THE MEAN VALUE THEOREM AND INTEGRAL POWERS

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As an illustration of many uses of the Mean Value Theorem, consider the following result, which was question A6 on the 32nd Putnam Exam [2] in 1971.¹ According to [2, p. 175], nobody answered it with a score of 8, 9, or 10 (the top score).

Theorem 1. If every number $2^t, 3^t, 4^t, 5^t, \ldots$ (that is, every n^t where $n = 2, 3, 4, 5, \ldots$) is an integer, then t is 0 or a positive integer.

Proof. Since 2^t is an integer, we must have $t \ge 0$. To prove t is an integer, we prove that it is impossible for t to be a non-integer: first 0 < t < 1 can't happen, then 1 < t < 2 can't happen, then 2 < t < 3 can't happen, and so on, implying that t can only be one of the numbers $0, 1, 2, 3, \ldots$ We'll go through the argument for small 0 < t < 3 first so the reader can see what is happening there before we treat the general case.

Case 1: We can't have 0 < t < 1.

Assume 0 < t < 1. For each integer $n \ge 1$, $(n+1)^t - n^t$ is an integer since n^t and $(n+1)^t$ are integers. The Mean Value Theorem implies

$$(n+1)^t - n^t = tx_n^{t-1}$$

 $(n+1)^t - n^t = t x_n^{t-1}$ for some x_n where $n < x_n < n+1$. Since t-1 < 0, we have $x_n^{t-1} < n^{t-1}$, so

$$0 < (n+1)^t - n^t < tn^{t-1}.$$

Since t-1 < 0, $tn^{t-1} \to 0$ as $n \to \infty$. Thus for large $n, 0 < (n+1)^t - n^t < 1$, which is a contradiction: there is no integer between 0 and 1.

Case 2: We can't have 1 < t < 2.

Assume 1 < t < 2. For each integer $n \ge 1$, the number

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$$((n+2)^t - (n+1)^t) - ((n+1)^t - n^t) = (n+2)^t - 2(n+1)^t + n^t$$

is an integer since n^t , $(n+1)^t$, and $(n+2)^t$ are all integers. By the Mean Value Theorem,

$$(n+1)^t - n^t = tx_n^{t-1}$$
 and $(n+2)^t - (n+1)^t = tx_{n+1}^{t-1}$

where $n < x_n < n + 1$ and $n + 1 < x_{n+1} < n + 2$, so $x_n < x_{n+1}$. Then

$$(+2)^{t} - 2(n+1)^{t} + n^{t} = tx_{n+1}^{t-1} - tx_{n}^{t-1}$$
$$= t(x_{n+1}^{t-1} - x_{n}^{t-1})$$
$$= t(t-1)y_{n}^{t-2}$$

by the Mean Value Theorem, where $x_n < y_n < x_{n+1}$. Since t > 1, the numbers t and t - 1are positive, so $t(t-1)y_n^{t-2} > 0$. Thus $(n+2)^t - 2(n+1)^t + n^t > 0$.

Since $n < x_n < y_n$ and t - 2 < 0,

$$0 < (n+2)^{t} - 2(n+1)^{t} + n^{t} = t(t-1)y_{n}^{t-2} < t(t-1)n^{t-2}.$$

¹I first heard this result from a Mathoverflow question: https://mathoverflow.net/questions/17560.

KEITH CONRAD

As $n \to \infty$, $t(t-1)n^{t-2} \to 0$ due to the exponent t-2 being negative . Thus for large n,

$$0 < (n+2)^t - 2(n+1)^t + n^t < 1.$$

and that is contradiction since no integer is between 0 and 1.

<u>Case 3</u>: We can't have 2 < t < 3.

