $\sqrt{1+2 i}$ is sent in $L^{\prime}$; a root of $X^{2}-(1+2 i)$ must go to a root of $X^{2}-(1-2 i)$, so $\sqrt{1+2 i}$ must be sent to one of the two square roots of $1-2 i$ in $L^{\prime}$. Theorem 3.7 tells us that both options work (that is, each choice arises from an isomorphism $L \rightarrow L^{\prime}$ extending complex conjugation).
Example 3.11. The extension $\mathbf{Q}(\sqrt[4]{2}) / \mathbf{Q}$ has degree 4. It is not the splitting field of $X^{4}-2$. We will show the group $\operatorname{Aut}(\mathbf{Q}(\sqrt[4]{2}) / \mathbf{Q})$ has order 2 , which is smaller than the degree of the extension.

In Example 2.7 we saw that every $\mathbf{Q}$-automorphism of $\mathbf{Q}(\sqrt[4]{2})$ fixes $\sqrt{2}$, and therefore fixes every number in $\mathbf{Q}(\sqrt{2})$. So $\operatorname{Aut}(\mathbf{Q}(\sqrt[4]{2}) / \mathbf{Q}) \subset \operatorname{Aut}(\mathbf{Q}(\sqrt[4]{2}) / \mathbf{Q}(\sqrt{2}))$. The reverse inclusion is obvious (yes?), so $\operatorname{Aut}(\mathbf{Q}(\sqrt[4]{2}) / \mathbf{Q})=\operatorname{Aut}(\mathbf{Q}(\sqrt[4]{2}) / \mathbf{Q}(\sqrt{2}))$. While $\mathbf{Q}(\sqrt[4]{2}) / \mathbf{Q}$ does not appear to be a splitting field, the quadratic extension $\mathbf{Q}(\sqrt[4]{2}) / \mathbf{Q}(\sqrt{2})$ is a splitting field: it's the splitting field for $T^{2}-\sqrt{2}$, with roots $\pm \sqrt[4]{2}$, so $|\operatorname{Aut}(\mathbf{Q}(\sqrt[4]{2}) / \mathbf{Q}(\sqrt{2}))|=2$. What are the two automorphisms? They're determined by where they send $\sqrt[4]{2}$, and this number can only go to $\pm \sqrt[4]{2}$ (the roots of $X^{2}-\sqrt{2}$ ). Since these are just two possibilities, and we know there are two automorphisms, both choices work. Explicitly, the automorphism of $\mathbf{Q}(\sqrt[4]{2}) / \mathbf{Q}$ sending $\sqrt[4]{2}$ to $\sqrt[4]{2}$ is the identity and the automorphism of $\mathbf{Q}(\sqrt[4]{2}) / \mathbf{Q}$ sending $\sqrt[4]{2}$ to $-\sqrt[4]{2}$ has the formula $x+y \sqrt[4]{2} \mapsto x-y \sqrt[4]{2}$ with $x, y \in \mathbf{Q}(\sqrt{2})$.

In Theorem 3.7, it's crucial that $L^{\prime}$ is the splitting field of the polynomial $(\sigma f)(X)$, and not the splitting field of $f(X)$ itself (unless $\sigma$ is the identity on $K$ ). Theorem 3.7 does not
say that each automorphism of $K$ extends to an automorphism of a splitting field over $K$; in fact, such an extension to a splitting field might not exist if $\sigma$ is not the identity on $K$.
Example 3.12. Let $K=\mathbf{Q}(i)$. We saw in Example 3.10 that complex conjugation on $K$ has two extensions to an isomorphism $L \rightarrow L^{\prime}$, where $L=\mathbf{Q}(i, \sqrt{1+2 i})$ and $L^{\prime}=\mathbf{Q}(i, \sqrt{1-2 i})$. However, complex conjugation has no extension to an automorphism of $L$.

Indeed, suppose there is an automorphism $\varphi: L \rightarrow L$ that is complex conjugation on $K$. Set $\alpha=\sqrt{1+2 i}$. Then $\alpha^{2}=1+2 i$, so applying $\varphi$ to both sides implies $\varphi(\alpha)^{2}=1-2 i$. Therefore $1-2 i$ would be a square in $L$, so $\sqrt{1-2 i} \in L$, which implies $L^{\prime}=L$. We will show this is impossible by contradiction.

Writing $L^{\prime}=L$ as $K(\sqrt{1-2 i})=K(\sqrt{1+2 i})$, it follows that $(1+2 i) /(1-2 i)=-3 / 5+$ $(4 / 5) i$ is a square in $K$. Writing $-3 / 5+(4 / 5) i=(a+b i)^{2}$ with rational $a$ and $b$, equating real and imaginary parts implies $a^{2}-b^{2}=-3 / 5$ and $b=2 /(5 a)$. Substituting the second equation into the first and clearing denominators, $25 a^{4}+15 a^{2}-4=0$. However, $25 X^{4}+$ $15 X^{2}-4=\left(5 X^{2}-1\right)\left(5 X^{2}+4\right)$ has no rational roots.

We're interested in those finite extensions $L / K$ where

$$
\begin{equation*}
|\operatorname{Aut}(L / K)|=[L: K] . \tag{3.6}
\end{equation*}
$$

Corollary 3.8 says this happens if $L$ is the splitting field over $K$ of a separable polynomial. It is a significant fact, from the next theorem, that the converse is true as well.
Theorem 3.13. If $L / K$ is a finite extension and $|\operatorname{Aut}(L / K)|=[L: K]$ then
(1) $L^{\operatorname{Aut}(L / K)}=K$,
(2) $L / K$ is separable (that is, every number in $L$ has a separable minimal polynomial in $K[X]$ ),
(3) for $\alpha \in L$, its $K$-conjugates are $\sigma(\alpha)$ as $\sigma$ runs over $\operatorname{Aut}(L / K)$,
(4) every irreducible polynomial in $K[X]$ with a root in $L$ splits in $L[X]$,
(5) $L$ is the splitting field over $K$ of a separable polynomial.

Proof. First we show (5) is a consequence of (2) and (4). Write $L=K\left(\alpha_{1}, \ldots, \alpha_{n}\right)$ and let $\pi_{i}(X)$ be the minimal polynomial of $\alpha_{i}$ in $K[X]$. By (2), each $\pi_{i}(X)$ is separable in $K[X]$. By (4), each $\pi_{i}(X)$ splits completely over $L$ since $\pi_{i}(X)$ has a root $\alpha_{i}$ in $L$. Therefore $L$ is a splitting field over $K$ of the product of the $\pi_{i}(X)$ 's without repetition, and that product is separable.

Now we turn to the proof of the first four conditions. Set

$$
F=L^{\operatorname{Aut}(L / K)},
$$

so $F$ consists of the elements of $L$ that are fixed by $\operatorname{Aut}(L / K)$. Eventually we will see $F=K$, but for now we can just say this is a field between $K$ and $L$.


Since $K \subset F \subset L, \operatorname{Aut}(L / F) \subset \operatorname{Aut}(L / K)$. Each element of $\operatorname{Aut}(L / K)$ fixes each element of $F$ by the definition of $F$, so $\operatorname{Aut}(L / K) \subset \operatorname{Aut}(L / F)$. Therefore $\operatorname{Aut}(L / K)=\operatorname{Aut}(L / F)$,
so (by definition) $L^{\operatorname{Aut}(L / F)}=F$. In other words, we've proved (1) with $F$ in place of $K$. We are going to prove (2), (3), and (4) with $F$ in place of $K$, and only then will we prove $F=K$ (part (1)), after which we get (2), (3), and (4) as in the theorem using $K$.

To show $L / F$ is separable, pick $\alpha \in L$. We are going to directly write down a separable polynomial in $F[X]$ with $\alpha$ as a root. Let $\{\sigma(\alpha): \sigma \in \operatorname{Aut}(L / F)\}$ have distinct members $\left\{\sigma_{1}(\alpha), \ldots, \sigma_{m}(\alpha)\right\}$. (For example, if $\alpha \in F$ then this set has one term in it even though $\operatorname{Aut}(L / F)$ could be large.) We will show the polynomial with these different roots,

$$
h_{\alpha}(X)=\prod_{i=1}^{m}\left(X-\sigma_{i}(\alpha)\right),
$$

is in $F[X]$; note it is separable by construction. Why are the coefficients of $h_{\alpha}(X)$ in $F$ ? For $\sigma \in \operatorname{Aut}(L / F)$, each $\sigma\left(\sigma_{i}(\alpha)\right)=\left(\sigma \sigma_{i}\right)(\alpha)$ is some $\sigma_{j}(\alpha)$ by the definition of $\left\{\sigma_{1}(\alpha), \ldots, \sigma_{m}(\alpha)\right\}$. So $\sigma$ sends this finite set back to itself. It does so injectively, so it must do so surjectively too. Therefore applying $\sigma$ to coefficients, which is a ring automorphism of $L[X]$, sends $h_{\alpha}(X)$ to

$$
\prod_{i=1}^{m}\left(X-\sigma\left(\sigma_{i}(\alpha)\right)\right)=\prod_{j=1}^{m}\left(X-\sigma_{j}(\alpha)\right)=h_{\alpha}(X) .
$$

Thus $h_{\alpha}(X) \in L^{\operatorname{Aut}(L / F)}[X]=F[X]$.
Next we show $h_{\alpha}(X)$ is the minimal polynomial of $\alpha$ in $F[X]$. Suppose $f(X) \in F[X]$ has $\alpha$ as a root. We will show $h_{\alpha}(X) \mid f(X)$. For $\sigma \in \operatorname{Aut}(L / F), f(\sigma(\alpha))=\sigma(f(\alpha))=\sigma(0)=0$, so $f(X)$ is divisible by $X-\sigma(\alpha)$. Running over the distinct values of $\sigma(\alpha)$ shows $f(X)$ is divisible by their product $h_{\alpha}(X)$, so $h_{\alpha}(X)$ is the minimal polynomial of $\alpha$ in $F[X]$. From the definition of $h_{\alpha}(X)$ and its minimality, the $F$-conjugates of $\alpha$ are $\sigma(\alpha)$ as $\sigma$ runs over $\operatorname{Aut}(L / F)$, which is (3) with $F$ in place of $K$.