Assume 2 < t < 3. For each integer $n \ge 1$, we will look at the numbers

 $((n+3)^t - (n+2)^t) - 2((n+2)^t - (n+1)^t) + ((n+1)^t - n^t) = (n+3)^t - 3(n+2)^t + 3(n+1)^t - n^t$, which are integers since n^t , $(n+1)^t$, $(n+2)^t$, and $(n+3)^t$ are integers. We'll apply the Mean Value Theorem to each difference on the left side:

$$(n+1)^t - n^t = tx_n^{t-1}$$
 $(n+2)^t - (n+1)^t = tx_{n+1}^{t-1}$, and $(n+3)^t - (n+2)^t = tx_{n+2}^{t-1}$
where $n < x_n < n+1$, $n+1 < x_{n+1} < n+2$, and $n+2 < x_{n+2} < n+3$. Then

$$(n+3)^{t} - 3(n+2)^{t} + 3(n+1)^{t} - n^{t} = tx_{n+2}^{t-1} - 2tx_{n+1}^{t-1} + tx_{n}^{t-1},$$

$$= t((x_{n+2}^{t-1} - x_{n+1}^{t-1}) - (x_{n+1}^{t-1} - x_{n}^{t-1}))$$

$$= t((t-1)y_{n+1}^{t-2} - (t-1)y_{n}^{t-2})$$

$$= t(t-1)(y_{n+1}^{t-2} - y_{n}^{t-2})$$

by the Mean Value Theorem, where $x_n < y_n < x_{n+1}$ and $x_{n+1} < y_{n+1} < x_{n+2}$. Then $y_n < y_{n+1}$, so

$$y_{n+1}^{t-2} - y_n^{t-2} = (t-2)z_n^{t-3}$$

by the Mean Value Theorem, where $y_n < z_n < y_{n+1}$. Thus

$$(n+3)^t - 3(n+2)^t + 3(n+1)^t - n^t = t(t-1)(t-2)z_n^{t-3},$$

which is positive since $z_n > 0$ and t > 2. Since $n < x_n < y_n < z_n$ and t - 3 < 0, $z_n^{t-3} < n^{t-3}$. Thus

$$0 < (n+3)^{t} - 3(n+2)^{t} + 3(n+1)^{t} - n^{t} = t(t-1)(t-2)z_{n}^{t-3} < t(t-1)(t-2)n^{t-3}.$$

We have $t(t-1)(t-2)n^{t-3} \to 0$ as $n \to \infty$ since t-3 < 0, so for large n,

$$0 < (n+3)^t - 3(n+2)^t - 3(n+1)^t + n^t < 1.$$

This is a contradiction since no integer is between 0 and 1.

<u>General case</u>: Hopefully the reader sees a general pattern emerging in order to prove that k - 1 < t < k is impossible for each positive integer k, which would mean that t can only be one of the numbers $0, 1, 2, 3, \ldots$, or in other words t must be 0 or a positive integer.

The strategy we use is based on the following identity that is inspired by the earlier cases: for positive integers k and n and all real numbers t,

(1)
$$\sum_{j=0}^{k} (-1)^{k-j} \binom{k}{j} (n+j)^t = t(t-1)\cdots(t-k+1)c^{t-k}$$

for some c in (n, n + k) that depends on t, k, and n. We will actually prove a stronger result: for each positive integer k, real number t, and all $0 < a_0 < \cdots < a_k$,

(2)
$$\sum_{j=0}^{k} (-1)^{k-j} \binom{k}{j} a_j^t = t(t-1) \cdots (t-k+1) c^{t-k}$$

for some c in (a_0, a_k) that depends on t, k, and the a_j 's. (Equation (1) is the special case $a_j = n + j$.) To prove (2), we will use induction on k. The base case (k = 1) says $a_1^t - a_0^t = tc^{t-1}$ for some c in (a_0, a_1) , which is the Mean Value Theorem for x^t on $[a_0, a_1]$.