To show every irreducible $\pi(X) \in F[X]$ with a root in $L$ splits in $L[X]$, let $\gamma \in L$ be a root of $\pi(X)$. Since $\pi(X)$ is irreducible over $F$, it is the minimal polynomial of $\gamma$ in $F[X]$, so by our previous construction of minimal polynomials we have $\pi(X)=h_{\gamma}(X)$. From the definition of $h_{\gamma}(X)$, this polynomial splits completely in $L[X]$.

So far we have proved (2), (3), and (4) with $F$ in place of $K$. The reasoning at the start of the proof that (2) and (4) imply (5) shows, with $F$ in place of $K$, that $L$ is a splitting field over $F$ of a separable polynomial. Therefore $|\operatorname{Aut}(L / F)|=[L: F]$ by Corollary 3.8. Since $\operatorname{Aut}(L / K)=\operatorname{Aut}(L / F)$ and by hypothesis $|\operatorname{Aut}(L / K)|=[L: K]$, we have $[L: K]=[L: F]$. Since $K \subset F \subset L$, the degree formula implies $F=K$. Now (1), (2), (3), and (4) are true for $K$, so we're done.

Condition (4) in Theorem 3.13 has a name.
Definition 3.14. An algebraic extension $L / K$ is called normal if every irreducible in $K[X]$ with a root in $L$ splits completely in $L[X]$.
Example 3.15. A quadratic extension, separable or not (e.g., even in characteristic 2) is normal. If $[L: K]=2$ then every element of $L$ has a minimal polynomial in $K[X]$ of degree 1 or 2 , so only monic irreducibles in $K[X]$ of degree 1 or 2 could have a root in $L$. Skipping over the trivial linear case, if $X^{2}+b X+c \in K[X]$ has the root $r \in L$, so $r^{2}+b r+c=0$, then

$$
X^{2}+b X+c=(X-r)(X+b+r) .
$$

Therefore this polynomial has a full set of roots in $L: r$ and $-b-r$.

By Theorem 3.13, if $|\operatorname{Aut}(L / K)|=[L: K]$ then $L / K$ is normal because the minimal polynomial of each $\alpha \in L$ over $K$ is $h_{\alpha}(X)$, which splits completely over $L$ by its definition. Corollary 3.8 tells us now that the splitting field of a separable polynomial is a normal extension.

Example 3.16. The extensions $\mathbf{Q}(\sqrt[3]{2}, \omega) / \mathbf{Q}, \mathbf{Q}(\sqrt[4]{2}, i) / \mathbf{Q}$, and $\mathbf{Q}(\sqrt{2}, \sqrt{3}) / \mathbf{Q}$ are normal since $\mathbf{Q}(\sqrt[3]{2}, \omega)$ is a splitting field over $\mathbf{Q}$ of $X^{3}-2, \mathbf{Q}(\sqrt[4]{2}, i)$ is a splitting field over $\mathbf{Q}$ of $X^{4}-2$, and $\mathbf{Q}(\sqrt{2}, \sqrt{3})$ is a splitting field over $\mathbf{Q}$ of $\left(X^{2}-2\right)\left(X^{2}-3\right)$. That is, for each number in one of the fields $\mathbf{Q}(\sqrt[3]{2}, \omega), \mathbf{Q}(\sqrt[4]{2}, i)$, or $\mathbf{Q}(\sqrt{2}, \sqrt{3})$, all of its $\mathbf{Q}$-conjugates are also in the field.

Example 3.17. The extensions $\mathbf{Q}(\sqrt[3]{2}) / \mathbf{Q}$ and $\mathbf{Q}(\sqrt[4]{2}) / \mathbf{Q}$ are not normal: $X^{3}-2$ and $X^{4}-2$ are irreducible in $\mathbf{Q}[X]$ and they have no multiple roots, but $X^{3}-2$ has only one root in $\mathbf{Q}(\sqrt[3]{2})$ and $X^{4}-2$ has only two roots in $\mathbf{Q}(\sqrt[4]{2})$.

Since an irreducible polynomial in $K[X]$ with a root in $L$ is the minimal polynomial over $K$ of that root, $L / K$ is normal if and only if for each $\alpha \in L$ the minimal polynomial of $\alpha$ over $K$ splits completely in $L[X]$.

The following theorem, which we will not need, lets us recognize normal extensions in practice. The proof will use the theorem that symmetric polynomials are polynomials in the elementary symmetric functions.

Theorem 3.18. For a finite extension $L / K$, the following are equivalent:
(1) $L / K$ is normal,
(2) $L$ is the splitting field over $K$ of a polynomial in $K[X]$.

Proof. To show (1) implies (2), write $L=K\left(\alpha_{1}, \ldots, \alpha_{r}\right)=K\left[\alpha_{1}, \ldots, \alpha_{r}\right]$ and let $f_{i}(X) \in$ $K[X]$ be the minimal polynomial of $\alpha_{i}$ in $K[X]$. Since $L / K$ is normal, each $f_{i}(X)$ splits completely in $L[X]$ (because it has a root in $L$ ). Therefore $L$ is a splitting field over $K$ of $f_{1}(X) \cdots f_{r}(X)$.

To show (2) implies (1) we will use the symmetric function theorem: every symmetric polynomial in $n$ variables with coefficients in $K$ is a polynomial in the elementary symmetric functions of those $n$ variables with coefficients in $K$. Let $\pi(X) \in K[X]$ be irreducible with a root in $L$, say $\alpha$. We want to show $\pi(X)$ splits completely over $L$. We are going to show $\pi(X)$ is a factor of a polynomial in $K[X]$ that splits completely over $L$, so $\pi(X)$ splits completely over $L$.

From (2), there is a polynomial $f(X) \in K[X]$ for which $L$ is a splitting field. Let $n=\operatorname{deg} f(X)$ and factor $f(X)$ in $L[X]$ as $\left(X-\beta_{1}\right) \cdots\left(X-\beta_{n}\right)$. Write $\alpha=g\left(\beta_{1}, \ldots, \beta_{n}\right)$ for a polynomial $g\left(X_{1}, \ldots, X_{n}\right) \in K\left[X_{1}, \ldots, X_{n}\right]$. Now consider the polynomial whose roots are $g$ evaluated at all permutations of the $\beta_{i}$ 's:

$$
h(X)=\prod_{\sigma \in S_{n}}\left(X-g\left(\beta_{\sigma(1)}, \ldots, \beta_{\sigma(n)}\right)\right)
$$

This has the factor $X-\alpha$, so $h(\alpha)=0$. By construction, the coefficients of $h(X)$ are symmetric polynomials in $\beta_{1}, \ldots, \beta_{n}$ with coefficients in $K$. Therefore the coefficients of $h(X)$ are polynomials in the elementary symmetric functions of $\beta_{1}, \ldots, \beta_{n}$ with coefficients in $K$. Those elementary symmetric functions are the coefficients of $f(X)$ (up to sign) and thus lie in $K$, so $h(X) \in K[X]$. Since $h(\alpha)=0, h(X)$ is divisible by the minimal polynomial of $\alpha$ in $K[X]$, which is $\pi(X)$. Since $\pi(X) \mid h(X)$ and $h(X)$ splits completely in $L[X]$, also $\pi(X)$ splits completely in $L[X]$.

It should not be expected that the polynomial $h(X)$ constructed in the proof will be the minimal polynomial of $\alpha$ over $K$ since it has degree $n$ !, which is not sensitive to the choice of $\alpha$. (In fact, $h(X)$ will be the minimal polynomial only if $K(\alpha)=L$ and $[L: K]=n$ !. In particular, if $K(\alpha) \neq L$ then $h(X)$ is not the minimal polynomial.)
Example 3.19. The splitting field of $X^{4}-2$ over $\mathbf{Q}$ is $\mathbf{Q}(\sqrt[4]{2}, i)$, so Theorem 3.18 tells us $\mathbf{Q}(\sqrt[4]{2}, i)$ is a normal extension of $\mathbf{Q}$. We will use the method of proof of the theorem to find a monic polynomial in $\mathbf{Q}[X]$ with root $\alpha=(1+i) \sqrt[4]{2}$. First we have to write $\alpha$ in terms of the roots of $X^{4}-2$. Set

$$
\beta_{1}=\sqrt[4]{2}, \quad \beta_{2}=-\sqrt[4]{2}, \quad \beta_{3}=i \sqrt[4]{2}, \quad \beta_{4}=-i \sqrt[4]{2}
$$

Then $\alpha=\sqrt[4]{2}+i \sqrt[4]{2}=\beta_{1}+\beta_{3}$. A monic polynomial in $\mathbf{Q}[X]$ with root $\alpha$ is

$$
h(X)=\prod_{\sigma \in S_{4}}\left(X-\left(\beta_{\sigma(1)}+\beta_{\sigma(3)}\right)\right) .
$$

The degree of this polynomial is 24 , while $[\mathbf{Q}(\sqrt[4]{2}, i): \mathbf{Q}]=8$, so $h(X)$ is definitely not going to be the minimal polynomial and you really don't want to compute $h(X)$ by hand.

There is an ad hoc trick in this case that gets us a polynomial over $\mathbf{Q}$ with root $\alpha$ of smaller degree. Since $\alpha^{2}=(2 i) \sqrt{2}, \alpha^{4}=-8$, so $\alpha$ is a root of $X^{4}+8$. And it turns out that $h(X)=\left(X^{4}+8\right)^{6}$.
Example 3.20. For $u$ transcendental over $\mathbf{F}_{p}$, the extension $\mathbf{F}_{p}\left(u^{1 / p}\right) / \mathbf{F}_{p}(u)$ of degree $p$ is normal since $\mathbf{F}_{p}\left(u^{1 / p}\right)$ is a splitting field over $\mathbf{F}_{p}(u)$ of $X^{p}-u: u^{1 / p}$ is the only root of $X^{p}-u$ in characteristic $p$.

## 4. Galois extensions

In Section 3 we saw that a finite extension $L / K$ satisfying $|\operatorname{Aut}(L / K)|=[L: K]$ also satisfies further conditions: $L^{\operatorname{Aut}(L / K)}=K$ and $L / K$ is both separable and normal. With no new work, it turns out these properties are all equivalent to each other.

Theorem 4.1. For a finite extension $L / K$, the following are equivalent:
(a) $|\operatorname{Aut}(L / K)|=[L: K]$,
(b) $L^{\operatorname{Aut}(L / K)}=K$,
(c) $L / K$ is separable and normal,
(d) $L$ is the splitting field over $K$ of a separable polynomial in $K[X]$.

Proof. That (a) implies (b) was shown in the proof of Theorem 3.13. Also in the proof of Theorem 3.13, we showed the condition $L^{\operatorname{Aut}(L / F)}=F$ implies $L / F$ is separable and normal using the $h_{\alpha}(X)$ construction. If we make the hypothesis that $L^{\operatorname{Aut}(L / K)}=K$, then running through that same argument with $K$ in place of $F$ shows (b) implies (c). The first paragraph of the proof of Theorem 3.13 shows (c) implies (d). Corollary 3.8 says (d) implies (a).

Theorem 4.1 implies a sharper form of the inequality in Corollary 3.6, which is applicable to all finite extensions (not just splitting fields).
Corollary 4.2. If $L / K$ is a finite extension then $|\operatorname{Aut}(L / K)| \mid[L: K]$.
Proof. Since $L / K$ is finite, $\operatorname{Aut}(L / K)$ is a finite group by Theorem 3.1. Let $F=L^{\operatorname{Aut}(L / K)}$. Then $\operatorname{Aut}(L / K)=\operatorname{Aut}(L / F)$, so $F=L^{\operatorname{Aut}(L / F)}$. By Theorem 4.1, $|\operatorname{Aut}(L / F)|=[L: F]$, so $|\operatorname{Aut}(L / K)|=[L: F]$, which is a factor of $[L: K]$.

Corollary 4.3. If $L / K$ is a finite extension that is either inseparable or not normal then $|\operatorname{Aut}(L / K)|<[L: K]$.
Proof. By Corollary 4.2, $|\operatorname{Aut}(L / K)| \leq[L: K]$. From Theorem 4.1, if $L / K$ is inseparable or not normal then $|\operatorname{Aut}(L / K)| \neq[L: K]$, so $|\operatorname{Aut}(L / K)|<[L: K]$.

Corollary 4.3 explains why the inequality $\left|\operatorname{Aut}\left(\mathbf{F}_{p}\left(u^{1 / p}\right) / \mathbf{F}_{p}(u)\right)\right|<\left[\mathbf{F}_{p}\left(u^{1 / p}\right): \mathbf{F}_{p}(u)\right]$ from Example 1.3 can't be turned into an equality by enlarging the top field $\mathbf{F}_{p}\left(u^{1 / p}\right)$. The inseparability of $u^{1 / p}$ over $\mathbf{F}_{p}(u)$ is a fatal obstruction.
Definition 4.4. A finite extension $L / K$ is called Galois when it satisfies the equivalent properties of Theorem 4.1. When $L / K$ is a Galois extension, the group $\operatorname{Aut}(L / K)$ is denoted $\operatorname{Gal}(L / K)$ and is called the Galois group of the extension.

For a finite Galois extension $L / K$, Theorem 4.1 tells us $|\operatorname{Gal}(L / K)|=[L: K]$ and $L^{\operatorname{Gal}(L / K)}=K$.

Example 4.5. Every quadratic extension $L / K$ outside of characteristic 2 is Galois. Indeed, by completing the square we can write $L=K(\sqrt{d})$ for some nonsquare $d \in K^{\times}$, and $X^{2}-d$ is separable outside characteristic 2 . Thus $L$ is a splitting field over $K$ for $X^{2}-d$. The Galois group has size 2, so it has one non-identity automorphism. An automorphism in $\operatorname{Gal}(K(\sqrt{d}) / K)$ is determined by its value $\pm \sqrt{d}$ on $\sqrt{d}$. The nontrivial element of $\operatorname{Gal}(L / K)$ is frequently called the conjugation of $L$. For example, the elements of $\operatorname{Gal}(\mathbf{C} / \mathbf{R})$ are the identity and complex conjugation.
Example 4.6. The field $\mathbf{Q}(\sqrt[3]{2}, \omega)$ is a splitting field over $\mathbf{Q}$ for $X^{3}-2$, which is separable since every irreducible in $\mathbf{Q}[X]$ is separable. So $\mathbf{Q}(\sqrt[3]{2}, \omega) / \mathbf{Q}$ is a Galois extension. By Theorem 4.1, the number of field automorphisms of $\mathbf{Q}(\sqrt[3]{2}, \omega) / \mathbf{Q}$ is $[\mathbf{Q}(\sqrt[3]{2}, \omega): \mathbf{Q}]=6$. (For comparison, recall from Example 1.2 that the number of field automorphisms of $\mathbf{Q}(\sqrt[3]{2}) / \mathbf{Q}$ is 1 , even though the field extension has degree 3: there is just nowhere for $\sqrt[3]{2}$ to go in $\mathbf{Q}(\sqrt[3]{2})$ except to itself.) We will give two ways to think about $\operatorname{Gal}(\mathbf{Q}(\sqrt[3]{2}, \omega) / \mathbf{Q})$.


For the first way, each $\sigma$ in $\operatorname{Gal}(\mathbf{Q}(\sqrt[3]{2}, \omega) / \mathbf{Q})$ is determined by its effect on the 3 roots of $X^{3}-2$, which are $\sqrt[3]{2}, \omega \sqrt[3]{2}$, and $\omega^{2} \sqrt[3]{2}$, since these roots generate the top field over the bottom field (note $\omega=\omega \sqrt[3]{2} / \sqrt[3]{2}$ is a ratio of two cube roots of 2 ). There are at most 6 permutations of these 3 roots, and since we know there are 6 automorphisms every permutation of the roots comes from an automorphism of the field extension. Therefore $\operatorname{Gal}(\mathbf{Q}(\sqrt[3]{2}, \omega) / \mathbf{Q}) \cong S_{3}$ with $S_{3}$ thought of as the symmetric group on the set of 3 roots of $X^{3}-2$.

For another viewpoint, each $\sigma$ in the Galois group is determined by the two values $\sigma(\sqrt[3]{2}) \in\left\{\sqrt[3]{2}, \omega \sqrt[3]{2}, \omega^{2} \sqrt[3]{2}\right\}$ and $\sigma(\omega) \in\left\{\omega, \omega^{2}\right\}$. Therefore there are at most $3 \cdot 2=6$ possibilities for $\sigma$. Since 6 is the number of automorphisms, all of these possibilities really work: each choice of a root of $X^{3}-2$ for $\sigma(\sqrt[3]{2})$ and a nontrivial cube root of unity for $\sigma(\omega)$ does come from an automorphism $\sigma$. Write $\sigma(\omega)=\omega^{a_{\sigma}}$ where $a_{\sigma} \in(\mathbf{Z} /(3))^{\times}$and $\sigma(\sqrt[3]{2})=\omega^{b_{\sigma}} \sqrt[3]{2}$ where $b_{\sigma} \in \mathbf{Z} /(3)$. For two automorphisms $\sigma$ and $\tau$,

$$
\sigma(\tau(\omega))=\sigma\left(\omega^{a_{\tau}}\right)=\sigma(\omega)^{a_{\tau}}=\omega^{a_{\sigma} a_{\tau}}
$$

and

$$
\sigma(\tau(\sqrt[3]{2}))=\sigma\left(\omega^{b_{\tau}} \sqrt[3]{2}\right)=\sigma(\omega)^{b_{\tau}} \sigma(\sqrt[3]{2})=\omega^{a_{\sigma} b_{\tau}} \omega^{b_{\sigma}} \sqrt[3]{2}=\omega^{a_{\sigma} b_{\tau}+b_{\sigma}} \sqrt[3]{2} .
$$

Looking at the exponents of $\omega$ on the right side of these two equations, composition of $\sigma$ and $\tau$ behaves like multiplication of invertible matrices $\left(\begin{array}{cc}a & b \\ 0 & 1\end{array}\right)$ with entries in $\mathbf{Z} /(3)$, since $\left(\begin{array}{ll}a & b \\ 0 & 1\end{array}\right)\left(\begin{array}{cc}a^{\prime} & b^{\prime} \\ 0 & 1\end{array}\right)=\left(\begin{array}{cc}a a^{\prime} a b^{\prime}+b \\ 0 & 1\end{array}\right)$. So $\operatorname{Gal}(\mathbf{Q}(\sqrt[3]{2}, \omega) / \mathbf{Q})$ is isomorphic to the $\operatorname{group} \operatorname{Aff}(\mathbf{Z} /(3))$ of $\bmod 3$ invertible matrices $\left(\begin{array}{cc}a & b \\ 0 & 1\end{array}\right)$ by $\sigma \mapsto\left(\begin{array}{cc}a_{\sigma} & b_{\sigma} \\ 0 & 1\end{array}\right)$.

That we found two different models for $\operatorname{Gal}(\mathbf{Q}(\sqrt[3]{2}, \omega) / \mathbf{Q})$, as $S_{3}$ and as $\operatorname{Aff}(\mathbf{Z} /(3))$, is no surprise since both of these groups are nonabelian and every two nonabelian groups of size 6 are isomorphic.

Example 4.7. The extension $\mathbf{Q}(\sqrt[4]{2}, i) / \mathbf{Q}$ is Galois by the same reasoning as in the previous example: the top field is the splitting field over $\mathbf{Q}$ for $X^{4}-2$, which is separable. The diagram below shows some of the intermediate fields, but these are not all the intermediate fields. For instance, $\mathbf{Q}(\sqrt{2})$ is inside $\mathbf{Q}(\sqrt[4]{2})$ and $\mathbf{Q}(i \sqrt[4]{2})$. (This is not the only missing subfield.)