 $a_1^t - a_0^t = tc^{t-1}$ for some c in (a_0, a_1) , which is the Mean Value Theorem for x^t on $[a_0, a_1]$. To prove the inductive step, let $k \ge 2$ on the left side of (2) and split off the first and last terms on the the left side of (2). Then use the identity $\binom{k}{j} = \binom{k-1}{j-1} + \binom{k-1}{j}$ when $1 \le j \le k-1$ to show after recombining terms that

$$\sum_{j=0}^{k} (-1)^{k-j} \binom{k}{j} a_j^t = \sum_{i=0}^{k-1} (-1)^{k-1-i} \binom{k-1}{i} (a_{i+1}^t - a_i^t)$$

On the right side here, $a_{i+1}^t - a_i^t = tb_i^{t-1}$ for some b_i in (a_i, a_{i+1}) by the Mean Value Theorem, so $0 < b_0 < b_1 < \cdots < b_{k-1}$. Then

(3)
$$\sum_{j=0}^{k} (-1)^{k-j} \binom{k}{j} a_j^t = t \sum_{i=0}^{k-1} (-1)^{k-1-i} \binom{k-1}{i} b_i^{t-1}.$$

The sum on the right side of (3) is exactly what we can use in an inductive step: if (2) holds with k - 1 in place of k (and all t's and a_j 's), then by using t - 1 in place of t and the b_i 's in place of the a_j 's, we can rewrite the sum on the right side of (3):

$$\sum_{i=0}^{k-1} (-1)^{k-1-i} \binom{k-1}{i} b_i^{t-1} = (t-1) \cdots ((t-1) - (k-1) + 1) c^{(t-1)-(k-1)}$$
$$= (t-1) \cdots (t-k+1) c^{t-k}$$

for some c in (b_0, b_{k-1}) . Thus

$$\sum_{j=0}^{k} (-1)^{k-j} \binom{k}{j} a_j^t = t(t-1)\cdots(t-k+1)c^{t-k}$$

where $a_0 < b_0 < c < b_{k-1} < a_k$, so c is in (a_0, a_k) . That completes the proof of (2) for all k.

Now assume t is a real number such that $2^t, 3^t, 4^t, 5^t, \ldots$ are all integers. That 2^t is an integer implies $t \ge 0$. We will show for each positive integer k that we can't have k-1 < t < k, so t = 0 or t is a positive integer.

Assume k - 1 < t < k, where k is a positive integer. Use (1):

$$\sum_{j=0}^{k} (-1)^{k-j} \binom{k}{j} (n+j)^t = t(t-1)\cdots(t-k+1)c^{t-k},$$

where n is a positive integer and c is in (n, n+k). The left side is an integer and all factors on the right side are positive since k - 1 < t, so the left side is a positive integer. The exponent on c is negative since t < k. Since n < c and t - k < 0, we have $c^{t-k} < n^{t-k}$, so

$$0 < \sum_{j=0}^{k} (-1)^{k-j} \binom{k}{j} (n+j)^{t} < t(t-1) \cdots (t-k+1) n^{t-k}$$

As $n \to \infty$, we have $n^{t-k} \to 0$, so for large enough n

$$0 < \sum_{j=0}^{k} (-1)^{k-j} \binom{k}{j} (n+j)^t < 1,$$

and that is a contradiction since the number between 0 and 1 is an integer. So for each positive integer k we can't have k - 1 < t < k.

Remark 2. Using the six exponentials theorem from transcendental number theory, it can be shown that if we assume only that 2^t , 3^t , and 5^t are integers, then t is 0 or a positive integer. (This is also true when 2, 3, and 5 are replaced by any three multiplicatively independent positive integers.)

It is expected that if we assume only that 2^t and 3^t are integers then t has to be 0 or a positive integer, but that remains an unsolved problem. (The same conclusion should also hold when 2 and 3 are replaced by any two multiplicatively independent positive integers, and the case of two different primes was posed by Alaoglu and Erdős [1, p. 449].) This would be a consequence of the unproved four exponentials conjecture, which in turn is a consequence of Schanuel's conjecture.

References

- L. Alaoglu and P. Erdős, "On Highly Composite and Similar Numbers," Trans. Amer. Math. Soc. 56 (1944), 448–469.
- J. H. McKay, The William Lowell Putnam Mathematical Competition, Amer. Math. Monthly 80 (1973), pp. 172-175. URL https://www.jstor.org/stable/2318375?seq=6