Although every element of $\operatorname{Gal}(\mathbf{Q}(\sqrt[4]{2}, i) / \mathbf{Q})$ permutes the 4 roots of $X^{4}-2$, not all 24 permutations of the roots are realized by the Galois group. (This is a contrast to $\operatorname{Gal}(\mathbf{Q}(\sqrt[3]{2}, \omega) / \mathbf{Q})$.) For example, $\sqrt[4]{2}$ and $-\sqrt[4]{2}$ add to 0 , so under a field automorphism these two roots go to roots that are also negatives of each other. No field automorphism of $\mathbf{Q}(\sqrt[4]{2}, i) / \mathbf{Q}$ could send $\sqrt[4]{2}$ to $i \sqrt[4]{2}$ and $-\sqrt[4]{2}$ to $\sqrt[4]{2}$ because that doesn't respect the algebraic relation $x+y=0$ that holds for $x=\sqrt[4]{2}$ and $y=-\sqrt[4]{2}$.

To figure out what $\operatorname{Gal}(\mathbf{Q}(\sqrt[4]{2}, i) / \mathbf{Q})$ is concretely, we think about an automorphism $\sigma$ by what it does to $\sqrt[4]{2}$ and $i$, rather than what it does to all the fourth roots of 2 . Since $\sigma(\sqrt[4]{2})$ has to be a root of $X^{4}-2\left(4\right.$ possible values) and $\sigma(i)$ has to be a root of $X^{2}+1$ (2 possible values), there are at most $4 \cdot 2=8$ automorphisms of $\mathbf{Q}(\sqrt[4]{2}) / \mathbf{Q}$. Because $[\mathbf{Q}(\sqrt[4]{2}, i): \mathbf{Q}]=8, \operatorname{Gal}(\mathbf{Q}(\sqrt[4]{2}, i) / \mathbf{Q})$ has size 8 and therefore all assignments of $\sigma(\sqrt[4]{2})$ and $\sigma(i)$ to roots of $X^{4}-2$ and $X^{2}+1$, respectively, must be realized by field automorphisms.

Let $r$ and $s$ be the automorphisms of $\mathbf{Q}(\sqrt[4]{2}, i) / \mathbf{Q}$ determined by

$$
r(\sqrt[4]{2})=i \sqrt[4]{2}, \quad r(i)=i, \quad s(\sqrt[4]{2})=\sqrt[4]{2}, \quad s(i)=-i
$$

By taking powers and products (that is, composites) of automorphisms, we obtain the following table of 8 different automorphisms of $\mathbf{Q}(\sqrt[4]{2}, i) / \mathbf{Q}$. (They are different because they don't have the same effect on both $\sqrt[4]{2}$ and $i$, which generate the field extension).

| $\sigma$ | id | $r$ | $r^{2}$ | $r^{3}$ | $s$ | rs | $r^{2} s$ | $r^{3} s$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\sigma(\sqrt[4]{2})$ | $\sqrt[4]{2}$ | $i \sqrt[4]{2}$ | $-\sqrt[4]{2}$ | $-i \sqrt[4]{2}$ | $\sqrt[4]{2}$ | $i \sqrt[4]{2}$ | $-\sqrt[4]{2}$ | $-i \sqrt[4]{2}$ |
| $\sigma(i)$ | $i$ | $i$ | $i$ | $i$ | $-i$ | $-i$ | $-i$ | $-i$ |

A calculation at $\sqrt[4]{2}$ and $i$ shows $r^{4}=\mathrm{id}, s^{2}=\mathrm{id}$, and $r s=s r^{-1}$, so $\operatorname{Gal}(\mathbf{Q}(\sqrt[4]{2}, i) / \mathbf{Q})$ is isomorphic (not equal, just isomorphic!) to $D_{4}$, where $D_{4}$ can be viewed as the 8 symmetries of the square whose vertices are the four complex roots of $X^{4}-2: r$ is rotation by 90 degrees counterclockwise and $s$ is complex conjugation, which is a reflection across one diagonal of this square. (Strictly speaking, $r$ and $s$ as automorphisms are only defined on $\mathbf{Q}(\sqrt[4]{2}, i)$, not on all complex numbers. While $r$ looks like a rotation by 90 degrees on the four roots of $X^{4}-2$, it is not really a rotation on most elements of $\mathbf{Q}(\sqrt[4]{2})$, since $r$ is not multiplication by $i$ everywhere. For example, $r(1)$ is 1 rather than $i$, and $r(i)$ is $i$ rather than -1 . The function $s$, however, does coincide with complex conjugation on all of $\mathbf{Q}(\sqrt[4]{2}, i)$.)

Since $\mathbf{Q}(\sqrt[4]{2}, i)$ is a Galois extension of $\mathbf{Q}$, the minimal polynomial over $\mathbf{Q}$ of each element in $\mathbf{Q}(\sqrt[4]{2}, i)$ splits completely over $\mathbf{Q}(\sqrt[4]{2}, i)$. For example, let $\alpha=\sqrt[4]{2}+\sqrt{2}+1$. The $\mathbf{Q}$ conjugates of $\alpha$ are found by applying $\operatorname{Gal}(\mathbf{Q}(\sqrt[4]{2}, i) / \mathbf{Q})$ to $\alpha$ and seeing what different numbers come out. This amounts to replacing $\sqrt[4]{2}$ in the expression for $\alpha$ by the 4 different fourth roots of 2 and replacing $\sqrt{2}=\sqrt[4]{2}^{2}$ in the expression for $\alpha$ by the squares of those respective fourth roots of 2 . We obtain the list

$$
\sqrt[4]{2}+\sqrt{2}+1, \quad i \sqrt[4]{2}-\sqrt{2}+1, \quad-\sqrt[4]{2}+\sqrt{2}+1, \quad-i \sqrt[4]{2}-\sqrt{2}+1
$$

Although $\operatorname{Gal}(\mathbf{Q}(\sqrt[4]{2}, i) / \mathbf{Q})$ has size 8 , the Galois orbit of $\alpha$ only has size 4: each $\mathbf{Q}$-conjugate of $\alpha$ is the value of 2 different elements of the Galois group (complex conjugation $s$ does not change $\alpha$, so every $\sigma$ and $\sigma s$ have the same value at $\alpha$ ). Therefore $\mathbf{Q}(\alpha) / \mathbf{Q}$ has degree 4. Since $\alpha \in \mathbf{Q}(\sqrt[4]{2})$, so $\mathbf{Q}(\alpha) \subset \mathbf{Q}(\sqrt[4]{2})$, a degree comparison implies $\mathbf{Q}(\alpha)=\mathbf{Q}(\sqrt[4]{2})$.

The next theorem shows Galois extensions are ubiquitous, especially in characteristic 0 .
Theorem 4.8. Every finite separable extension of a field can be enlarged to a finite Galois extension of the field. In particular, every finite extension of a field with characteristic 0 can be enlarged to a finite Galois extension.

Proof. Write the extension as $K\left(\alpha_{1}, \ldots, \alpha_{n}\right) / K$ where each $\alpha_{i}$ is separable over $K$. The product of the different minimal polynomials for the $\alpha_{i}$ 's is a separable polynomial in $K[X]$. Enlarging $K\left(\alpha_{1}, \ldots, \alpha_{n}\right)$ to a splitting field of this polynomial over $K$ is a Galois extension of $K$ by Theorem 4.1.

Example 4.9. The non-Galois extensions $\mathbf{Q}(\sqrt[3]{2}) / \mathbf{Q}$ and $\mathbf{Q}(\sqrt[4]{2}) / \mathbf{Q}$ live inside the Galois extensions $\mathbf{Q}(\sqrt[3]{2}, \omega) / \mathbf{Q}$ and $\mathbf{Q}(\sqrt[4]{2}, i) / \mathbf{Q}$.

Corollary 4.10. A finite inseparable extension can not be extended to a finite Galois extension.

Proof. Each element of a Galois extension is separable over the base field.
In the remainder of this section we tabulate some properties of Galois extensions.
Theorem 4.11. If $L / K$ is a finite Galois extension and $L \supset F \supset K$ then $L / F$ is a Galois extension.

Proof. We will use the characterization of Galois extensions as separable normal extensions. When $L \supset F \supset K$, separability and normality are both preserved in the passage from $L / K$ to $L / F$ because the minimal polynomial over $F$ of each element of $L$ divides its minimal polynomial over $K$.

Remark 4.12. The bottom part of the tower $F / K$ need not be Galois when $L / K$ is, and moreover if $L / F$ and $F / K$ are Galois the extension $L / K$ need not be Galois. These are illustrated by the two towers in the diagrams below.


In the tower on the right, each pair of successive field extensions is quadratic (and thus Galois) but the overall extension $\mathbf{Q}(\sqrt[4]{2}) / \mathbf{Q}$ is not Galois. We have to enlarge $\mathbf{Q}(\sqrt[4]{2})$ further to $\mathbf{Q}(\sqrt[4]{2}, i)$ to get a Galois extension of the base field $K=\mathbf{Q}$.

It is an important issue to decide when an intermediate field is Galois over the base. We will do that as part of the fundamental theorem of Galois theory in Section 5.

Theorem 4.13. If $L_{1}$ and $L_{2}$ are finite Galois extensions of $K$ inside a common field then $L_{1} L_{2}$ and $L_{1} \cap L_{2}$ are both finite Galois extensions of $K$.

Proof. By Theorem 4.1, $L_{1}$ and $L_{2}$ are both splitting fields for a separable polynomial in $K[X]$. Then $L_{1} L_{2}$ is a splitting field for the product of the two polynomials with common factors used only once. This polynomial is separable, so $L_{1} L_{2} / K$ is Galois.


To show $L_{1} \cap L_{2}$ is Galois over $K$ we take the viewpoint that Galois extensions are separable normal extensions. Every element of $L_{1}$ is separable over $K$, so the subfield $L_{1} \cap L_{2}$ is separable over $K$. To show $L_{1} \cap L_{2}$ is normal over $K$, pick $\alpha \in L_{1} \cap L_{2}$. It has a full set of $K$-conjugates in $L_{1}$ and in $L_{2}$ since each of these are normal extensions of $K$. These two sets of $K$-conjugates are the same, since otherwise the minimal polynomial of $\alpha$ in $K[X]$ has more roots in $L_{1} L_{2}$ than its degree. Therefore the $K$-conjugates of $\alpha$ lie in $L_{1} \cap L_{2}$.

The property $L^{\operatorname{Aut}(L / K)}=K$ characterizes Galois extensions of $K$ among the finite extensions of $K$, by Theorem 4.1. But there are many field extensions $L / K$ of infinite degree where $L^{\operatorname{Aut}(L / K)}=K$. First there are infinite Galois extensions of $K$. There are also transcendental (i.e., non-algebraic) extensions of $K$ with this property. An example is a rational function field $L=K(u)$. It can be shown that the group $\operatorname{Aut}(K(u) / K)$ consists of all linear-fractional substitutions $f(u) \mapsto f((a u+b) /(c u+d))$ where $\left(\begin{array}{ll}a & b \\ c & b\end{array}\right) \in$ $\mathrm{GL}_{2}(K)$. (Scaling $a, b, c, d$ by a common $\lambda \in K^{\times}$defines the same substitution, so the group $\operatorname{Aut}(K(u) / K)$ is isomorphic to $\mathrm{GL}_{2}(K) / K^{\times}$rather than to $\mathrm{GL}_{2}(K)$.) Further examples of this situation arise in algebraic geometry.

## 5. The fundamental theorem

So far we have looked at the passage from a finite extension field $L / K$ to the finite group $\operatorname{Aut}(L / K)$. When $L$ is a splitting field over $K$ of a polynomial in $K[X]$ then $|\operatorname{Aut}(L / K)| \leq$ $[L: K]$ (Corollary 3.6). Now we go the other way, from a group of automorphisms of a field to field extension. Let $E$ be a field and $H$ be a finite group of automorphisms of $E$. Then

$$
E^{H}=\{x \in E: \sigma(x)=x \text { for all } \sigma \in H\}
$$

is a subfield of $E$.
Example 5.1. Let $E=\mathbf{C}$ and $H=\{\operatorname{id}, c\}$, where $c(z)=\bar{z}$ is complex-conjugation. Then $E^{H}=\mathbf{R}$.

Theorem 5.2 (Artin). Let $E$ be a field and $H$ be a finite group of automorphisms of $E$. If $\left[E: E^{H}\right]$ is finite then $E / E^{H}$ is a Galois extension and $\operatorname{Gal}\left(E / E^{H}\right)=H$.
Proof. First we show $E / E^{H}$ is a separable extension, by an idea already used in the proof of Theorem 3.13. Pick $\alpha \in E$. Let the finite set $\{\sigma(\alpha): \sigma \in H\}$ be listed according to its distinct elements as

$$
\left\{\sigma_{1}(\alpha), \ldots, \sigma_{m}(\alpha)\right\}
$$

Clearly $\alpha$ is a root of $h_{\alpha}(X)=\prod_{i=1}^{m}\left(X-\sigma_{i}(\alpha)\right)$, and the roots of this polynomial are distinct and all lie in $E$. The degree of $h_{\alpha}(X)$ is $m \leq|H|$. The coefficients of $h_{\alpha}(X)$ all lie in $E^{H}$ by the same reasoning used in the proof of Theorem 3.13 to show $h_{\alpha}(X)$ there has coefficients in $L^{\operatorname{Aut}(L / K)}$, so $E / E^{H}$ is an algebraic extension that is separable over $E^{H}$ (that is, every element of $E$ has a minimal polynomial in $E^{H}[X]$ that is separable) and each $\alpha \in E$ has degree at most $|H|$ over $E^{H}$.

So far we have not used the hypothesis that $\left[E: E^{H}\right]$ is finite. Now we do. Since $E / E^{H}$ is a finite separable extension of degree at most $|H|$, by the primitive element theorem $E=E^{H}(\alpha)$ for some $\alpha$, so $\left[E: E^{H}\right]=\left[E^{H}(\alpha): E^{H}\right] \leq \operatorname{deg} h_{\alpha}(X) \leq|H|$. Since $h_{\alpha}(X)$ splits over $E, E / E^{H}$ is a Galois extension (Theorem 4.1d), so $\left|\operatorname{Gal}\left(E / E^{H}\right)\right|=\left[E: E^{H}\right] \leq|H|$. Since $H$ is a subgroup of $\operatorname{Gal}\left(E / E^{H}\right),|H| \leq\left|\operatorname{Gal}\left(E / E^{H}\right)\right|$, so we get equality throughout:

$$
\left|\operatorname{Gal}\left(E / E^{H}\right)\right|=\left[E: E^{H}\right]=|H| .
$$

Thus $\operatorname{Gal}\left(E / E^{H}\right)=H$.
The finiteness hypothesis on $\left[E: E^{H}\right]$ in Theorem 5.2 is actually automatic.
Theorem 5.3 (Artin). Let $E$ be a field and $H$ be a finite group of automorphisms of $E$. The extension $E / E^{H}$ is finite.

Proof. From the first part of the proof of Theorem 5.2, where finiteness of $\left[E: E^{H}\right]$ is not used, every element of $E$ is separable over $E^{H}$ with bounded degree (at most $|H|$ ), so there is an $\alpha \in E$ such that $\left[E^{H}(\alpha): E^{H}\right]$ is maximal. We are going to show $E=E^{H}(\alpha)$. For $\beta \in E, E^{H}(\alpha) \subset E^{H}(\alpha, \beta) \subset E$. Since $E^{H}(\alpha, \beta)$ is a finite separable extension of $E^{H}$, by the primitive element theorem $E^{H}(\alpha, \beta)=E^{H}(\gamma)$ for some $\gamma$. Then $\left[E^{H}(\alpha): E^{H}\right] \leq$ $\left[E^{H}(\gamma): E^{H}\right]$, and this inequality must be an equality because of the maximality defining $\left[E^{H}(\alpha): E^{H}\right]$. Hence $E^{H}(\alpha)=E^{H}(\alpha, \beta)$ (one field is inside the other and they have the same degree over $E^{H}$ ), which implies $\beta \in E^{H}(\alpha)$. This holds for all $\beta \in E$, so $E=E^{H}(\alpha)$. Therefore $\left[E: E^{H}\right]=\left[E^{H}(\alpha): E^{H}\right]<\infty$.

We will use Theorem 5.2 once: in the proof of Theorem 5.6. Finiteness of $\left[E: E^{H}\right]$ in that application will be known in advance, so Theorem 5.3 is not needed in our development of Galois theory. (However, it is useful to understand some examples in Galois theory.)

Remark 5.4. The proof of Theorem 5.3 showed: if $E / F$ is separable and every element of $E$ has bounded degree over $F$ then $[E: F]<\infty$. This can fail if $E / F$ is inseparable. Consider $E=\mathbf{F}_{p}\left(u_{1}^{1 / p}, u_{2}^{1 / p}, \ldots\right)$, with independent indeterminates $u_{1}, u_{2}, \ldots$, as an extension of $F=$ $\mathbf{F}_{p}\left(u_{1}, u_{2}, \ldots\right)$. We have $[E: F]=\infty$, but every $\alpha \in E$ satisfies $\alpha^{p} \in F$, so $[F(\alpha): F] \leq p$ for all $\alpha \in E$.

Remark 5.5. The proof of Theorems 5.2 and 5.3 in [1, pp. 569-571] and [3, pp. 220-222] is different from the one here (which is based on [2, pp. 263-264]). The proofs in [1] and [3] involve solving systems of linear equations.

Here is the fundamental theorem of Galois theory. Galois discovered the concept of normal subgroups from their role in the last part of the theorem.

Theorem 5.6 (Galois). Let $L / K$ be a finite Galois extension with $G=\operatorname{Gal}(L / K)$. Then the inclusion-reversing mappings $F \leadsto \operatorname{Gal}(L / F)$ and $H \leadsto L^{H}$ between the intermediate fields between $K$ and $L$ and the subgroups of $G$ are inverses of each other and satisfy the following properties when $F$ and $H$ correspond $\left(F=L^{H}, H=\operatorname{Gal}(L / F)\right)$ :
(a) $|H|=[L: F]$ and $[F: K]=[G: H]$,
(b) two intermediate fields $F$ and $F^{\prime}$, with corresponding subgroups $H$ and $H^{\prime}$, are isomorphic over $K$ if and only if $H$ and $H^{\prime}$ are conjugate subgroups of $G$; in particular, $\operatorname{Gal}(L / \sigma(F))=\sigma \operatorname{Gal}(L / F) \sigma^{-1}$ for $\sigma \in G$,
(c) $F / K$ is Galois if and only if $H \triangleleft G$, in which case the restriction map $G \rightarrow$ $\operatorname{Gal}(F / K)$, where $\left.\sigma \mapsto \sigma\right|_{F}$, is surjective with kernel $H$, so $G / H \cong \operatorname{Gal}(F / K)$.

In (5.1) we indicate the relations of part a in a diagram, where $F=L^{H}$ and $H=$ $\operatorname{Gal}(L / F)$ correspond to each other. Because inclusion relations are reversed, the group diagram appears upside-down, with the larger subgroups near the bottom (having a fixed
field that is closer to $K$ ).


Part c explains why normal field extensions get their name: in the context of a finite Galois extension $L / K$, where every intermediate field is separable over the base field, the intermediate fields that are normal (equivalently, Galois) over the base are those whose corresponding subgroups in $\operatorname{Gal}(L / K)$ are normal subgroups.
Proof. First we check the correspondences are inverses: going from fields to subgroups to fields, we need $F^{\mathrm{Gal}(L / F)}=F$, and going from subgroups to fields to subgroups requires $\operatorname{Gal}\left(L / L^{H}\right)=H$. The first equality follows from Theorems 4.1 and 4.11 ( $L / F$ is Galois) and the second equality comes from Theorem 5.2. (We know in advance that $L / L^{H}$ is finite since $K \subset L^{H} \subset L$ and $[L: K]<\infty$.)

For (a), since $F$ and $H$ correspond we have $F=L^{H}$, so $|H|=[L: F]$ by Theorem 5.2. The other equality in (a) follows from this: $[G: H]=|G| /|H|=[L: K] /[L: F]=[F: K]$.

For (b), first observe that intermediate fields $F$ and $F^{\prime}$ are $K$-isomorphic if and only if $F^{\prime}=\sigma(F)$ for some $\sigma \in G$. Indeed, if $F^{\prime}=\sigma(F)$ for some $\sigma \in G$ then $\sigma$ is a $K$ isomorphism from $F$ to $F^{\prime}$. Conversely, if $F$ and $F^{\prime}$ are $K$-isomorphic let $\varphi: F \rightarrow F^{\prime}$ be a $K$-isomorphism. We will show $\varphi$, which is defined on $F$, is the restriction to $F$ of some $\sigma \in G$. By the primitive element theorem, $F=K(\gamma)$ for some $\gamma$. Since $\varphi$ fixes $K, \varphi(\gamma)$ has the same minimal polynomial over $K$ as $\gamma$, so $\gamma$ and $\varphi(\gamma)$ have the same degree over $K$. Since $\varphi(\gamma)$ is a $K$-conjugate of $\gamma$ in $L$, by Theorem 3.13 there is a $\sigma \in G$ such that $\varphi(\gamma)=\sigma(\gamma)$. Since $\sigma$ and $\varphi$ agree on $K$ and on $\gamma$, they agree on $K(\gamma)=F$. That proves $\left.\sigma\right|_{F}=\varphi$, so $F^{\prime}=\varphi(F)=\sigma(F)$. Let's look at an example to see what this is saying.

Example 5.7. For the extension $\mathbf{Q}(\sqrt[4]{2}, i) / \mathbf{Q}$, whose Galois group was worked out in Example 4.7, the intermediate fields $\mathbf{Q}(\sqrt[4]{2})$ and $\mathbf{Q}(i \sqrt[4]{2})$ are isomorphic over $\mathbf{Q}$ since each is the adjuncation to $\mathbf{Q}$ of one root of $X^{4}-2$. There is a $\mathbf{Q}$-isomorphism $\varphi: \mathbf{Q}(\sqrt[4]{2}) \rightarrow \mathbf{Q}(i \sqrt[4]{2})$ where $\varphi(\sqrt[4]{2})=i \sqrt[4]{2}$. Some $\sigma \in \operatorname{Gal}(\mathbf{Q}(\sqrt[4]{2}, i) / \mathbf{Q})$ restricts to $\varphi$ on $\mathbf{Q}(\sqrt[4]{2})$. What is it? Using the notation of Example 4.7, $r(\sqrt[4]{2})=i \sqrt[4]{2}$, so $r$ is one choice for $\sigma$. Another choice is $r s$, since $r s(\sqrt[4]{2})=r(\sqrt[4]{2})=i \sqrt[4]{2}$.

Returning to the proof of Theorem 5.6 b, write a $K$-isomorphic copy of $F$ in $L$ as $\sigma(F)$ for some $\sigma \in G$. For all $\tau \in G$,

$$
\begin{aligned}
\tau \in \operatorname{Gal}(L / \sigma(F)) & \Longleftrightarrow \tau(\sigma(\alpha))=\sigma(\alpha) \text { for all } \alpha \in F, \\
& \Longleftrightarrow \sigma^{-1} \tau \sigma(\alpha)=\alpha \text { for all } \alpha \in F, \\
& \Longleftrightarrow \sigma^{-1} \tau \sigma \in \operatorname{Gal}(L / F)=H \\
& \Longleftrightarrow \tau \in \sigma H \sigma^{-1},
\end{aligned}
$$

so $\operatorname{Gal}(L / \sigma(F))=\sigma H \sigma^{-1}=\sigma \operatorname{Gal}(L / F) \sigma^{-1}$.
To prove (c), note that an intermediate extension $F / K$ is automatically separable since every element of a Galois extension is separable over the base field $K$. Therefore $F / K$ is Galois if and only if $F / K$ is normal. Since $F$ is inside the Galois extension $L / K$, the
$K$-conjugates of each element of $F$ are its orbit under $\operatorname{Gal}(L / K)$ (Theorem 3.13). Therefore $F / K$ is normal if and only if $\sigma(F) \subset F$ for all $\sigma \in G$. Since $\sigma(F)$ and $F$ have the same degree over $K$, the inclusion $\sigma(F) \subset F$ is the same as $\sigma(F)=F$. Therefore

$$
\begin{aligned}
F / K \text { is normal } & \Longleftrightarrow \sigma(F)=F \text { for all } \sigma \in G \\
& \Longleftrightarrow \sigma H \sigma^{-1}=H \text { for all } \sigma \in G \\
& \Longleftrightarrow H \triangleleft G .
\end{aligned}
$$

Restricting elements in $\operatorname{Gal}(L / K)$ to $F$ defines a map $\operatorname{Gal}(L / K) \rightarrow \operatorname{Gal}(F / K)$ that is a group homomorphism (check). Its kernel is $\operatorname{Gal}(L / F)=H$, so we get an embedding $G / H \hookrightarrow \operatorname{Gal}(F / K)$. The size of $G / H$ is $[G: H]=[F: K]$, which equals $|\operatorname{Gal}(F / K)|$ since $F / K$ is Galois. So $G / H \cong \operatorname{Gal}(F / K)$.

The bijection in Theorem 5.6 is called the Galois correspondence. Only for finite Galois extensions does it hold. Why? Because the special case $L^{\operatorname{Aut}(L / K)}=K$ of the correspondence is a property in Theorem 4.1 characterizing Galois extensions among finite extensions.

Example 5.8. The extension $\mathbf{Q}(\sqrt[3]{2}, \omega) / \mathbf{Q}$ has Galois group isomorphic to $S_{3}$ (Example 4.6). This group has 3 subgroups of order 2 and one subgroup (just $A_{3}$ ) of order 3. In the diagram we have indicated the indices in $S_{3}$ of subgroups.


Let's flip this upside down, so larger groups are on the bottom.


By the Galois correspondence, the arrangement of subfields of $\mathbf{Q}(\sqrt[3]{2}, \omega)$ looks the same, with indices of a subgroup in the Galois group turning into degrees of a subfield over $\mathbf{Q}$.


So there is one quadratic subfield and three cubic subfields. It is easy to write down enough such fields by inspection: $\mathbf{Q}(\omega)$ is quadratic and $\mathbf{Q}(\sqrt[3]{2}), \mathbf{Q}(\omega \sqrt[3]{2})$, and $\mathbf{Q}\left(\omega^{2} \sqrt[3]{2}\right)$ are all cubic. (These three cubic fields are distinct since two different cube roots of 2 can't lie in the same cubic field.) So these are the only (proper) intermediate fields, and the field diagram looks like this:


The subgroups of $S_{3}$ with order 2 are not normal, and likewise the cubic fields are not Galois over $\mathbf{Q}$. The subgroup $A_{3}$ is normal, and the quadratic field $\mathbf{Q}(\omega)$ is Galois over $\mathbf{Q}$.

We were somewhat cavalier about the way we just wrote down the cubic fields without really paying attention to which ones should correspond to which subgroups of index 3 (order 2) in the Galois group. But we can't be more careful at this stage (beyond keeping track of indices of subgroups and degrees of subfields) because we didn't really keep track here of how $\operatorname{Gal}(\mathbf{Q}(\sqrt[3]{2}, \omega) / \mathbf{Q})$ is isomorphic to $S_{3}$. We simply used the subgroup structure of $S_{3}$ to figure out the subfield structure of $\mathbf{Q}(\sqrt[3]{2}, \omega)$. If we want to match specific subgroups with specific subfields through the Galois correspondence, we have to think about $S_{3}$ as the Galois group in a definite way. There are three roots of $X^{3}-2$ being permuted by the Galois group (in all 6 possible ways), so if we label the roots abstractly as 1,2 , and 3 then we can see what the correspondence should be. Label $\sqrt[3]{2}$ as $1, \omega \sqrt[3]{2}$ as 2 , and $\omega^{2} \sqrt[3]{2}$ as 3 . Then (12) fixes $\omega^{2} \sqrt[3]{2}$, and therefore $\mathbf{Q}\left(\omega^{2} \sqrt[3]{2}\right)$ is contained in the fixed field $\mathbf{Q}(\sqrt[3]{2}, \omega)^{\langle(12)\rangle}$. The subgroup $\langle(12)\rangle$ has index 3 and $\mathbf{Q}\left(\omega^{2} \sqrt[3]{2}\right) / \mathbf{Q}$ has degree 3 , so $\mathbf{Q}\left(\omega^{2} \sqrt[3]{2}\right)$ is the full fixed field of $\langle(12)\rangle$. In a similar way, $\langle(13)\rangle$ has fixed field $\mathbf{Q}(\omega \sqrt[3]{2})$ and $\langle(23)\rangle$ has fixed
field $\mathbf{Q}(\sqrt[3]{2})$. So the subgroup and subfield diagrams are aligned if we draw them as follows:


Example 5.9. The extension $\mathbf{Q}(\sqrt[4]{2}, i) / \mathbf{Q}$ has Galois group isomorphic to $D_{4}$ according to the permutations that the Galois group induces on the fourth roots of 2 . Generators are $r$ and $s$ where $r(\sqrt[4]{2})=i \sqrt[4]{2}, r(i)=i$ and $s(\sqrt[4]{2})=\sqrt[4]{2}, s(i)=-i(s$ is complex conjugation $)$. See Table 2 in Example 4.7.

Below is the diagram of all subgroups of $D_{4}$, written upside down.


All indices of successive subgroups here are 2 , so we don't include that information in the diagram. The lattice of intermediate fields in $\mathbf{Q}(\sqrt[4]{2}, i) / \mathbf{Q}$ looks the same:


To check the fields have been placed correctly according to the Galois correspondence $H \leadsto$ $\mathbf{Q}(\sqrt[4]{2}, i)^{H}$, verify in each case that each field in the field diagram is fixed by the subgroup in the same relative position in the subgroup diagram, and the degree of the field over $\mathbf{Q}$ equals the index of the subgroup over $\mathbf{Q}$ : if $F \subset \mathbf{Q}(\sqrt[4]{2}, i)^{H}$ and $[F: \mathbf{Q}]=\left[D_{4}: H\right]$ then $F=\mathbf{Q}(\sqrt[4]{2}, i)^{H}$.

As an example, the subextension $\mathbf{Q}(i) / \mathbf{Q}$ has degree 2 , so its corresponding subgroup $H$ in $D_{4}$ has index 2. Since $r(i)=i,\langle r\rangle$ is a subgroup fixing $i$ with index $8 / 4=2$, so $H=\langle r\rangle$. Thus $\mathbf{Q}(i)$ corresponds to $\langle r\rangle$. Since $\mathbf{Q}(i)$ is Galois over $\mathbf{Q}$, the restriction of an automorphism of $\mathbf{Q}(\sqrt[4]{2}, i) / \mathbf{Q}$ to $\mathbf{Q}(i) / \mathbf{Q}$ gives us a homomorphism $D_{4}=\operatorname{Gal}(\mathbf{Q}(\sqrt[4]{2}, i) / \mathbf{Q}) \rightarrow$ $\operatorname{Gal}(\mathbf{Q}(i) / \mathbf{Q})$ that is surjective and its kernel is the subgroup fixing $\mathbf{Q}(i)$, namely $\langle r\rangle$. So $D_{4} /\langle r\rangle \cong \operatorname{Gal}(\mathbf{Q}(i) / \mathbf{Q})$. This isomorphism with the quotient group makes sense: every element of $D_{4}$ is some $r^{k}$ or $r^{k} s$, so modulo $\langle r\rangle$ every element of $D_{4}$ is 1 or $s$, and this is what we usually think of as the Galois group of $\mathbf{Q}(i) / \mathbf{Q}$ : the identity and complex conjugation.

In $D_{4}$ there is only one normal subgroup of index 4, namely $\left\langle r^{2}\right\rangle=\left\{1, r^{2}\right\}$. Therefore there is only one field inside $\mathbf{Q}(\sqrt[4]{2}, i)$ of degree 4 over $\mathbf{Q}$ that is Galois over $\mathbf{Q}$. Since $\mathbf{Q}(\sqrt{2}, i)$ is such a field, that is the field corresponding to $\left\langle r^{2}\right\rangle$.

We have left two fields undetermined in the field diagram. They correspond to the subgroups $\langle r s\rangle$ and $\left\langle r^{3} s\right\rangle$ and must have degree 4 over $\mathbf{Q}$. The smallest subgroup properly containing either of these is $\left\langle r^{2}, r s\right\rangle$, so we can figure out the undetermined fields by looking for an $\alpha \in \mathbf{Q}(\sqrt[4]{2}, i)$ of degree 4 over $\mathbf{Q}$ that is fixed by $r s$ and not by $r^{2}$, and likewise find $\beta$ of degree 4 over $\mathbf{Q}$ that is fixed by $r^{3} s$ and not by $r^{2}$. Then the two missing fields are $\mathbf{Q}(\alpha)$ and $\mathbf{Q}(\beta)$.

To find $\alpha$, rather than blind guessing we write out a general element of $\mathbf{Q}(\sqrt[4]{2}, i)$ in a basis over $\mathbf{Q}$ and see what the condition $r s(\alpha)=\alpha$ means about the coefficients. Writing

$$
\alpha=a+b \sqrt[4]{2}+c \sqrt{2}+d \sqrt[4]{2}^{3}+e i+f i \sqrt[4]{2}+g i \sqrt{2}+h i \sqrt[4]{2}^{3},
$$

with rational coefficients $a, b, c, d, e, f, g, h$, applying $r s$ to all terms gives

$$
r s(\alpha)=a+b i \sqrt[4]{2}-c \sqrt{2}-d i \sqrt[4]{2}^{3}-e i+f \sqrt[4]{2}+g i \sqrt{2}-h \sqrt[4]{2}^{3},
$$

so

$$
b=f, c=-c, e=-e, d=-h .
$$

Therefore

$$
\alpha=a+b\left(\sqrt[4]{2}+i \sqrt[4]{2}^{2}\right)+d\left(\sqrt[4]{2}^{3}-i \sqrt[4]{2}^{3}\right)+g i \sqrt{2} .
$$

The 4 coefficients $a, b, d, g$ can be arbitrary in $\mathbf{Q}$, so we have found a $\mathbf{Q}$-basis of the field fixed by $\langle r s\rangle$. To pick something simple of degree 4 , we try $b=1$ and the other coefficients equal to 0 :

$$
\alpha=\sqrt[4]{2}+i \sqrt[4]{2}=(1+i) \sqrt[4]{2}
$$

Easily $r^{2}(\alpha)=-\alpha$, so $\alpha$ is fixed by $\langle r s\rangle$ but not by $\left\langle r^{2}\right\rangle$, which means the field $\mathbf{Q}(\alpha)$ is inside the fixed field of $\langle r s\rangle$ but not inside the fixed field of $\left\langle r^{2}\right\rangle$, so $\mathbf{Q}(\alpha)$ must be the fixed field of $\langle r s\rangle$. The difference $\beta=\sqrt[4]{2}-i \sqrt[4]{2}$ is fixed by $r^{3} s$ and not by $r^{2}$, so the fixed field of $\left\langle r^{3} s\right\rangle$ is $(1-i) \sqrt[4]{2}$. Now we have a complete field diagram.


Example 5.10. Let $\zeta_{8}=e^{2 \pi i / 8}$ be a root of unity of order 8. The field $\mathbf{Q}\left(\zeta_{8}\right)$ is Galois over $\mathbf{Q}$, being the splitting field of $X^{8}-1$. We will use the Galois correspondence to find all the fields between $\mathbf{Q}$ and $\mathbf{Q}\left(\zeta_{8}\right)$.

Since $\zeta_{8}$ is a root of $\left(X^{8}-1\right) /\left(X^{4}-1\right)=X^{4}+1$ and $(X+1)^{4}+1=X^{4}+4 X^{3}+6 X^{2}+4 X+2$ is Eisenstein at 2 , so irreducible over $\mathbf{Q}, X^{4}+1$ is the minimal polynomial of $\zeta_{8}$ over $\mathbf{Q}$. Therefore the Galois group $\operatorname{Gal}\left(\mathbf{Q}\left(\zeta_{8}\right) / \mathbf{Q}\right)$ has order 4, which means it is either a cyclic group or a product of two groups of order 2 . Which group is it?

The two nonisomorphic groups of order 4 are distinguishable from each other by the number of subgroups of order 2. If $H$ is a subgroup of order 2 in the Galois group, with fixed field $F$, then $\left[\mathbf{Q}\left(\zeta_{8}\right): F\right]=2$, so $[F: \mathbf{Q}]=4 / 2=2$. Therefore if $\operatorname{Gal}\left(\mathbf{Q}\left(\zeta_{8}\right) / \mathbf{Q}\right)$ is cyclic there is one quadratic field in $\mathbf{Q}\left(\zeta_{8}\right)$, while in the other case there are three.


In the field diagram above, we list three quadratic subfields, so $\operatorname{Gal}\left(\mathbf{Q}\left(\zeta_{8}\right) / \mathbf{Q}\right)$ is a product of two groups of order 2 and the fields we found are the full list of them. We find $i$ inside $\mathbf{Q}\left(\zeta_{8}\right)$ since $i=\zeta_{8}^{2}$. We find $\sqrt{2}$ in $\mathbf{Q}\left(\zeta_{8}\right)$ from the complex representation $\zeta_{8}=e^{2 \pi i / 8}=$ $e^{\pi i / 4}=\frac{1+i}{\sqrt{2}}$, which implies

$$
\zeta_{8}+\zeta_{8}^{-1}=\frac{1+i}{\sqrt{2}}+\frac{1-i}{\sqrt{2}}=\sqrt{2} .
$$

Then $\sqrt{-2}=i \sqrt{2}$ is also in $\mathbf{Q}\left(\zeta_{8}\right)$.
Example 5.11. Let $F$ be a field and $T_{1}, \ldots, T_{n}$ be indeterminates over $F$. The $T_{i}$ 's are roots of the polynomial

$$
\begin{equation*}
\left(X-T_{1}\right)\left(X-T_{2}\right) \cdots\left(X-T_{n}\right)=X^{n}-s_{1} X^{n-1}+s_{2} X^{n-2}-\cdots+(-1)^{n} s_{n}, \tag{5.2}
\end{equation*}
$$

where

$$
s_{k}=\sum_{1 \leq i_{1}<\cdots<i_{k} \leq n} T_{i_{1}} \cdots T_{i_{k}}
$$

is the sum of the products of the $T_{i}$ 's taken $k$ at a time. When $S_{n}$ acts on the field $L:=$ $F\left(T_{1}, \ldots, T_{n}\right)$ by permutations of the variables $T_{i}$, different permutations in $S_{n}$ permute the variables in different ways. The fixed field $L^{S_{n}}$ consists of the symmetric rational functions: those that are unchanged by all permutations of the variables $T_{1}, \ldots, T_{n}$. The $s_{k}$ 's are symmetric, so $F\left(s_{1}, \ldots, s_{n}\right) \subset L^{S_{n}}$. We will use Galois theory to show equality occurs here. (This can be done without Galois theory too.)

Let $K=F\left(s_{1}, \ldots, s_{n}\right)$, so $K \subset L^{S_{n}} \subset L$. Thus $[L: K] \geq\left[L: L^{S_{n}}\right]=\left|S_{n}\right|=n$ !. At the same time, the $T_{i}$ 's are all roots of the same degree $n$ polynomial (5.2) in $K[X]$, so $L / K$ is a splitting field of a polynomial of degree $n$, which means $[L: K] \leq n!$. Hence $[L: K]=n!=\left[L: L^{S_{n}}\right]$, which forces $L^{S_{n}}=K$ : every symmetric rational function in $T_{1}, \ldots, T_{n}$ over $F$ is a rational function of the elementary symmetric functions $s_{1}, \ldots, s_{n}$.

Here are two theorems that follow from the Galois correspondence.
Theorem 5.12. Let $L / K$ be a finite Galois extension and $F$ and $F^{\prime}$ be $K$-isomorphic intermediate fields corresponding to $H$ and $H^{\prime}$ in $\operatorname{Gal}(L / K)$. The $K$-isomorphisms from $F$ to $F^{\prime}$ in $\operatorname{Gal}(L / K)$ are the restrictions $\left.\sigma\right|_{F}$ where $\sigma \in \operatorname{Gal}(L / K)$ satisfies $\sigma H \sigma^{-1}=H^{\prime}$.

Proof. In the proof of Theorem 5.6b, we showed every $K$-isomorphism $\varphi: F \rightarrow F^{\prime}$ can be extended to some $\sigma \in \operatorname{Gal}(L / K)$ such that $\sigma H \sigma^{-1}=H^{\prime}$. Then $\varphi=\left.\sigma\right|_{F}$.

Conversely, for all $\sigma \in \operatorname{Gal}(L / K)$ we have $\sigma H \sigma^{-1}=\operatorname{Gal}(L / \sigma(F))$, so $\sigma H \sigma^{-1}=H^{\prime}$ if and only if $\operatorname{Gal}(L / \sigma(F))=\operatorname{Gal}\left(L / F^{\prime}\right)$, which is equivalent to $F^{\prime}=\sigma(F)$ by the Galois correspondence.

Theorem 5.12 says $\sigma$ conjugates $H$ to $H^{\prime}$ if and only if it maps $F$ to $F^{\prime}$, which should be simple to remember.

Theorem 5.13. Let $L / K$ be finite Galois and $F$ and $F^{\prime}$ be intermediate fields with corresponding subgroups $H$ and $H^{\prime}$.
(a) $\operatorname{Gal}\left(L / F F^{\prime}\right)=H \cap H^{\prime}$ and $\operatorname{Gal}\left(L / F \cap F^{\prime}\right)=\left\langle H, H^{\prime}\right\rangle$, where $\left\langle H, H^{\prime}\right\rangle$ denotes the subgroup of $\operatorname{Gal}(L / K)$ generated by $H$ and $H^{\prime}$.
(b) $F \subset F^{\prime}$ if and only if $H^{\prime} \subset H$, in which case $\left[F^{\prime}: F\right]=\left[H: H^{\prime}\right]$.

Proof. For (a), we use the inclusion-reversing nature of the Galois correspondence. The composite field $F F^{\prime}$ is the smallest field containing both $F$ and $F^{\prime}$ in $L$, so its corresponding subgroup $\operatorname{Gal}\left(L / F F^{\prime}\right)$ is the largest subgroup of $\operatorname{Gal}(L / K)$ contained in $H$ and $H^{\prime}$, so it is $H \cap H^{\prime}$. The argument for the other equation is similar.

For (b), the equivalence of the inclusions comes from the Galois correspondence. Moreover, we then have $\left[F^{\prime}: F\right]=[L: F] /\left[L: F^{\prime}\right]=|H| /\left|H^{\prime}\right|=\left[H: H^{\prime}\right]$.

## 6. Primitive Elements

Galois theory provides a method to prove a number is a primitive element in a Galois extension.

Theorem 6.1. When $L / K$ is a finite Galois extension and $\gamma \in L$, the degree $[K(\gamma): K]$ is the size of the Galois orbit of $\gamma$. In particular, $\gamma$ is a primitive element for $L / K$ if and only if $|\{\sigma(\gamma): \sigma \in \operatorname{Gal}(L / K)\}|=[L: K]$.

Proof. Since $\gamma$ is separable over $K,[K(\gamma): K]$ is the number of roots of the minimal polynomial of $\gamma$ over $K$, and these roots are all in $L$ since $L / K$ is Galois. From Galois theory, the roots of the minimal polynomial of $\gamma$ over $K$ are the orbit of $\gamma$ under $\operatorname{Gal}(L / K)$. To say $L=K(\gamma)$ is equivalent to saying $\operatorname{Gal}(L / K)$ takes $\gamma$ through as many elements as the degree $[L: K]=|\operatorname{Gal}(L / K)|$.
Example 6.2. In $\mathbf{Q}(\sqrt[4]{2}, i) / \mathbf{Q}, \sqrt[4]{2}+i$ has 8 different values under the Galois group (see Table 3), since $i, \sqrt[4]{2}$, and $i \sqrt[4]{2}$ are linearly independent over $\mathbf{Q}$. (They are part of a $\mathbf{Q}$-basis for the field extension.) Thus $\mathbf{Q}(\sqrt[4]{2}, i)=\mathbf{Q}(\sqrt[4]{2}+i)$.

| $\sigma$ | $\sigma(\sqrt[4]{2})$ | $\sigma(i)$ | $\sigma(\sqrt[4]{2}+i)$ |
| :---: | :---: | :---: | :---: |
| 1 | $\sqrt[4]{2}$ | $i$ | $\sqrt[4]{2}+i$ |
| $r$ | $i \sqrt[4]{2}$ | $i$ | $i \sqrt[4]{2}+i$ |
| $r^{2}$ | $-\sqrt[4]{2}$ | $i$ | $-\sqrt[4]{2}+i$ |
| $r^{3}$ | $-i \sqrt[4]{2}$ | $i$ | $-i \sqrt[4]{2}+i$ |
| $s$ | $\sqrt[4]{2}$ | $-i$ | $\sqrt[4]{2}-i$ |
| $r s$ | $i \sqrt[4]{2}$ | $-i$ | $i \sqrt[4]{2}-i$ |
| $r^{2} s$ | $-\sqrt[4]{2}$ | $-i$ | $-\sqrt[4]{2}-i$ |
| $r^{3} s$ | $-i \sqrt[4]{2}$ | $-i$ | $-i \sqrt[4]{2}-i$ |

Table 3.

On the other hand, $\sqrt[4]{2}+i \sqrt[4]{2}$ is not a primitive element for $\mathbf{Q}(\sqrt[4]{2}, i) / \mathbf{Q}$ since its Galois orbit has fewer than 8 values. There are 4 values, each arising twice in Table 4.

| $\sigma$ | $\sigma(\sqrt[4]{2})$ | $\sigma(i)$ | $\sigma(\sqrt[4]{2}+i \sqrt[4]{2})$ |
| :---: | :---: | :---: | :---: |
| 1 | $\sqrt[4]{2}$ | $i$ | $\sqrt[4]{2}+i \sqrt[4]{2}$ |
| $r$ | $i \sqrt[4]{2}$ | $i$ | $i \sqrt[4]{2}-\sqrt[4]{2}$ |
| $r^{2}$ | $-\sqrt[4]{2}$ | $i$ | $-\sqrt[4]{2}-i \sqrt[4]{2}$ |
| $r^{3}$ | $-i \sqrt[4]{2}$ | $i$ | $-i \sqrt[4]{2}+\sqrt[4]{2}$ |
| $s$ | $\sqrt[4]{2}$ | $-i$ | $\sqrt[4]{2}-i \sqrt[4]{2}$ |
| $r s$ | $i \sqrt[4]{2}$ | $-i$ | $i \sqrt[4]{2}+\sqrt[4]{2}$ |
| $r^{2} s$ | $-\sqrt[4]{2}$ | $-i$ | $-\sqrt[4]{2}+i \sqrt[4]{2}$ |
| $r^{3} s$ | $-i \sqrt[4]{2}$ | $-i$ | $-i \sqrt[4]{2}-\sqrt[4]{2}$ |

Table 4.

Table 4 tells us $\sqrt[4]{2}+i \sqrt[4]{2}$ has degree 4 over $\mathbf{Q}$. We directly find a fourth degree polynomial over $\mathbf{Q}$ with $\sqrt[4]{2}+i \sqrt[4]{2}$ as a root: setting $\alpha=\sqrt[4]{2}+i \sqrt[4]{2}=(1+i) \sqrt[4]{2}, \alpha^{2}=2 i \sqrt{2}$, so $\alpha^{4}=-8$.

Thus $\sqrt[4]{2}+i \sqrt[4]{2}$ is a root of $X^{4}+8$. This must be the minimal polynomial of $\sqrt[4]{2}+i \sqrt[4]{2}$ over $\mathbf{Q}$, since we know this number has degree 4 over $\mathbf{Q}$. In particular, $X^{4}+8$ is irreducible over $\mathbf{Q}$.
Example 6.3. Let $F$ be a field and $T_{1}, \ldots, T_{n}$ be indeterminates over $F$. Let $L=$ $F\left(T_{1}, \ldots, T_{n}\right)$, on which $S_{n}$ acts by permutations of $T_{1}, \ldots, T_{n}$. From Example 5.11, the fixed field for $S_{n}$ is $K=F\left(s_{1}, \ldots, s_{n}\right)$, where the $s_{i}$ 's are the elementary symmetric polynomials in the $T_{i}$ 's.

The extension $L / K$ must have a primitive element, and here is an explicit choice: $T_{1} T_{2}^{2} \cdots T_{n}^{n}$. To show this works, we take our cue from Theorem 6.1 and look at the $S_{n}$-orbit. For all $\sigma \in S_{n}$,

$$
\sigma\left(T_{1} T_{2}^{2} \cdots T_{n}^{n}\right)=T_{\sigma(1)} T_{\sigma(2)}^{2} \cdots T_{\sigma(n)}^{n} .
$$

If $\sigma\left(T_{1} T_{2}^{2} \cdots T_{n}^{n}\right)=\tau\left(T_{1} T_{2}^{2} \cdots T_{n}^{n}\right)$ then $T_{\sigma(1)} T_{\sigma(2)}^{2} \cdots T_{\sigma(n)}^{n}=T_{\tau(1)} T_{\tau(2)}^{2} \cdots T_{\tau(n)}^{n}$, so by comparing variables with the same exponent on both sides, we have $\sigma(1)=\tau(1), \ldots, \sigma(n)=$ $\tau(n)$, so $\sigma=\tau$. Therefore the $S_{n}$-orbit of $T_{1} T_{2}^{2} \cdots T_{n}^{n}$ has size $n!=[L: K]$, so $T_{1} T_{2}^{2} \cdots T_{n}^{n}$ is a primitive element of $L / K$.

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